

**MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing
Public Report**

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non- Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non- Directed Orders
100.00	99.73	0.1	0.17	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Receiv ed for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	37.04	37.41	66.67	13.73	0	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	19.72	19.85	0	13.73	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	18.64	18.74	0	13.73	0	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	9.35	9.39	0	7.84	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	7.7	7.81	0	1.96	0	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	4.21	4.29	0	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.38	1.41	0	0	0	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	0.76	0	33.33	43.14	0	0	0	0	0	0	0	0	0
GTS Securities LLC(GTSM)	0.63	0.64	0	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.46	0.47	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

July 2026

Non S&P 500 Stocks

Summary

Material Aspects:

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.85	0.06	0.09	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	27.96	28.36	0	14.74	0	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	22.33	22.5	0	16.84	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	19.96	20.22	0	11.58	0	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	16.32	16.34	50	14.74	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	5.58	5.62	0	4.21	0	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	4.79	4.72	0	7.37	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.31	1.35	0	0	0	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	0.88	0	50	30.53	0	0	0	0	0	0	0	0	0
GTS Securities LLC(GTSM)	0.5	0.51	0	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.38	0.39	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

August 2026

Non S&P 500 Stocks

Summary

Material Aspects:

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.86	0.09	0.03	0.03

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	26.58	26.69	14.29	17.81	0	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	20.91	20.94	42.86	13.7	100	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.64	20.77	0	9.59	0	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	20.61	20.64	28.57	17.81	0	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	5.1	5.14	0	1.37	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	3.05	3.03	14.29	4.11	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.4	1.41	0	0	0	0	0	0	0	0	0	0	0
GTS Securities LLC(GTSM)	0.76	0.76	0	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.63	0.62	0	1.37	0	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	0.33	0	0	34.25	0	0	0	0	0	0	0	0	0

Material Aspects:

September 2026

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.48	0.38	0.14	

Venues

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Material Aspects:

September 2026

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	

Venues

[illegible]

Material Aspects: