

# SOFI - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Jan 28 2026 14:01:11 GMT-0500 (Eastern Standard Time)

4th Quarter, 2025

October 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	73.68	0.70	6.20	19.43

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.35	27.92	10.95	5.46	63.66	3,217.07	16.0000	0.23	17.3939	1.40	24.0309	679.27	14.1173
HRT	17.69	20.05	6.80	8.77	11.98	2,452.71	16.0000	0.14	17.1707	2.25	24.0845	686.17	24.0419
Jane Street Capital	16.17	18.22	6.30	6.14	11.94	2,305.30	16.0000	0.14	18.0000	1.57	24.0214	730.09	24.0495
GTS SECURITIES LLC	15.08	19.72	7.96	7.98	0.00	2,409.98	16.0000	0.16	17.0833	2.05	24.0670	0.00	0.0000
CITADEL SECURITIES LLC	6.76	5.34	2.65	7.86	11.92	983.49	16.0000	0.06	18.0000	2.01	24.0394	611.68	24.0375
Canaccord Genuity Securities	3.69	4.92	1.58	0.74	0.00	730.36	16.0000	0.03	17.6842	0.19	24.2911	0.00	0.0000
G1 Execution Services, LLC	2.82	3.82	0.58	0.00	0.00	524.43	16.0000	0.01	16.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.15	0.00	21.64	31.28	0.31	0.00	0.0000	13.70	7.5790	105.88	6.4777	2.71	2.1122
The Nasdaq Stock Market	1.02	0.00	11.61	15.08	0.04	0.00	0.0000	-27.04	-29.7732	-112.30	-17.6300	-4.03	-29.6828
Cboe EDGX Exchange, Inc.	0.45	0.00	3.81	6.53	0.08	0.00	0.0000	-20.40	-30.0000	-71.41	-17.9415	-8.98	-30.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Cboe EDGX Exchange, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

-----

## October 2025

---

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	67.75	2.65	5.67	23.93

#### Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	32.65	27.96	21.56	3.59	54.02	61,390.90	15.9808	27.19	12.4103	14.04	21.4783	22,888.06	6.1469
HRT	17.97	19.91	15.22	8.25	15.10	57,168.23	15.9550	16.87	12.0730	40.57	20.6219	21,825.65	7.5589

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	16.58	18.23	13.83	5.92	14.75	59,581.48	15.9899	16.55	12.2460	12.40	18.8835	24,043.87	7.9695
GTS SECURITIES LLC	14.23	19.78	15.64	7.38	0.00	62,593.72	15.9599	38.52	13.9287	13.11	18.0993	0.00	0.0000
CITADEL SECURITIES LLC	8.00	5.42	3.99	8.74	15.56	41,176.13	15.9615	16.66	14.7469	47.89	21.4006	25,033.41	6.7927
Canaccord Genuity Securities	3.41	4.87	3.63	0.29	0.00	15,505.33	15.9617	2.08	9.8177	0.37	23.9167	0.00	0.0000
G1 Execution Services, LLC	2.67	3.82	2.90	0.00	0.00	18,274.08	15.9996	4.04	12.6250	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.05	0.00	7.92	31.02	0.34	0.00	0.0000	-126.20	-0.5460	101.37	0.1030	54.84	0.7843
The Nasdaq Stock Market	0.91	0.00	3.64	13.99	0.09	0.00	0.0000	-984.80	-21.9596	-3,172.16	-9.8456	-81.78	-4.8531
other	0.58	0.00	5.81	7.35	0.04	0.00	0.0000	-1,095.64	-12.7216	-1,832.06	-8.9783	-255.08	-11.8971

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Seviles. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

-----

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.64	46.90	51.91	0.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	26.93	26.29	26.41	27.30	37.38	364.91	22.6935	61,330.13	23.0218	50,723.77	22.6483	1,018.80	26.4967
Dash Financial Technologies	23.15	22.65	23.13	23.15	25.98	452.04	22.5681	51,380.87	22.9520	38,179.86	21.9860	628.08	25.3772
Global Execution Brokers LP	21.53	21.76	21.62	21.44	22.58	552.89	24.1015	51,128.74	23.4153	37,706.60	22.6401	563.32	26.7485
Jane Street Capital	9.14	10.40	9.51	8.89	0.00	172.46	21.9420	18,454.06	21.7932	12,994.33	20.9070	0.00	0.0000
Wolverine Execution Services, LLC	8.77	8.42	8.67	8.88	8.44	287.44	24.0536	20,922.72	23.9404	16,372.73	23.1862	323.44	27.2949
Matrix Executions, LLC / Simplex Trading, LLC	5.46	5.68	5.58	5.40	0.00	81.78	21.6339	14,951.06	23.8439	9,203.24	22.1083	0.00	0.0000
WATERSHED	5.01	4.79	5.09	4.94	5.63	46.57	20.4246	10,866.04	22.3705	7,769.54	22.5682	117.14	28.0902

