Cannacord Genuity Inc. - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Morgan Stanley & Co., LLC	97.22	0.00	0.00	0.00	97.22	0.00	0.0000	0.00	0.0000	0.00	0.0000	-5.95	-5.4595

Material Aspects:

Morgan Stanley & Co., LLC:
CG- ADAM utilizes Morgan Stanley's services for which it is charged at a rate of $0.0005 cost plus per share. MSCO passes back/on any fees associated with trading on the exchanges.

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	5.26	0.00	0.00	94.74

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Morgan Stanley & Co., LLC	84.21	0.00	0.00	0.00	88.89	0.00	0.0000	0.00	0.0000	0.00	0.0000	-71.16	-5.4595
CITADEL SECURITIES LLC	5.26	0.00	0.00	0.00	5.56	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Clearpool Execution Services, LLC	5.26	0.00	0.00	0.00	5.56	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	5.26	100.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Morgan Stanley & Co., LLC:
CG-ADAM utilizes Morgan Stanley's services for which it is charged at a rate of $0.0005 cost plus per share. MSCO passes back/on any fees associated with trading on the exchanges.

CITADEL SECURITIES LLC:
Citadel's fees follow the maker/taker construct plus $0.0005 per share for executions done as agency; whereas they will remunerate Canaccord at a rate up to $0.001 for principal executions. Additional rebates for larger flow are also given.

Clearpool Execution Services, LLC:
Clearpool charges a rate of $0.0005 per share plus exchange costs/ rebates according to whether the order adds or removes liquidity.

Goldman, Sachs & Co.:
Goldman Sachs charges CG-ADAM at a rate per share of $0.0005 cost/plus; guaranteed market on close is billed at $0.0006 all-in. GSCO passes back/on any fees associated with trading on the exchanges. GSCO pays CG-ADAM a rate of per share of $0.001 for VWAP, Participate (POV) & TWAP orders in US listed equities priced greater than or equal to $1.00 per share. For VWAP/TWAP/ Participate orders where the security is price is <$1 per share, the all-in rate is $0.001.

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	23.40	14.89	21.28	40.43

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	34.04	31.82	0.00	30.00	50.00	99.62	1.0501	0.00	0.0000	24.05	1.0501	19.11	1.0501
Casey Securities LLC	34.04	31.82	50.00	30.00	31.58	-37.87	-0.5660	-41.88	-0.5660	-37.03	-0.5660	-6.79	-0.5660

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
D & D Securities, Inc.	20.21	18.18	50.00	40.00	0.00	-105.89	-0.1037	-11.41	-0.1037	-12.13	-0.1037	0.00	0.0000
Cheevers & Company Inc.	4.26	0.00	0.00	0.00	10.53	0.00	0.0000	0.00	0.0000	0.00	0.0000	-0.07	-0.0060

Material Aspects:

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions is a member of all US options exchanges, and charges CG-ADAM at a rate between $0.0 to $0.04 per contract, and $0.00010 per share on executions; in accordance with the exchange on which the order is executed, the size of the contract, whether the order is QCC, DMA or ALGO, customer or non-customer, the initiating side, and whether phoned-in or not. Cannaccord primarily utilizes Matrix's SMART ROUTER. Matrix also passes on/back any maker /taker rebates/fees associated with trading on the exchanges as well as rebates for hitting certain tiers on the PHLX Exchange, and for QCC Crosses. Please see Matrix Executions's order routing information at: https://www.matrixexecutions.com/

Casey Securities LLC:
Casey Securities, LLC provides execution services for CG-ADAM, for which it charges $0.05 on one side, per cross/ contract executed with them.

D & D Securities, Inc.:
D&D Securities - floor brokers on the PHLX Options Exchange, charge CG-ADAM at a rate of $0.05/ $0.025 per contract/cross, and pass on/back rebates or charges associated with trading on the exchanges.

Cheevers & Company Inc.:
Penserra charges CG-ADAM at a rate of $0.0002 per share for equity executions depending on who initiated the trade, and on whether the trade is broker to broker or DVP. Penserra is used to execute the equity portion of complex options.

