

A.G.P./Alliance Global Partners - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	33.69	0.40	0.76	65.15

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	38.95	40.61	44.44	88.24	37.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	38.06	37.70	22.22	0.00	38.78	-93.9930	-10.0000	-0.3000	-10.0000	0.0000	0.0000	-16.8280	-9.2042
CODA MARKETS, INC. (CODA)	18.05	17.86	33.33	11.76	18.13	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	4.14	3.84	0.00	0.00	4.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.80	0.00	0.00	0.00	1.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.0480	-0.5418

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):

The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

April 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	51.89	4.01	2.00	42.10

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	39.95	40.79	38.50	0.00	40.95	-967.2050	-10.0000	-201.2690	-10.0000	0.0000	0.0000	-627.0010	-9.9981
StoneX Financial Inc. (INTL)	39.22	38.89	36.36	79.57	37.99	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	17.97	18.54	25.13	19.35	16.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	2.30	1.65	0.00	1.08	3.37	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.47	0.00	0.00	0.00	1.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3.5964	-1.1812
FL44 (FL44)	0.04	0.08	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Fidelity Dynamic Liquidity Man (FDLM)	0.02	0.04	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ EXECUTION SERVICES, LLC (INET)	0.02	0.00	0.00	0.00	0.05	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

FL44 (FL44):
The Firm does not receive payment or any form of consideration for order flow from FL44.

Fidelity Dynamic Liquidity Man (FDLM):
FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our order flow.

NASDAQ EXECUTION SERVICES, LLC (INET):
The Firm does not receive payment or any form of consideration for order flow from INET.

April 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	36.19	0.00	60.40	3.41

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Fidelity Dynamic Liquidity Man (FDLM)	37.58	48.45	0.00	31.05	37.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	15.85	2.74	0.00	23.71	15.53	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
STONEX OUTSOURCED SERVICES LLC (CESI)	13.50	23.58	0.00	7.72	8.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	12.11	9.05	0.00	14.18	7.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, (WEXX)	11.08	0.09	0.00	17.58	12.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	5.72	12.25	0.00	2.14	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MORGAN STANLEY & CO. LLC (MSCO)	2.94	3.56	0.00	2.74	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SAL EQUITY TRADING, GP (SUSQ)	0.66	0.27	0.00	0.88	0.97	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):
FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

Citadel Securities LLC (CDRG):
The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

STONEX OUTSOURCED SERVICES LLC (CESI):
The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):
The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

WOLVERINE EXECUTION SERVICES, (WEXX):
The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

GLOBAL EXECUTION BROKERS, LP (GEBB):
The Firm does not receive payment or any form of consideration from GEBB when our clearing firms route orders to this venue.

MORGAN STANLEY & CO. LLC (MSCO):
The Firm does not receive payment or any form of consideration from MSCO when our clearing firms route orders to this venue.

SAL EQUITY TRADING, GP (SUSQ):
The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

May 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	31.96	0.73	1.00	66.32

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	34.39	33.36	33.33	40.54	34.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	32.82	34.46	59.26	0.00	32.23	-127.6450	-10.0000	-45.8940	-10.0000	0.0000	0.0000	-36.8400	-9.9932
CODA MARKETS, INC. (CODA)	31.12	30.83	7.41	59.46	31.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	1.48	1.35	0.00	0.00	1.59	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.19	0.00	0.00	0.00	0.28	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.0480	-0.4507

Material Aspects:

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

May 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	45.74	3.87	2.87	47.53

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	33.63	34.19	31.25	54.49	32.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	32.05	32.89	28.33	43.82	30.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	31.60	31.34	40.42	1.69	32.94	-706.9070	-10.0000	-207.1040	-10.0000	-8.8120	-10.0000	-624.2710	-9.9946

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):
FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

Citadel Securities LLC (CDRG):
The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

STONEX OUTSOURCED SERVICES LLC (CESI):
The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):
The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

WOLVERINE EXECUTION SERVICES, (WEXX):
The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

GLOBAL EXECUTION BROKERS, LP (GEBB):
The Firm does not receive payment or any form of consideration from GEBB when our clearing firms route orders to this venue.

MORGAN STANLEY & CO. LLC (MSCO):
The Firm does not receive payment or any form of consideration from MSCO when our clearing firms route orders to this venue.

SAL EQUITY TRADING, GP (SUSQ):
The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

June 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	32.56	0.55	1.82	65.08

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	34.24	31.97	47.06	53.57	34.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	32.75	34.26	17.65	1.79	32.98	-96.5110	-8.7171	-8.1570	-10.0000	-0.1000	-10.0000	-35.0480	-9.2710
CODA MARKETS, INC. (CODA)	31.74	32.97	35.29	44.64	30.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	0.91	0.80	0.00	0.00	1.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.36	0.00	0.00	0.00	0.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

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June 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	47.74	4.69	2.71	44.86

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	33.28	34.05	28.84	61.29	31.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	32.29	33.27	42.33	0.00	32.15	-585.0140	-8.8144	-150.4040	-8.6510	0.0000	0.0000	-426.7490	-9.0483
CODA MARKETS, INC. (CODA)	32.01	31.95	28.84	38.71	32.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	1.66	0.73	0.00	0.00	2.92	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.76	0.00	0.00	0.00	1.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.3680	-1.5804

Material Aspects:

StoneX Financial Inc. (INTL):
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June 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	23.60	0.00	73.99	2.42

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Fidelity Dynamic Liquidity Man (FDLM)	29.15	48.29	0.00	22.62	41.98	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	22.02	2.53	0.00	28.75	6.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, (WEXX)	16.65	0.13	0.00	22.02	13.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	15.81	11.00	0.00	17.54	9.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
STONEX OUTSOURCED SERVICES LLC (CESI)	10.17	24.02	0.00	5.65	13.58	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MORGAN STANLEY & CO. LLC (MSCO)	2.80	4.42	0.00	2.38	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	2.27	8.34	0.00	0.40	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SAL EQUITY TRADING, GP (SUSQ)	0.54	0.25	0.00	0.65	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MATRIX EXECUTIONS, LLC (MATI)	0.06	0.25	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):

FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

Citadel Securities LLC (CDRG):

The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

WOLVERINE EXECUTION SERVICES, (WEXX):

The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):

The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

STONEX OUTSOURCED SERVICES LLC (CESI):

The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

MORGAN STANLEY & CO. LLC (MSCO):

The Firm does not receive payment or any form of consideration from MSCO when our clearing firms route orders to this venue.

GLOBAL EXECUTION BROKERS, LP (GEBB):

The Firm does not receive payment or any form of consideration from GEBB when our clearing firms route orders to this venue.

SAL EQUITY TRADING, GP (SUSQ):

The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

MATRIX EXECUTIONS, LLC (MATI):

The Firm does not receive payment or any form of consideration for order flow from MATI. Orders are routed to MATI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.