

Generated on Fri Jan 02 2026 10:27:52 GMT-0500 (Eastern Standard Time)

October 2025

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.61	3.21	14.22	5.96

[illegible]

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	17.78	17.79	33.33	3.45	25.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	12.89	13.50	23.81	3.45	8.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	12.44	13.50	4.76	10.34	16.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	7.11	8.59	4.76	0.00	8.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS SECURITIES LLC (UBSS)	5.33	6.75	0.00	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	4.44	0.00	0.00	27.59	16.67	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES, LLC (SOHO)	2.67	3.07	0.00	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MEMX LLC EQUITIES (MEMX)	2.22	0.00	0.00	17.24	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ EXECUTION SERVICES, LLC (INET)	1.78	0.00	0.00	13.79	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GOLDMAN SACHS AND CO. (GSCO)	0.89	1.23	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GTS SECURITIES (GTSM)	0.89	0.61	0.00	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

HUDSON RIVER TRADING (HRT) (HRTF):

As a reminder, Seaport does not get paid for order flow as indicated in your data

Citadel Securities LLC (CDRG):

As a reminder, Seaport does not get paid for order flow as indicated in your data

G1 Execution Services, LLC (ETMM):

As a reminder, Seaport does not get paid for order flow as indicated in your data

VIRTU Americas LLC (NITE):

As a reminder, Seaport does not get paid for order flow as indicated in your data

JANE STREET CAPITAL, LLC (JNST):

As a reminder, Seaport does not get paid for order flow as indicated in your data

UBS SECURITIES LLC (UBSS):

As a reminder, Seaport does not get paid for order flow as indicated in your data

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):

As a reminder, Seaport does not get paid for order flow as indicated in your data

TWO SIGMA SECURITIES, LLC (SOHO):

As a reminder, Seaport does not get paid for order flow as indicated in your data

MEMX LLC EQUITIES (MEMX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

NASDAQ EXECUTION SERVICES, LLC (INET):
As a reminder, Seaport does not get paid for order flow as indicated in your data

October 2025

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	11.63	88.37	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
UNKNOWN	27.88	0.00	0.00	31.58	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LLC (DFIN)	23.26	0.00	40.00	21.05	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Philadelphia Stock Exchange (PHLX)	6.98	0.00	40.00	2.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE FX NDFS (CBOE)	6.98	0.00	0.00	7.89	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UNKNOWN (MIAX)	6.98	0.00	0.00	7.89	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UNKNOWN (CES)	6.98	0.00	0.00	7.89	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GLOBAL EXECUTION BROKERS, LP (GEBB)	4.65	0.00	20.00	2.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	4.65	0.00	0.00	5.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
American Stock Exchange (AMEX)	4.65	0.00	0.00	5.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	2.33	0.00	0.00	2.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ISE MERCURY, LLC (MCRY)	2.33	0.00	0.00	2.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UNKNOWN (BOX)	2.33	0.00	0.00	2.63	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

DASH FINANCIAL TECHNOLOGIES LLC (DFIN):
As a reminder, Seaport does not get paid for order flow as indicated in your data

Philadelphia Stock Exchange (PHLX):

CBOE FX NDFS (CBOE):
As a reminder, Seaport does not get paid for order flow as indicated in your data

American Stock Exchange (AMEX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

ISE MERCURY, LLC (MCRY):
As a reminder, Seaport does not get paid for order flow as indicated in your data

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	73.65	6.59	11.98	7.78

[illegible]

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
MEMX LLC EQUITIES (MEMX)	1.20	0.00	0.00	10.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INSTINET BLOCKCROSS ATS (BLKX)	0.60	0.81	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	0.60	0.00	0.00	5.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ EXECUTION SERVICES, LLC (INET)	0.60	0.00	0.00	5.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

HUDSON RIVER TRADING (HRT) (HRTF):
As a reminder, Seaport does not get paid for order flow as indicated in your data

G1 Execution Services, LLC (ETMM):
As a reminder, Seaport does not get paid for order flow as indicated in your data

Citadel Securities LLC (CDRG):
As a reminder, Seaport does not get paid for order flow as indicated in your data

VIRTU Americas LLC (NITE):
As a reminder, Seaport does not get paid for order flow as indicated in your data

JANE STREET CAPITAL, LLC (JNST):
As a reminder, Seaport does not get paid for order flow as indicated in your data