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Goldman, Sachs & Co.	57.14	0.00	0.00	0.00	57.14	0.00	0.0000	0.00	0.0000	0.00	0.0000	4.92	1.6234
Morgan Stanley & Co., LLC	42.86	0.00	0.00	0.00	42.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	-22.86	-3.1212

Material Aspects:

Goldman, Sachs & Co.:
Goldman Sachs charges CG-ADAM at a rate per share of $0.0005 cost/plus; guaranteed market on close is billed at $0.0006 all-in. GSCO passes back/on any fees associated with trading on the exchanges. GSCO pays CG-ADAM a rate of per share of $0.001 for VWAP, Participate (POV) & TWAP orders in US listed equities priced greater than or equal to $1.00 per share. For VWAP/TWAP/ Participate orders where the security is price is <$1 per share, the all-in rate is $0.001.

Morgan Stanley & Co., LLC:

CG- ADAM utilizes Morgan Stanley's services for which it is charged at a rate of $0.0005 cost plus per share. MSCO passes back/on any fees associated with trading on the exchanges.

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Goldman, Sachs & Co.	61.54	0.00	0.00	0.00	61.54	0.00	0.0000	0.00	0.0000	0.00	0.0000	36.70	1.6234
Morgan Stanley & Co., LLC	34.62	0.00	0.00	0.00	34.62	0.00	0.0000	0.00	0.0000	0.00	0.0000	-4.15	-3.1212

Material Aspects:

Goldman, Sachs & Co.:
Goldman Sachs charges CG-ADAM at a rate per share of $0.0005 cost/plus; guaranteed market on close is billed at $0.0006 all-in. GSCO passes back/on any fees associated with trading on the exchanges. GSCO pays CG-ADAM a rate of per share of $0.001 for VWAP, Participate (POV) & TWAP orders in US listed equities priced greater than or equal to $1.00 per share. For VWAP/TWAP/ Participate orders where the security is price is <$1 per share, the all-in rate is $0.001.

Morgan Stanley & Co., LLC:
CG-ADAM utilizes Morgan Stanley's services for which it is charged at a rate of $0.0005 cost plus per share. MSCO passes back/on any fees associated with trading on the exchanges.

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	4.46	13.39	44.64	37.50

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Casey Securities LLC	46.43	40.00	20.00	66.00	33.33	-11.10	-1.1098	-22.20	-1.1098	-629.36	-1.1098	-8.51	-1.1098
D & D Securities, Inc.	32.14	40.00	60.00	28.00	26.19	311.51	2.4384	512.07	2.4384	597.29	2.4384	31.94	2.4384
Matrix Executions, LLC / Simplex Trading, LLC	13.39	20.00	20.00	6.00	19.05	0.68	0.2739	13.70	0.2739	13.70	0.2739	1.67	0.2739

Material Aspects:

Casey Securities LLC:
Casey Securities, LLC provides execution services for CG-ADAM, for which it charges $0.05 on one side, per cross/ contract executed with them.

D & D Securities, Inc.:
D&D Securities - floor brokers on the PHLX Options Exchange, charge CG-ADAM at a rate of $0.05/ $0.025 per contract/cross, and pass on/back rebates or charges associated with trading on the exchanges.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions is a member of all US options exchanges, and charges CG-ADAM at a rate between $0.0 to $0.04 per contract, and $0.00010 per share on executions; in accordance with the exchange on which the order is executed, the size of the contract, whether the order is QCC, DMA or ALGO, customer or non-customer, the initiating side, and whether phoned-in or not. Cannaccord primarily utilizes Matrix's SMART ROUTER. Matrix also passes on/back any maker /taker rebates/fees associated with trading on the exchanges as well as rebates for hitting certain tiers on the PHLX Exchange, and for QCC Crosses. Please see Matrix Executions's order routing information at: https://www.matrixexecutions.com/

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Morgan Stanley & Co., LLC	70.59	0.00	0.00	0.00	70.59	0.00	0.0000	0.00	0.0000	0.00	0.0000	-3.88	-5.7480
Goldman, Sachs & Co.	11.76	0.00	0.00	0.00	11.76	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	5.88	0.00	0.00	0.00	5.88	0.00	0.0000	0.00	0.0000	0.00	0.0000	-3.04	-6.0878
Clearpool Execution Services, LLC	5.88	0.00	0.00	0.00	5.88	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
RBC Capital Markets Corporation	5.88	0.00	0.00	0.00	5.88	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Morgan Stanley & Co., LLC:
CG- ADAM utilizes Morgan Stanley's services for which it is charged at a rate of $0.0005 cost plus per share. MSCO passes back/on any fees associated with trading on the exchanges.

