

Tradition Securities and Derivatives - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2025

January 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

January 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

January 2025

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
American Stock Exchange (AMEX)	31.78	0.00	0.00	0.00	31.78	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-9,693.8300	-0.8535
Philadelphia Stock Exchange (PHLX)	22.03	0.00	0.00	0.00	22.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	29,713.9780	2.0934
NYSE ARCA Options (ARCA)	19.87	0.00	0.00	0.00	19.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-73.9072	-0.1204
MATRIX EXECUTIONS, LLC (RSKY)	12.29	0.00	0.00	0.00	12.29	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	29.2600	0.0770
CBOE FX NDFS (CBOE)	8.31	0.00	0.00	0.00	8.31	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-32,889.0000	-8.5383

Material Aspects:

American Stock Exchange (AMEX):
member organization executes greater than 1,000,000 qualifying QCC contracts in a given month. Additional 3rd party relationships may exist that evolve over time, further information pertaining to these relationships can be obtained under an NDA by contacting the Tradition Compliance Team at: ComplianceUS@tradition.com. Please reference the following in the subject of the email: "TSD 606 - Material Aspects"

Philadelphia Stock Exchange (PHLX):
QCC Transaction Fee QCC Transaction Fees for a Lead Market Maker, Market Maker, Firm and Broker-Dealer are 20 cents per contract. Customers and Professionals are not assessed a QCC Transaction Fee. QCC Transaction Fees apply to electronic QCC Orders, as defined in Options 3, Section 12 and Floor QCC Orders, as defined in Options 8, Section 30(e). QCC Rebates A QCC Rebate of 12 centers per contract will be paid on electronic QCC Orders, as defined in Options 3, Section 12, and Floor QCC Orders, as defined in Options 8, Section 30(e), when a QCC Order is comprised of a Customer or Professional order on one side and a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. This rebate will be 17 cents per contract in the event that a member or member organization executes greater than 750,000 qualifying QCC contracts in a given month. This rebate will be 22 cents per contract in the event that a member or member organization executes (1) greater than 750,000 qualifying QCC contracts in a given month, (2) Floor Originated Strategy Executions in excess of 2,500,000 contracts in a given month, and (3) at least 40 percent of the member or member organization's QCC executed contracts in that month are comprised of a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on one side and Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. A QCC Rebate of 14 cents per contract will be paid on electronic QCC Orders, as defined in Options 3, Section 12, and Floor QCC Orders, as defined in Options 8, Section 30(e), when a QCC Order is comprised of a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on one side and a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. This rebate will be 19 cents per contract in the event that a member or member organization executes greater than 750,000 qualifying QCC contracts in a given month. This rebate will be 27 centers per contract in the event that a member or member organization executes (1) greater than 750,000 qualifying QCC contracts in a given month, (2) Floor Originated Strategy Executions in excess of 2,500,000 contracts in a given month, and (3) at least 40 percent of the member or member organization's QCC executed contracts in that month are comprised of a Lead Market Maker, Market Maker, or Broker-Dealer, or Firm order on one side and Lead Market Maker, Market Maker, Broker-Dealer, or Firm on the other side. Further information pertaining to these relationships can be obtained by contacting the Tradition Compliance Team at: ComplianceUS@tradition.com. Please reference the following in the subject of the email: TSD 606 Material Aspects.

NYSE ARCA Options (ARCA):
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MATRIX EXECUTIONS, LLC (RSKY):
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CBOE FX NDFS (CBOE):
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S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2025

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2025

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
American Stock Exchange (AMEX)	29.76	0.00	0.00	0.00	29.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-6,681.5800	-0.4690
NYSE ARCA Options (ARCA)	26.21	0.00	0.00	0.00	26.21	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-96.8979	-0.1204
Philadelphia Stock Exchange (PHLX)	18.23	0.00	0.00	0.00	18.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2,189.7144	-0.2445
MATRIX EXECUTIONS, LLC (RSKY)	11.75	0.00	0.00	0.00	11.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	27.7970	0.0770
CBOE FX NDFS (CBOE)	9.31	0.00	0.00	0.00	9.31	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-43,377.5700	-9.8903

Material Aspects:

American Stock Exchange (AMEX):
member organization executes greater than 1,000,000 qualifying QCC contracts in a given month. Additional 3rd party relationships may exist that evolve over time, further information pertaining to these relationships can be obtained under an NDA by contacting the Tradition Compliance Team at: ComplianceUS@tradition.com. Please reference the following in the subject of the email: "TSD 606 - Material Aspects"

NYSE ARCA Options (ARCA):
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Philadelphia Stock Exchange (PHLX):
QCC Transaction Fee QCC Transaction Fees for a Lead Market Maker, Market Maker, Firm and Broker-Dealer are 20 cents per contract. Customers and Professionals are not assessed a QCC Transaction Fee. QCC Transaction Fees apply to electronic QCC Orders, as defined in Options 3, Section 12 and Floor QCC Orders, as defined in Options 8, Section 30(e). QCC Rebates A QCC Rebate of 12 centers per contract will be paid on electronic QCC Orders, as defined in Options 3, Section 12, and Floor QCC Orders, as defined in Options 8, Section 30(e), when a QCC Order is comprised of a Customer or Professional order on one side and a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. This rebate will be 17 cents per contract in the event that a member or member organization executes greater than 750,000 qualifying QCC contracts in a given month. This rebate will be 22 cents per contract in the event that a member or member organization executes (1) greater than 750,000 qualifying QCC contracts in a given month, (2) Floor Originated Strategy Executions in excess of 2,500,000 contracts in a given month, and (3) at least 40 percent of the member or member organization's QCC executed contracts in that month are comprised of a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on one side and Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. A QCC Rebate of 14 cents per contract will be paid on electronic QCC Orders, as defined in Options 3, Section 12, and Floor QCC Orders, as defined in Options 8, Section 30(e), when a QCC Order is comprised of a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on one side and a Lead Market Maker, Market Maker, Broker-Dealer, or Firm order on the other side. This rebate will be 19 cents per contract in the event that a member or member organization executes greater than 750,000 qualifying QCC contracts in a given month. This rebate will be 27 centers per contract in the event that a member or member organization executes (1) greater than 750,000 qualifying QCC contracts in a given month, (2) Floor Originated Strategy Executions in excess of 2,500,000 contracts in a given month, and (3) at least 40 percent of the member or member organization's QCC executed contracts in that month are comprised of a Lead Market Maker, Market Maker, or Broker-Dealer, or Firm order on one side and Lead Market Maker, Market Maker, Broker-Dealer, or Firm on the other side. Further information pertaining to these relationships can be obtained by contacting the Tradition Compliance Team at: ComplianceUS@tradition.com. Please reference the following in the subject of the email: TSD 606 Material Aspects.

MATRIX EXECUTIONS, LLC (RSKY):
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CBOE FX NDFS (CBOE):
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March 2025

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

March 2025

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

March 2025

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

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NYSE ARCA Options (ARCA)	30.69	0.00	0.00	0.00	30.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-138.1848	-0.1204
American Stock Exchange (AMEX)	28.94	0.00	0.00	0.00	28.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-7,704.5000	-0.5428

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Philadelphia Stock Exchange (PHLX)	17.03	0.00	0.00	0.00	17.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-18,132.5006	-1.2244
CBOE FX NDFS (CBOE)	11.48	0.00	0.00	0.00	11.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-42,487.4200	-12.0868
MATRIX EXECUTIONS, LLC (RSKY)	7.87	0.00	0.00	0.00	7.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	22.7150	0.0770

Material Aspects:

NYSE ARCA Options (ARCA):

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