

A.G.P./Alliance Global Partners - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	35.19	0.46	1.43	62.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	32.82	33.16	26.67	2.13	33.37	-136.6080	-10.0000	-10.8000	-10.0000	-0.5000	-10.0000	-27.8210	-9.2982
CODA MARKETS, INC. (CODA)	32.57	33.07	20.00	59.57	31.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial Inc. (INTL)	31.94	32.47	53.33	38.30	31.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	2.43	1.30	0.00	0.00	3.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.24	0.00	0.00	0.00	0.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	51.51	4.43	3.45	40.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	32.67	30.79	32.61	54.75	33.18	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	32.45	34.23	44.35	2.23	31.47	-832.3580	-10.0000	-194.6860	-10.0000	-7.5000	-10.0000	-312.9680	-9.9952
CODA MARKETS, INC. (CODA)	32.28	34.49	23.04	43.02	29.57	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
RBC Capital Markets Corporatio (DAIN)	1.37	0.45	0.00	0.00	2.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	1.06	0.04	0.00	0.00	2.56	-0.6000	-12.0000	0.0000	0.0000	0.0000	0.0000	-12.0840	-2.2646
National Financial Services LL (NFSC)	0.13	0.00	0.00	0.00	0.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
A.G.P. / ALLIANCE GLOBAL PARTN (ALNC)	0.04	0.00	0.00	0.00	0.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

National Financial Services LL (NFSC):
The Firm does not receive payment or any form of consideration for order flow from NFSC.

A.G.P. / ALLIANCE GLOBAL PARTN (ALNC):
Firm MPID

July 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	23.17	0.00	75.58	1.25

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Fidelity Dynamic Liquidity Man (FDLM)	28.72	48.57	0.00	22.37	45.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	21.20	3.29	0.00	27.02	1.96	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, (WEXX)	18.55	0.53	0.00	24.02	21.57	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	15.70	9.44	0.00	17.72	9.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
STONEX OUTSOURCED SERVICES LLC (CESI)	9.19	21.00	0.00	5.56	9.80	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	3.17	11.24	0.00	0.75	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MORGAN STANLEY & CO. LLC (MSCO)	2.95	5.73	0.00	2.15	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SAL EQUITY TRADING, GP (SUSQ)	0.39	0.21	0.00	0.42	1.96	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):
FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

Citadel Securities LLC (CDRG):
The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

WOLVERINE EXECUTION SERVICES, (WEXX):
The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):
The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

STONEX OUTSOURCED SERVICES LLC (CESI):
The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

GLOBAL EXECUTION BROKERS, LP (GEBB):
The Firm does not receive payment or any form of consideration from GEBB when our clearing firms route orders to this venue.

MORGAN STANLEY & CO. LLC (MSCO):
The Firm does not receive payment or any form of consideration from MSCO when our clearing firms route orders to this venue.

SAL EQUITY TRADING, GP (SUSQ):
The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

August 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	34.19	0.56	1.29	63.96

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	33.43	32.79	42.11	54.55	33.27	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial Inc. (INTL)	32.61	32.36	21.05	45.45	32.59	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	31.65	33.99	36.84	0.00	30.98	-146.5740	-10.0000	-19.7490	-10.0000	0.0000	0.0000	-55.9530	-9.9568
RBC Capital Markets Corporatio (DAIN)	2.02	0.86	0.00	0.00	2.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.29	0.00	0.00	0.00	0.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

August 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	45.43	3.02	2.25	49.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	33.06	33.41	28.95	59.15	31.79	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	32.57	31.74	33.16	40.14	32.95	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	31.64	33.76	37.89	0.70	30.72	-959.3280	-10.0000	-188.3530	-10.0000	-5.0000	-10.0000	-866.3170	-9.9456
RBC Capital Markets Corporatio (DAIN)	1.79	1.08	0.00	0.00	2.64	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.92	0.00	0.00	0.00	1.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-27.7320	-3.6123
VIRTU AMERICAS LLC (VALR)	0.02	0.00	0.00	0.00	0.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2.1600	-12.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

VIRTU AMERICAS LLC (VALR):
The Firm does not receive payment or any form of consideration for order flow from VALR. A portion of the Firm's Institutional order flow is routed manually to VALR based on execution quality metrics, including price improvement, fill rates, and speed of execution.