UBS SECURITIES LLC (UBSS):
Seaport Securities is an introducing broker-dealer and routes all customer orders through our clearing firm, National Financial Services (NFS), a registered broker-dealer. NFS is responsible for the routing of customer orders on our behalf. While Seaport does not receive payment for order flow or other remuneration in connection with the routing of customer orders, NFS may receive such payments from certain venues, including exchanges and market makers, or may have other order routing arrangements that could influence its routing decisions. These routing decisions are made solely by NFS. Seaport does not influence or direct the routing of orders handles by NFS. Customers should refer to NFS's Rule 606 disclosures available at <https://clearingcustody.fidelity.com/trade-execution-quality/sec-rule-606> for more information about its routing practices and any associated payments received from execution venues. As a reminder, Seaport does not get paid for order flow as indicated in your data

TWO SIGMA SECURITIES, LLC (SOHO):
As a reminder, Seaport does not get paid for order flow as indicated in your data

NATIONAL FINANCIAL SERVICES, LLC (NFSC):
As a reminder, Seaport does not get paid for order flow as indicated in your data

MEMX LLC EQUITIES (MEMX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

November 2025

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	50.43	6.84	28.21	14.53

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
HUDSON RIVER TRADING (HRT) (HRTF)	21.37	32.20	37.50	6.06	5.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	11.97	11.86	25.00	3.03	23.53	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS SECURITIES LLC (UBSS)	11.11	16.95	12.50	6.06	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	11.11	11.86	25.00	12.12	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	10.26	10.17	0.00	12.12	11.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	7.69	0.00	0.00	24.24	5.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	7.69	8.47	0.00	3.03	17.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MEMX LLC EQUITIES (MEMX)	5.98	0.00	0.00	21.21	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NASDAQ EXECUTION SERVICES, LLC (INET)	4.27	0.00	0.00	9.09	11.76	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES, LLC (SOHO)	2.56	3.39	0.00	0.00	5.88	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Archipelago Trading Services, Inc. (ARCA)	2.56	0.00	0.00	0.00	17.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NATIONAL FINANCIAL SERVICES, LLC (NFSC)	1.71	3.39	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GOLDMAN SACHS AND CO. (GSCO)	0.85	1.69	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
New York Stock Exchange (NYSE)	0.85	0.00	0.00	3.03	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

HUDSON RIVER TRADING (HRT) (HRTF):

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

As a reminder, Seaport does not get paid for order flow as indicated in your data

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	3.03	9.09	87.88	0.00

Venues

[illegible]

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE FX NDFS (CBOE)	9.09	0.00	33.33	6.90	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	6.06	100.00	33.33	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Philadelphia Stock Exchange (PHLX)	6.06	0.00	33.33	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	6.06	0.00	0.00	6.90	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	3.03	0.00	0.00	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	3.03	0.00	0.00	3.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

DASH FINANCIAL TECHNOLOGIES LLC (DFIN):
As a reminder, Seaport does not get paid for order flow as indicated in your data

American Stock Exchange (AMEX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

ISE MERCURY, LLC (MCRY):
As a reminder, Seaport does not get paid for order flow as indicated in your data

CBOE FX NDFS (CBOE):
As a reminder, Seaport does not get paid for order flow as indicated in your data

JANE STREET CAPITAL, LLC (JNST):
As a reminder, Seaport does not get paid for order flow as indicated in your data

Philadelphia Stock Exchange (PHLX):
As a reminder, Seaport does not get paid for order flow as indicated in your data

CBOE BZX U.S. EQUITIES EXCHANGE (BATS):
As a reminder, Seaport does not get paid for order flow as indicated in your data

December 2025

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	66.40	10.40	15.20	8.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NATIONAL FINANCIAL SERVICES, LLC (NFSC)	100.00	100.00	100.00	100.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

NATIONAL FINANCIAL SERVICES, LLC (NFSC):
As a reminder, Seaport does not get paid for order flow as indicated in your data

December 2025

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	57.38	15.57	22.13	4.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NATIONAL FINANCIAL SERVICES, LLC (NFSC)	100.00	100.00	100.00	100.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

NATIONAL FINANCIAL SERVICES, LLC (NFSC):
As a reminder, Seaport does not get paid for order flow as indicated in your data

December 2025

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	6.67	93.33	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NATIONAL FINANCIAL SERVICES, LLC (NFSC)	100.00	0.00	100.00	100.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

NATIONAL FINANCIAL SERVICES, LLC (NFSC):
As a reminder, Seaport does not get paid for order flow as indicated in your data