

EPIC CAPITAL SECURITIES CORP - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2025

OCTOBER 2025

S&P 500 Stocks

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 67.64                                        | 6.10                                                      | 19.36                                                         | 6.90                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow   | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)     | 27.32                      | 26.27               | 43.48                            | 26.03                             | 26.92              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| G1 EXECUTION<br>SERVICES, LLC<br>(ETMM) | 15.38                      | 15.29               | 4.35                             | 20.55                             | 11.54              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| HRT FINANCIAL<br>LP (HRTF)              | 12.73                      | 11.76               | 4.35                             | 15.07                             | 23.08              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| STONEX<br>FINANCIAL INC.<br>(INTL)      | 7.96                       | 8.63                | 4.35                             | 9.59                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

| Venue -<br>Non-directed Order<br>Flow         | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| JANE STREET<br>CAPITAL, LLC<br>(JNST)         | 15.92                      | 18.43               | 8.70                             | 13.70                             | 3.85               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| VIRTU AMERICAS<br>LLC (NITE)                  | 10.88                      | 9.80                | 26.09                            | 9.59                              | 11.54              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| PERSHING LLC<br>(PERS)                        | 0.27                       | 0.00                | 0.00                             | 0.00                              | 3.85               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| TWO SIGMA<br>SECURITIES<br>(SOHO)             | 3.18                       | 3.14                | 0.00                             | 2.74                              | 7.69               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GLOBAL<br>EXECUTION<br>BROKERS, LP.<br>(SUSQ) | 0.53                       | 0.00                | 0.00                             | 0.00                              | 7.69               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)                      | 5.57                       | 6.67                | 8.70                             | 2.74                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

Epic Capital Securities Corp routes all of its SEC Rule 606 eligible orders to its clearing firm, Pershing LLC, and does not receive any payment for order flow from the venues listed in this disclosure. For additional information about Pershing LLC's payment for order flow practices, please see Pershing's SEC Rule 606 disclosure.

OCTOBER 2025

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 66.10                                     | 4.38                                                | 21.41                                                   | 8.11                                     |

Venue

| Venue - Non-directed Order Flow   | Non-Directed Orders (%) | Market Orders(%) | Marketable Limit Orders(%) | Non-Marketable Limit Orders(%) | Other Orders(%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------|-------------------------|------------------|----------------------------|--------------------------------|-----------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CITADEL SECURITIES LLC (CDRG)     | 21.49                   | 21.35            | 24.07                      | 21.59                          | 21.00           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| G1 EXECUTION SERVICES, LLC (ETMM) | 17.92                   | 18.28            | 7.41                       | 19.32                          | 17.00           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| GTS SECURITIES LLC. (GTSM)        | 4.54                    | 4.29             | 3.70                       | 6.06                           | 3.00            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| HRT FINANCIAL LP (HRTF)           | 9.49                    | 9.20             | 16.67                      | 7.58                           | 13.00           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| STONEX FINANCIAL INC. (INTL)      | 3.49                    | 3.07             | 3.70                       | 5.30                           | 2.00            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| JANE STREET CAPITAL, LLC (JNST)   | 18.98                   | 20.61            | 18.52                      | 15.91                          | 14.00           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| VIRTU AMERICAS LLC (NITE)         | 13.06                   | 12.76            | 16.67                      | 12.88                          | 14.00           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

| Venue -<br>Non-directed Order<br>Flow         | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| TWO SIGMA<br>SECURITIES<br>(SOHO)             | 5.27                       | 5.28                | 3.70                             | 6.06                              | 4.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GLOBAL<br>EXECUTION<br>BROKERS, LP.<br>(SUSO) | 0.08                       | 0.00                | 0.00                             | 0.00                              | 1.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)                      | 5.27                       | 5.15                | 5.56                             | 5.30                              | 6.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

Epic Capital Securities Corp routes all of its SEC Rule 606 eligible orders to its clearing firm, Pershing LLC, and does not receive any payment for order flow from the venues listed in this disclosure. For additional information about Pershing LLC’s payment for order flow practices, please see Pershing’s SEC Rule 606 disclosure.

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Option Contracts

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 8.42                                         | 10.89                                                     | 78.22                                                         | 2.47                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow         | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)           | 41.42                      | 31.37               | 34.85                            | 43.46                             | 40.00              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| DASH FINANCIAL<br>OPTION ATS<br>(DASH)        | 13.20                      | 23.53               | 21.21                            | 11.39                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| JNOP (JNOP)                                   | 2.31                       | 0.00                | 1.52                             | 2.74                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GLOBAL<br>EXECUTION<br>BROKERS, LP.<br>(SUSQ) | 25.74                      | 25.49               | 24.24                            | 25.74                             | 33.33              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| WOLVERINE<br>EXECUTION SERV,<br>LLC (WEXX)    | 17.33                      | 19.61               | 18.18                            | 16.67                             | 26.67              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

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NOVEMBER 2025

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 86.38                                     | 1.16                                                | 7.54                                                    | 4.92                                     |

