

TRANSAMERICA FINANCIAL ADVISORS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2025

April 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	32.72	32.72	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	24.75	24.75	0.00	0.00	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	22.55	22.55	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	9.07	9.07	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	8.16	8.16	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.92	1.92	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.82	0.82	0.00	0.00	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Transamerica Financial Advisors LLC does not receive compensation, rebates, nor pay transaction fees for the routing of its equity order flow pursuant to rule 606(a)(1)(v).

April 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.62	0.13	0.13	0.13

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	34.94	34.95	0.00	0.00	100.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	23.00	23.09	0.00	0.00	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	20.08	20.03	100.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	9.66	9.69	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	9.02	9.06	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.54	2.55	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.64	0.64	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.13	0.00	0.00	100.00	0.00	0	0	0	0	0	0	0	0

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April 2025

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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May 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.72	0.00	0.28	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	34.18	34.31	0.00	16.67	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	23.41	23.47	0.00	16.67	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	23.03	23.19	0.00	0.00	0.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	8.71	8.77	0.00	0.00	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	7.21	7.26	0.00	0.00	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.78	1.79	0.00	0.00	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.22	1.23	0.00	0.00	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.19	0.00	100.00	16.67	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.19	0.00	0.00	33.33	0.00	0	0	0	0	0	0	0
Members Exchange(MEMX)	0.09	0.00	0.00	16.67	0.00	0	0	0	0	0	0	0

Material Aspects:

Transamerica Financial Advisors LLC does not receive compensation, rebates, nor pay transaction fees for the routing of its equity order flow pursuant to rule 606(a)(1)(iv).

May 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.17	0.12	0.72	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	31.76	32.25	0.00	6.67	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	25.50	25.99	0.00	0.00	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	21.61	21.90	100.00	0.00	0.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	9.80	9.87	0.00	6.67	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	6.97	7.10	0.00	0.00	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.89	1.93	0.00	0.00	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.94	0.96	0.00	0.00	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.47	0.00	0.00	26.67	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.47	0.00	0.00	26.67	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.47	0.00	0.00	26.67	0.00	0	0	0	0	0	0	0

Material Aspects:

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May 2025

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

Transamerica Financial Advisors LLC does not receive compensation, rebates, nor pay transaction fees for the routing of its equity order flow pursuant to rule 606(a)(1)(iv).

June 2025

S&P 500 Stocks

Summary

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	100.00	100.00	0.00	0.00
	100.00		0.00	0.00

Venues

	Non-Directed Orders(%)	Marketable Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Venue - Non-directed Order Flow													
Citadel Securities LLC(CDRG)	35.06	35.06	0.00	0.00	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(INITE)	24.63	24.63	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETRM)	18.47	18.47	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	14.86	14.86	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	4.52	4.52	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SQHO)	1.23	1.23	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.23	1.23	0.00	0.00	0.00	0	0	0	0	0	0	0	0

Material Aspects:

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June 2025

Non-S&P 500 Stocks

Summary

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	100.00	99.47	0.00	0.13
	100.00		0.00	0.40

Venues

	Non-Directed Orders(%)	Marketable Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Venue - Non-directed Order Flow													
Citadel Securities LLC(CDRG)	35.45	35.24	0.00	0.00	100.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(INITE)	22.49	22.61	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	17.99	18.09	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	14.68	14.76	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	5.95	5.98	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SQHO)	1.72	1.73	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.59	1.60	0.00	0.00	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.13	0.00	0.00	0.00	100.00	0	0	0	0	0	0	0	0

Material Aspects:

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June 2025

Option Contracts

Summary

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	0.00	0.00	0.00	0.00
	0.00		0.00	0.00

Venues

	Non-Directed Orders(%)	Marketable Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Venue - Non-directed Order Flow													

Material Aspects:

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