Material Aspects:

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Dash Financial Technologies:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Global Execution Brokers LP:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

November 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	74.00	0.73	6.57	18.69

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.07	26.92	12.56	7.18	67.37	2,286.03	18.0000	0.25	19.4766	1.76	26.7530	325.31	11.4876
HRT	17.20	19.75	8.44	8.76	10.42	1,471.01	18.0000	0.17	19.6744	2.15	26.8425	376.16	27.0000
Jane Street Capital	15.91	18.23	7.46	5.32	10.81	1,343.04	18.0000	0.15	19.6579	1.30	26.7963	433.62	27.0000
GTS SECURITIES LLC	15.47	20.01	6.28	9.28	0.00	1,514.05	18.0000	0.13	20.3906	2.28	26.9257	0.00	0.0000
CITADEL SECURITIES LLC	7.99	7.13	3.43	9.59	11.02	556.32	18.0000	0.07	19.8000	2.36	26.9281	522.10	27.0000
Canaccord Genuity Securities	3.19	4.24	1.77	0.61	0.00	318.07	18.0000	0.03	19.0000	0.15	26.3571	0.00	0.0000
G1 Execution Services, LLC	2.76	3.72	1.18	0.00	0.00	309.55	18.0000	0.02	18.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.05	0.00	22.87	28.01	0.22	0.00	0.0000	-24.17	-12.6133	80.77	6.2140	-0.19	-0.4161
The Nasdaq Stock Market	1.08	0.00	10.99	15.02	0.05	0.00	0.0000	-9.21	-19.0625	-57.37	-12.6928	-1.47	-29.6358
Cboe EDGX Exchange, Inc.	0.49	0.00	4.91	6.73	0.07	0.00	0.0000	-7.25	-30.0000	-95.90	-27.5712	-4.28	-30.0000

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Cboe EDGX Exchange, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

November 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	67.52	3.14	6.37	22.98

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	32.63	26.97	21.35	6.01	58.18	42,614.93	17.9589	42.35	15.5507	6.64	19.4864	10,974.41	6.0507
HRT	17.65	19.87	15.95	9.02	13.74	36,473.68	17.9822	10.69	12.2866	20.96	22.0016	10,274.38	8.3494
Jane Street Capital	16.06	18.18	15.25	4.63	13.10	39,426.02	17.9393	12.21	12.8915	26.77	22.7807	11,225.02	9.5773

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GTS SECURITIES LLC	14.56	19.88	16.55	9.72	0.00	33,577.31	17.9706	38.16	15.8270	34.09	21.4674	0.00	0.0000
CITADEL SECURITIES LLC	9.08	7.31	5.78	10.51	14.33	28,826.88	17.9346	8.73	14.9164	16.16	19.6191	12,523.71	7.9841
Canaccord Genuity Securities	2.85	4.03	3.64	0.31	0.00	8,061.63	17.9975	2.20	11.8590	0.32	25.9839	0.00	0.0000
G1 Execution Services, LLC	2.64	3.77	2.99	0.01	0.00	13,297.02	17.8158	0.06	0.9880	7.40	18.0000	0.00	0.0000
NYSE Arca, Inc.	2.07	0.00	6.48	27.95	0.37	0.00	0.0000	-288.45	-5.0010	991.60	1.9433	-29.20	-0.4497
The Nasdaq Stock Market	1.00	0.00	3.21	13.80	0.10	0.00	0.0000	-135.15	-8.2302	-1,116.62	-8.3534	-168.71	-13.1855
other	0.55	0.00	4.38	6.22	0.05	0.00	0.0000	-446.30	-5.5511	-607.91	-8.2985	-42.05	-14.6673

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.88	44.47	54.13	0.52

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	27.57	26.44	27.48	27.65	28.37	669.26	25.4470	42,557.10	24.9095	41,018.60	24.8822	516.36	28.7507
CITADEL SECURITIES LLC	24.80	25.30	24.33	25.04	38.62	582.84	25.4740	40,524.96	25.5885	38,402.28	26.0627	844.86	33.2231
Global Execution Brokers LP	19.14	18.57	19.14	19.14	19.43	593.64	29.9212	29,648.64	25.6941	28,746.05	25.5219	522.50	33.1957
Jane Street Capital	11.38	11.69	11.96	11.02	0.00	437.85	25.1780	15,012.23	23.2769	14,424.29	23.8580	0.00	0.0000
Wolverine Execution Services, LLC	7.79	9.85	7.59	7.91	9.42	634.17	25.8740	12,612.44	27.1358	11,910.86	25.9462	185.72	37.3692
Matrix Executions, LLC / Simplex Trading, LLC	5.74	5.53	5.94	5.63	0.48	108.90	26.5606	8,734.96	23.9425	8,296.58	24.2853	3.09	23.7500
WATERSHED	3.58	2.55	3.57	3.60	3.69	71.52	25.5414	4,366.92	23.9113	4,406.12	24.2882	111.22	35.4193
APEXDESK	0.00	0.07	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Dash Financial Technologies:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Global Execution Brokers LP:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.