Venue

| Venue - Non-directed Order Flow   | Non-Directed Orders (%) | Market Orders(%) | Marketable Limit Orders(%) | Non-Marketable Limit Orders(%) | Other Orders(%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------|-------------------------|------------------|----------------------------|--------------------------------|-----------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CITADEL SECURITIES LLC (CDRG)     | 24.93                   | 25.84            | 25.00                      | 26.92                          | 5.88            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| G1 EXECUTION SERVICES, LLC (ETMM) | 11.30                   | 11.74            | 0.00                       | 3.85                           | 17.65           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| HRT FINANCIAL LP (HRTF)           | 8.41                    | 9.06             | 0.00                       | 7.69                           | 0.00            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| STONEX FINANCIAL INC. (INTL)      | 6.67                    | 7.05             | 0.00                       | 7.69                           | 0.00            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| JANE STREET CAPITAL, LLC (JNST)   | 18.55                   | 18.79            | 25.00                      | 23.08                          | 5.88            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| VIRTU AMERICAS LLC (NITE)         | 15.36                   | 14.09            | 50.00                      | 26.92                          | 11.76           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| PERSHING LLC (PERS)               | 0.29                    | 0.00             | 0.00                       | 0.00                           | 5.88            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

| Venue -<br>Non-directed Order<br>Flow         | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| TWO SIGMA<br>SECURITIES<br>(SOHO)             | 6.67                       | 6.38                | 0.00                             | 3.85                              | 17.65              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GLOBAL<br>EXECUTION<br>BROKERS, LP.<br>(SUSO) | 0.87                       | 0.00                | 0.00                             | 0.00                              | 17.65              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)                      | 6.38                       | 7.05                | 0.00                             | 0.00                              | 5.88               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

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NOVEMBER 2025

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 82.50                                        | 1.88                                                      | 12.00                                                         | 3.62                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow   | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)     | 23.25                      | 23.48               | 13.33                            | 22.92                             | 24.14              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| G1 EXECUTION<br>SERVICES, LLC<br>(ETMM) | 16.63                      | 15.61               | 20.00                            | 22.92                             | 17.24              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GTS SECURITIES<br>LLC. (GTSM)           | 3.63                       | 4.09                | 6.67                             | 1.04                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| HRT FINANCIAL<br>LP (HRTF)              | 11.13                      | 12.27               | 0.00                             | 6.25                              | 6.90               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| ICRS (ICRS)                             | 0.13                       | 0.15                | 0.00                             | 0.00                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| STONEX<br>FINANCIAL INC.<br>(INTL)      | 4.88                       | 5.15                | 6.67                             | 1.04                              | 10.34              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| JANE STREET<br>CAPITAL, LLC<br>(JNST)   | 17.25                      | 17.27               | 13.33                            | 19.79                             | 10.34              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| VIRTU AMERICAS<br>LLC (NITE)            | 11.50                      | 11.36               | 13.33                            | 9.38                              | 20.69              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| TWO SIGMA<br>SECURITIES<br>(SOHO)       | 6.00                       | 5.76                | 0.00                             | 8.33                              | 6.90               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)                | 5.63                       | 4.85                | 26.67                            | 8.33                              | 3.45               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |



Material Aspects

Epic Capital Securities Corp routes all of its SEC Rule 606 eligible orders to its clearing firm, Pershing LLC, and does not receive any payment for order flow from the venues listed in this disclosure. For additional information about Pershing LLC's payment for order flow practices, please see Pershing's SEC Rule 606 disclosure.

NOVEMBER 2025

Option Contracts

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 11.58                                     | 18.78                                               | 61.97                                                   | 7.67                                     |

Venue

| Venue - Non-directed Order Flow  | Non-Directed Orders (%) | Market Orders(%) | Marketable Limit Orders(%) | Non-Marketable Limit Orders(%) | Other Orders(%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|----------------------------------|-------------------------|------------------|----------------------------|--------------------------------|-----------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CITADEL SECURITIES LLC (CDRG)    | 38.65                   | 33.78            | 46.67                      | 35.86                          | 48.98           | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| DASH FINANCIAL OPTION ATS (DASH) | 15.18                   | 16.22            | 19.17                      | 15.15                          | 4.08            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| JNOP (JNOP)                      | 3.91                    | 5.41             | 0.83                       | 5.05                           | 0.00            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

| Venue -<br>Non-directed Order<br>Flow         | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| GLOBAL<br>EXECUTION<br>BROKERS, LP.<br>(SUSQ) | 26.92                      | 32.43               | 16.67                            | 27.53                             | 38.78              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| WOLVERINE<br>EXECUTION SERV,<br>LLC (WEXX)    | 15.34                      | 12.16               | 16.67                            | 16.41                             | 8.16               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

Epic Capital Securities Corp routes all of its SEC Rule 606 eligible orders to its clearing firm, Pershing LLC, and does not receive any payment for order flow from the venues listed in this disclosure. For additional information about Pershing LLC's payment for order flow practices, please see Pershing's SEC Rule 606 disclosure.

