

NewEdge Capital Group - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.86	0.28	0.84	0.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent's per hundred shares)
CDRG	31.46	31.58	20.00	20.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	21.62	21.75	20.00	6.67	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	14.92	15.02	6.67	6.67	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	12.90	12.88	13.33	15.56	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	9.17	9.18	26.67	2.22	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	4.59	4.46	6.67	20.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.75	3.77	0.00	2.22	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	0.58	0.59	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.52	0.53	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.17	0.00	0.00	20.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

SOHO:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

XSTM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INTL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ANON:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ANON and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

April 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.39	2.95	2.61	0.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent s per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent s per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent s per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent s per hundred shares)
CDRG	33.42	33.54	35.00	26.55	66.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	20.92	21.19	20.00	11.86	33.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	14.03	14.35	13.00	3.95	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	13.60	13.86	10.50	7.91	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	8.28	8.47	4.50	5.65	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	2.74	2.74	0.00	6.21	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	2.43	2.44	4.50	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	1.50	1.36	0.50	7.91	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.80	0.81	0.50	0.56	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.68	0.00	9.00	15.82	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

SOHO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

XSTM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INTL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ANON:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ANON and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

April 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CES	49.24	0.00	0.00	0.00	49.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB	21.45	0.00	0.00	0.00	21.45	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DFIN	16.62	0.00	0.00	0.00	16.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MSCO	6.34	0.00	0.00	0.00	6.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WEXX	3.32	0.00	0.00	0.00	3.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	3.02	0.00	0.00	0.00	3.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CES:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CES and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GEB:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GEB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

DFIN:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to DFIN and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

MSCO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to MSCO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

WEXX:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to WEXX and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

May 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.20	0.66	0.94	0.20

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	31.74	31.73	24.24	25.53	90.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	23.63	23.80	18.18	12.77	10.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	17.90	18.03	15.15	10.64	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	14.80	14.85	15.15	12.77	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	4.95	4.96	6.06	4.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.56	3.61	3.03	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	1.92	1.73	15.15	12.77	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	0.74	0.69	3.03	4.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.44	0.45	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
PASL	0.10	0.10	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

XSTM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

SOHO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INTL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

PASL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to PASL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

May 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	87.25	5.70	3.61	3.44

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	32.14	31.04	32.61	26.81	64.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	21.34	21.83	19.41	8.09	25.89	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	15.87	16.80	18.60	4.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	13.47	14.30	15.63	2.98	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	5.22	5.58	3.50	4.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.46	3.77	2.96	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	3.21	3.45	0.54	4.68	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	1.38	1.41	0.27	3.83	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	1.14	0.00	3.23	26.38	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.80	0.48	0.54	0.85	9.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

SOHO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

XSTM:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INTL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ANON:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ANON and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

May 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CES	49.35	0.00	0.00	0.00	49.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB	22.58	0.00	0.00	0.00	22.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DFIN	15.16	0.00	0.00	0.00	15.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MSCO	6.77	0.00	0.00	0.00	6.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WEXX	4.52	0.00	0.00	0.00	4.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	0.97	0.00	0.00	0.00	0.97	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
IB	0.65	0.00	0.00	0.00	0.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CES:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CES and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GEB:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GEB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

DFIN:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

MSCO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to MSCO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

WEXX:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to WEXX and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

IB:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

June 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.50	0.45	1.03	0.03

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	33.23	33.44	16.67	21.95	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	27.22	27.40	22.22	12.20	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	16.74	16.84	22.22	4.88	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	14.79	14.83	11.11	12.20	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	4.24	4.27	5.56	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	1.20	1.22	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.85	0.87	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	0.53	0.51	5.56	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INCA	0.35	0.36	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.35	0.00	5.56	31.71	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

XSTM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INTL:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

SOHO:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

INCA:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INCA and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ANON:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ANON and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

June 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.31	2.16	3.51	0.02

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	31.53	31.55	36.15	27.96	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NITE	27.20	28.14	17.69	8.06	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JNST	16.21	16.85	10.77	2.37	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ETMM	14.74	15.23	10.77	4.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.49	3.63	3.08	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	1.36	0.00	16.92	28.44	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTL	1.28	1.29	0.77	1.42	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SOHO	0.87	0.90	0.77	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GTSM	0.77	0.71	0.00	2.84	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBSS	0.68	0.71	0.77	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CDRG:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

NITE:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

JNST:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ETMM:
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SOHO:
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GTSM:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GTSM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBSS:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

June 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CES	47.98	0.00	0.00	0.00	47.98	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DFIN	20.96	0.00	0.00	0.00	20.96	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB	17.17	0.00	0.00	0.00	17.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MSCO	10.61	0.00	0.00	0.00	10.61	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WEXX	2.27	0.00	0.00	0.00	2.27	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	0.76	0.00	0.00	0.00	0.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
IB	0.25	0.00	0.00	0.00	0.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CES:
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DFIN:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to DFIN and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GEB:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GEB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

MSCO:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to MSCO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

WEXX:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to WEXX and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

IB:
NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to IB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).