August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	28.57	0.00	70.45	0.98

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Fidelity Dynamic Liquidity Man (FDLM)	32.56	48.67	0.00	25.92	40.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	18.03	2.65	0.00	24.44	5.71	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, (WEXX)	17.28	0.59	0.00	23.92	25.71	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	14.66	10.82	0.00	16.19	17.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
STONEX OUTSOURCED SERVICES LLC (CESI)	10.34	22.71	0.00	5.38	5.71	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	3.60	9.93	0.00	1.08	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MORGAN STANLEY & CO. LLC (MSCO)	2.72	4.52	0.00	1.99	2.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SAL EQUITY TRADING, GP (SUSQ)	0.76	0.10	0.00	1.04	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):
FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

Citadel Securities LLC (CDRG):
The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

WOLVERINE EXECUTION SERVICES, (WEXX):
The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):
The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

STONEX OUTSOURCED SERVICES LLC (CESI):
The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

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The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

September 2024

S&P 500 Stocks

Summary

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100.00	32.68	0.74	1.19	65.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	32.83	33.37	35.00	43.75	32.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	32.53	32.80	15.00	56.25	32.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	31.49	31.10	50.00	0.00	32.05	-87.5550	-10.0000	-10.9450	-10.0000	0.0000	0.0000	-19.6010	-9.0490
RBC Capital Markets Corporatio (DAIN)	3.04	2.72	0.00	0.00	3.29	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.11	0.00	0.00	0.00	0.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

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The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

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September 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	47.23	7.85	2.91	42.01

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	32.99	35.44	27.51	27.10	31.66	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial Inc. (INTL)	32.14	30.91	33.25	72.26	30.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	32.05	32.18	38.52	0.65	32.87	-837.3910	-10.0000	-321.6870	-10.0000	-1.5000	-10.0000	-369.5040	-9.8822
RBC Capital Markets Corporatio (DAIN)	2.27	1.47	0.00	0.00	3.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.49	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.4040	-1.6159
GTS SECURITIES LLC (GTSM)	0.06	0.00	0.72	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

CODA MARKETS, INC. (CODA):
The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

StoneX Financial Inc. (INTL):
The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):
The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

RBC Capital Markets Corporatio (DAIN):
The Firm does not receive payment or any form of consideration for order flow from DAIN.

VIRTU Americas LLC (NITE):
The Firm does not receive payment or any form of consideration for order flow from NITE. A portion of the Firm's Institutional order flow is routed manually to NITE based on execution quality metrics, including price improvement, fill rates, and speed of execution.

GTS SECURITIES LLC (GTSM):
The Firm does not receive payment or any form of consideration for order flow from GTSM.

September 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	26.87	0.00	71.37	1.76

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Fidelity Dynamic Liquidity Man (FDLM)	32.09	47.36	0.00	25.79	54.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, (WEXX)	20.48	2.30	0.00	27.43	15.79	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	18.34	6.67	0.00	23.11	3.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	12.38	7.82	0.00	14.32	3.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
STONEX OUTSOURCED SERVICES LLC (CESI)	8.68	20.80	0.00	4.28	1.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	3.46	10.80	0.00	0.74	1.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MORGAN STANLEY & CO. LLC (MSCO)	2.72	3.68	0.00	2.42	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
SAL EQUITY TRADING, GP (SUSQ)	1.42	0.57	0.00	1.77	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Interactive Brokers Llc (IBKR)	0.03	0.00	0.00	0.04	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Fidelity Dynamic Liquidity Man (FDLM):

FDLM is a proprietary intelligent order router used by National Financial Services (NFS), one of our clearing firms. The Firm does not receive payment from NFS when they utilize FDLM for our options order flow.

WOLVERINE EXECUTION SERVICES, (WEXX):

The Firm does not receive payment or any form of consideration from WEXX when our clearing firms route orders to this venue.

Citadel Securities LLC (CDRG):

The Firm does not receive payment or any form of consideration from CDRG when our clearing firms route orders to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):

The Firm does not receive payment or any form of consideration for order flow from DFIN. Orders are routed to DFIN by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

STONEX OUTSOURCED SERVICES LLC (CESI):

The Firm does not receive payment or any form of consideration for order flow from CESI. Orders are routed to CESI by our clearing firm(s) or at the discretion of AGP Prime Brokerage clients based on execution quality metrics, including price improvement, fill rates, and speed of execution.

GLOBAL EXECUTION BROKERS, LP (GEBB):

The Firm does not receive payment or any form of consideration from GEBB when our clearing firms route orders to this venue.

MORGAN STANLEY & CO. LLC (MSCO):

The Firm does not receive payment or any form of consideration from MSCO when our clearing firms route orders to this venue.

SAL EQUITY TRADING, GP (SUSQ):

The Firm does not receive payment or any form of consideration from SUSQ when our clearing firms route orders to this venue.

Interactive Brokers Llc (IBKR):

The Firm does not receive payment or any form of consideration from IBKR when our clearing firms route orders to this venue.