WATERSHED:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

December 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.74	0.72	6.39	20.14

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.45	15.36	6.13	5.77	68.83	1,319.23	18.0000	0.11	19.5789	1.28	26.9432	415.51	15.0031
HRT	16.99	19.92	6.45	8.18	9.59	1,781.43	18.0000	0.12	19.5000	1.81	26.8262	369.17	27.0000
GTS SECURITIES LLC	16.32	21.73	7.74	7.19	0.00	1,871.98	18.0000	0.13	18.5000	1.59	26.8632	0.00	0.0000
Jane Street Capital	15.39	18.08	6.34	3.82	9.70	1,673.82	18.0000	0.11	19.0678	0.84	26.5987	355.43	27.0000
CITADEL SECURITIES LLC	12.38	13.45	4.95	8.90	9.86	1,287.85	18.0000	0.10	20.7391	1.97	26.9508	405.24	27.0000
G1 Execution Services, LLC	4.75	6.52	0.75	0.00	0.00	695.86	18.0000	0.01	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.66	4.94	2.37	0.78	0.00	442.88	18.0000	0.04	19.2273	0.17	26.7188	0.00	0.0000
MNGDP	2.90	0.00	32.47	35.89	1.83	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.00	0.00	10.97	14.05	0.13	0.00	0.0000	-8.81	-12.7317	40.75	7.6038	5.25	14.6335
The Nasdaq Stock Market	0.57	0.00	7.10	7.97	0.03	0.00	0.0000	-7.81	-29.9435	-23.13	-13.4301	-0.95	-29.7947

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

-----

## December 2025

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	65.99	5.07	5.44	23.50

#### Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.31	15.40	13.46	5.03	60.36	22,138.19	17.9869	12.15	11.3715	22.45	22.4577	10,532.64	6.3958
HRT	17.39	19.88	17.82	8.21	12.45	30,254.01	17.9689	48.89	15.0981	47.07	23.5763	9,130.22	9.0589
Jane Street Capital	15.88	18.18	16.34	3.52	12.17	33,603.38	17.9610	30.61	14.0245	25.88	22.2588	10,503.14	9.3788
GTS SECURITIES LLC	15.49	21.50	18.18	7.07	0.00	33,762.58	17.9553	19.02	11.9851	38.19	18.8443	0.00	0.0000
CITADEL SECURITIES LLC	13.14	13.70	12.39	8.90	12.70	25,988.86	17.9659	38.41	15.4275	30.35	22.8917	12,264.53	7.9101

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	4.52	6.42	5.59	0.00	0.00	13,073.07	17.9968	4.56	10.7983	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.50	4.92	4.48	0.40	0.00	8,740.39	17.8536	0.11	0.8029	3.41	18.5237	0.00	0.0000
MNGDP	2.68	0.00	5.76	35.27	1.99	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	0.99	0.00	2.26	15.26	0.19	0.00	0.0000	-113.25	-3.0102	130.52	0.7463	-30.93	-1.5641
The Nasdaq Stock Market	0.42	0.00	0.90	6.74	0.04	0.00	0.0000	-339.04	-27.5159	-993.03	-13.2766	-68.48	-21.0876

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

-----

December 2025

-----

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.70	43.85	54.97	0.47

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	27.45	28.44	27.30	27.55	27.91	449.10	33.3906	60,017.00	30.8958	49,255.78	33.2749	1,018.95	36.0818
CITADEL SECURITIES LLC	24.14	22.75	23.49	24.47	47.27	330.49	33.6544	62,024.29	31.0280	46,884.96	33.6817	1,029.13	38.0875
Jane Street Capital	17.59	18.96	17.84	17.53	0.00	475.56	27.2528	31,865.96	29.6229	30,948.73	32.1602	0.00	0.0000
Global Execution Brokers LP	16.29	16.59	16.56	16.07	16.41	226.49	29.3761	36,704.93	30.3726	26,581.37	32.0671	476.31	36.2765
Wolverine Execution Services, LLC	6.60	5.69	6.44	6.73	7.29	168.61	32.4867	15,511.85	32.1796	11,794.85	33.7758	207.89	36.0918
Matrix Executions, LLC / Simplex Trading, LLC	5.75	5.59	6.00	5.60	0.00	117.47	28.3054	13,648.50	30.3772	9,347.10	30.6091	0.00	0.0000
WATERSHED	2.18	1.99	2.36	2.05	1.12	11.64	23.2750	3,858.17	28.3981	3,325.19	28.6333	72.78	51.6167

## Material Aspects:

Dash Financial Technologies:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Global Execution Brokers LP:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.