

Atomic Vaults Securities - Held NMS Stocks and Options Order Routing Public Report

October 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100	1.73	45.15	53.12	0

Venues

Venue -	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
JANE	78.99	1.61	45.23	53.16	0	1256.62	68.4055	71109.92	78.8299	59298.97	75.9789	0
BOATS	9.03	0	48.36	51.64	0	0	0	-7677.82	-20	3582.7	6	0
DASH	8.16	0	42.55	57.45	0	0	0	-5320.64	-5	-7183.81	-5	0
TRFX	3.7	12.39	39.76	47.85	0	0	0	0	0	0	0	0

Material Aspects:

JANE:

Atomic Vault Securities routes stock orders to JANE for agency execution and receives payments for directing order flow to this venue on a per contract rate that depends on the average spread for the symbol traded.

DASH:

Atomic Vault Securities routes stock orders to DASH for agency execution and pays a transaction-based commission of \$0.0005/share. DASH passes through the net fees or rebates incurred in executing orders on various Exchanges.

BOATS:

Atomic Vault Securities routes stock orders to Blue Ocean ATS (BOATS) for execution and receives \$0.0006 per share executed for orders adding liquidity and pays \$0.002 per share executed for orders taking liquidity.

TRFX:

Atomic Vault Securities routes fractional stock orders to TRAFiX and does not receive payment for executed orders.

October 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	1.19	52.16	46.65	0

Venues

Venue -	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
JANE	72.34	1.29	52.85	45.86	0	2354.94	5.0742	107648.55	3.9645	88483.83	3.6048	0	0
DASH	18.35	0	52.06	47.93	0	0	0	-6509.82	-5	-5993.38	-5	0	0
BOATS	6.56	0	47.47	52.53	0	0	0	-7677.82	-20	3582.7	6	0	0
TRFX	2.23	11.39	46.85	41.77	0	0	0	0	0	0	0	0	0
OTCM	0.21	0	47.88	52.12	0	0	0	-294.2	-5	-320.26	-5	0	0

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OTCM:

Atomic Vault Securities routes stock orders to OTC Markets and receives rebates and/or pays fees according to the publicly available rate card found here: <https://www.otcmarkets.com/files/OTC%20Link%20ECN%20Schedule%20A.pdf>

October 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	0.52	54.04	45.42	0

Venues

Venue -	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
DASH	40.69	0.51	52.94	46.51	0	1808.92	34.6005	241128.03	30.1986	263329.08	37.0318	0	0
JANE	59.31	0.53	54.8	44.67	0	2938.05	36.5247	473059.09	34.46	460619.61	44.5954	0	0

Material Aspects:

DASH:

Atomic Vault Securities routes option orders to DASH for agency execution and receives payments for directing order flow to this venue on a per contract rate that depends on the average spread for the symbol traded and is also capped according to spread. A portion of payment is rebated back to the customers and the reported numbers account for the

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S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100	1.87	46.36	51.77	0

Venues

Venue -	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
JANE	75.97	1.83	46.36	51.8	0	1020.61	76.1728	47402.08	80.5705	39733.86	79.2822	0	0
DASH	7.01	0	45.33	54.67	0	0	0	-2253.58	-5	-2717.91	-5	0	0
BOATS	12.27	0	48.45	51.55	0	0	0	-4267.18	-20	1822.24	6	0	0
TRFX	3.37	14.28	39.93	45.79	0	0	0	0	0	0	0	0	0

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November 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100	1.5	52.79	45.71	0

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TRFX	2.38	16.2	44.7	39.1	0	0	0	0	0	0	0	0	0
JANE	73.49	1.52	54.13	44.35	0	1963.49	7.4602	66882.92	5.0553	40584.29	3.6023	0	0
BOATS	11.13	0	48.06	51.94	0	0	0	-4267.18	-20	1822.24	6	0	0
DASH	11.23	0	51.27	48.72	0	0	0	-2548.88	-5	-2422.11	-5	0	0
OTCM	0.27	0	57.28	42.72	0	0	0	-498.04	-5	-371.45	-5	0	0

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November 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	0.54	54.39	45.05	0

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DASH	34.84	0.59	52.76	46.59	0	1199.14	37.626	151651.22	29.9398	172077.73	36.8848	0	0
JANE	65.16	0.52	55.25	44.23	0	2089.31	38.0081	356558.34	31.6198	359809.81	40.2701	0	0

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December 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	2.3	46.66	51.05	0

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JANE	77.43	2.15	47.03	50.82	0	1901.49	100.1166	47797.66	79.0748	42997.22	82.8527	0	0
DASH	6.01	0	46.62	53.38	0	0	0	-1712.38	-5	-1960.67	-5	0	0
TRFX	4.11	15.48	36.74	47.78	0	0	0	0	0	0	0	0	0
BOATS	11.53	0	45.98	54.02	0	0	0	-4267.18	-20	1822.24	6	0	0

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December 2025

Non-S&P 500 Stocks

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100	1.63	52.97	45.4	0

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JANE	77.23	1.42	54.47	44.11	0	1344.59	4.4991	56486.97	4.4361	39866.81	3.4534	0	0
BOATS	9.3	0	47.37	52.63	0	0	0	-4267.18	-20	1822.24	6	0	0
TRFX	3.22	16.68	42.82	40.5	0	0	0	0	0	0	0	0	0
DASH	8.91	0	50.49	49.51	0	0	0	-1854.52	-5	-1818.53	-5	0	0
OTCM	0.2	0	52.37	47.63	0	0	0	-242.55	-5	-220.59	-5	0	0

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December 2025

Options

Summary

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100	0.45	56.11	43.43	0

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JANE	98.51	0.25	56.23	43.52	0	1808.93	32.5054	611681.91	34.8725	474199.01	36.0892	0	0
DASH	1.49	13.52	48.32	37.27	0	527.31	19.9361	5412.68	31.7739	4916.5	37.9272	0	0

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