

Aegis Capital Corp - AGIS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2025

April 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.08	2.91	3.74	0.27

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	69.89	69.68	67.59	75.54	90.00	-519.7170	-10.0000	-25.3670	-10.0000	-103.0805	-27.0965	-3.2890	-10.0000
Two Sigma (SOHO)	28.44	29.08	21.30	19.42	10.00	-168.9850	-10.0000	-8.4978	-10.0000	-6.1258	-10.0000	-3.1189	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

April 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	83.62	5.25	10.59	0.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	73.61	71.49	74.13	88.90	97.22	-3,515.0900	-8.0504	-1,773.3760	-5.6485	-1,502.5810	-12.7311	-37.4350	-10.0000
Two Sigma (SOHO)	24.86	27.38	20.06	8.65	0.00	-1,083.7163	-10.0000	-202.4584	-10.0000	-71.5597	-10.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

April 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	55.79	8.88	24.31	11.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	87.99	99.62	97.60	97.66	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	12.01	0.38	2.40	2.34	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

Wolverine Execution Services, LLC (WEXX):
Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

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S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.98	2.63	3.02	0.37

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.79	70.59	63.55	80.49	93.33	-651.4040	-10.0000	-24.3070	-10.0000	-82.1305	-26.5717	-3.3800	-12.2909
Two Sigma (SOHO)	27.81	28.21	27.10	18.70	6.67	-122.1852	-10.0000	-8.1211	-10.0000	-5.7229	-10.0000	-0.0545	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	79.95	6.85	12.47	0.73

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	73.67	70.84	72.11	91.33	96.55	-4,750.8770	-9.2644	-726.4590	-2.0446	-1,288.2820	-10.5076	-77.615	-10.0000
Two Sigma (SOHO)	24.61	27.98	19.45	7.06	3.45	-1,018.2005	-10.0000	-776.0369	-10.0000	-56.4120	-10.0000	-4.6391	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

May 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	53.99	16.18	10.22	19.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	79.57	99.17	98.16	99.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	20.43	0.83	1.84	0.73	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

Wolverine Execution Services, LLC (WEXX):
Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

June 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.75	2.64	2.75	0.87

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.96	70.69	68.09	73.47	100.00	-467.7880	-10.0000	-58.1390	-10.0000	-19.3750	-25.6521	-1.0520	-13.989
Two Sigma (SOHO)	27.56	28.35	13.83	22.45	0.00	-226.1530	-10.0000	-4.2386	-10.0000	-8.6708	-10.0000	0.0000	0.000

Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

June 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	81.48	7.21	10.81	0.51

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	73.46	71.32	72.69	88.89	100.00	-3,963.9110	-9.8424	-422.9660	-2.3968	-935.1625	-7.7428	-24.9000	-10.0000
Two Sigma (SOHO)	24.74	27.51	20.08	8.17	0.00	-1,103.4442	-10.0000	-305.1324	-10.0000	-69.7044	-10.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

June 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	47.96	18.87	9.33	23.83

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	75.97	100.00	99.47	98.94	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	24.03	0.00	0.53	1.06	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

Wolverine Execution Services, LLC (WEXX):
Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.