DECEMBER 2025

S&P 500 Stocks

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 78.84                                        | 3.70                                                      | 15.87                                                         | 1.59                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow   | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)     | 31.22                      | 32.89               | 42.86                            | 16.67                             | 66.67              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| G1 EXECUTION<br>SERVICES, LLC<br>(ETMM) | 13.76                      | 16.11               | 0.00                             | 6.67                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| HRT FINANCIAL<br>LP (HRTF)              | 11.64                      | 7.38                | 14.29                            | 33.33                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| ICRS (ICRS)                             | 0.53                       | 0.67                | 0.00                             | 0.00                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| STONEX<br>FINANCIAL INC.<br>(INTL)      | 6.35                       | 6.71                | 14.29                            | 3.33                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| JANE STREET<br>CAPITAL, LLC<br>(JNST)   | 14.29                      | 15.44               | 14.29                            | 10.00                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| VIRTU AMERICAS<br>LLC (NITE)            | 12.70                      | 12.75               | 14.29                            | 10.00                             | 33.33              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| TWO SIGMA<br>SECURITIES<br>(SOHO)       | 5.82                       | 5.37                | 0.00                             | 10.00                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)                | 3.70                       | 2.68                | 0.00                             | 10.00                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

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Non-S&P 500 Stocks

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 66.77                                        | 8.95                                                      | 19.73                                                         | 4.55                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow   | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|-----------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)     | 22.76                      | 22.95               | 22.03                            | 25.38                             | 10.00              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| G1 EXECUTION<br>SERVICES, LLC<br>(ETMM) | 17.60                      | 16.59               | 16.95                            | 20.77                             | 20.00              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GTS SECURITIES<br>LLC. (GTSM)           | 4.55                       | 5.68                | 5.08                             | 1.54                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| HRT FINANCIAL<br>LP (HRTF)              | 10.47                      | 10.45               | 11.86                            | 3.85                              | 36.67              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| ICRS (ICRS)                             | 0.15                       | 0.23                | 0.00                             | 0.00                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

| Venue -<br>Non-directed Order<br>Flow | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|---------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| STONEX<br>FINANCIAL INC.<br>(INTL)    | 3.95                       | 4.77                | 0.00                             | 3.85                              | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| JANE STREET<br>CAPITAL, LLC<br>(JNST) | 15.48                      | 16.36               | 13.56                            | 14.62                             | 10.00              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| VIRTU AMERICAS<br>LLC (NITE)          | 12.90                      | 13.64               | 18.64                            | 9.23                              | 6.67               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| TWO SIGMA<br>SECURITIES<br>(SOHO)     | 6.07                       | 5.23                | 1.69                             | 10.00                             | 10.00              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| UBS SECURITIES<br>(UBSS)              | 5.77                       | 4.09                | 10.17                            | 10.77                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

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DECEMBER 2025

Option Contracts

Summary

| Non-Directed Orders as<br>% of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit Orders<br>as % of Non-Directed<br>Orders | Non-Marketable Limit<br>Orders as % of<br>Non-Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 13.75                                        | 14.35                                                     | 68.43                                                         | 3.47                                        |

Venue

| Venue -<br>Non-directed Order<br>Flow          | Non-Directed<br>Orders (%) | Market<br>Orders(%) | Marketable<br>Limit<br>Orders(%) | Non-Marketable<br>Limit Orders(%) | Other<br>Orders(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received<br>for Market<br>Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Marketable Limit<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(USD) | Net Payment<br>Paid/Received for<br>Non-Marketable<br>Limit Orders(cents<br>per hundred<br>shares) | Net Payment<br>Paid/Received for<br>Other Orders(USD) | Net Payment<br>Paid/Received for<br>Other Orders(cents<br>per hundred shares) |
|------------------------------------------------|----------------------------|---------------------|----------------------------------|-----------------------------------|--------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES LLC<br>(CDRG)            | 34.89                      | 34.07               | 43.16                            | 34.66                             | 8.70               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| DASH FINANCIAL<br>OPTION ATS<br>(DASH)         | 15.11                      | 21.98               | 8.42                             | 14.79                             | 21.74              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| JNOP (JNOP)                                    | 10.27                      | 3.30                | 20.00                            | 10.15                             | 0.00               | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| GLOBAL<br>EXECUTION<br>BROKERS, L.P.<br>(SUSQ) | 27.34                      | 29.67               | 23.16                            | 27.15                             | 39.13              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |
| WOLVERINE<br>EXECUTION SERV,<br>LLC (WEXX)     | 12.39                      | 10.99               | 5.26                             | 13.25                             | 30.43              | 0.00                                                      | 0.0000                                                                               | 0.00                                                                | 0.0000                                                                                         | 0.00                                                                    | 0.0000                                                                                             | 0.00                                                  | 0.0000                                                                        |

Material Aspects

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