Goldman, Sachs & Co.:
Goldman Sachs charges CG-ADAM at a rate per share of $0.0005 cost/plus; guaranteed market on close is billed at $0.0006 all-in. GSCO passes back/on any fees associated with trading on the exchanges. GSCO pays CG-ADAM a rate of per share of $0.001 for VWAP, Participate (POV) & TWAP orders in US listed equities priced greater than or equal to $1.00 per share. For VWAP/TWAP/ Participate orders where the security is price is <$1 per share, the all-in rate is $0.001.

CITADEL SECURITIES LLC:
Citadel's fees follow the maker/taker construct plus $0.0005 per share for executions done as agency; whereas they will remunerate Canaccord at a rate of $0.0001 for principal executions. Additional rebates for larger flow are also given.

Clearpool Execution Services, LLC:
Clearpool charges a rate of $0.0005 per share plus/minus exchange costs/ rebates according to whether the order adds or removes liquidity.

RBC Capital Markets Corporation:
ROYAL BANK OF CANADA (RBCM) executes orders on behalf of CG-ADAM at a rate between $0.0005 and $0.05 per share and may also pass on/back fees or rebates associated with execution on the various exchanges. Please see RBC's routing information at:- https://www.rbccm.com/assets/rbccm/docs/legal/RBC-Capital-Markets-Regulatory-Disclosures-Equities-and-Listed-Options.pdf

June 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.14	0.00	0.00	92.86

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Morgan Stanley & Co., LLC	78.57	0.00	0.00	0.00	84.62	0.00	0.0000	0.00	0.0000	0.00	0.0000	-1.49	-5.7480
RBC Capital Markets Corporation	14.29	0.00	0.00	0.00	15.38	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	7.14	100.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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RBC Capital Markets Corporation:
ROYAL BANK OF CANADA (RBCM) executes orders on behalf of CG-ADAM at a rate between $0.0005 and $0.05 per share and may also pass on/back fees or rebates associated with execution on the various exchanges. Please see RBC's routing information at:- https://www.rbccm.com/assets/rbccm/docs/legal/RBC-Capital-Markets-Regulatory-Disclosures-Equities-and-Listed-Options.pdf

CITADEL SECURITIES LLC:
Citadel's fees follow the maker/taker construct plus $0.0005 per share for executions done as agency; whereas they will remunerate Canaccord at a rate up to $0.001 for principal executions. Additional rebates for larger flow are also given.

June 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.99	13.86	45.54	39.60

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	39.60	0.00	50.00	28.26	50.00	0.00	0.0000	-22.06	-0.3461	-27.58	-0.3461	-6.52	-0.3461
D & D Securities, Inc.	20.79	0.00	7.14	28.26	17.50	0.00	0.0000	88.81	5.9206	701.60	5.9206	38.48	5.9206
Casey Securities LLC	16.83	100.00	7.14	32.61	0.00	-3.62	-2.0120	-6.04	-2.0120	-76.46	-2.0120	0.00	0.0000
SRT SECURITIES LLC.	16.83	0.00	35.71	10.87	17.50	0.00	0.0000	-23.77	-0.1585	-23.77	-0.1585	-12.76	-0.1585

Material Aspects:

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions is a member of all US options exchanges, and charges CG-ADAM at a rate between $0.0 to $0.04 per contract, and $0.00010 per share on executions; in accordance with the exchange on which the order is executed, the size of the contract, whether the order is QCC, DMA or ALGO, customer or non-customer, the initiating side, and whether phoned-in or not. Cannaccord primarily utilizes Matrix's SMART ROUTER. Matrix also passes on/back any maker /taker rebates/fees associated with trading on the exchanges as well as rebates for hitting certain tiers on the PHLX Exchange, and for QCC Crosses. Please see Matrix Executions's order routing information at: https://www.matrixexecutions.com/

D & D Securities, Inc.:
D&D Securities - floor brokers on the PHLX Options Exchange, charge CG-ADAM at a rate of $0.05/ $0.025 per contract/cross, and pass on/back rebates or charges associated with trading on the exchanges.

Casey Securities LLC:
Casey Securities, LLC provides execution services for CG-ADAM, for which it charges $0.05 on one side, per cross/ contract executed with them.

SRT SECURITIES LLC.:
SRT Securities LLC (Formerly, Israel A Englander) is a Floor Broker on the AMEX Exchange. SRT charges Canaccord a rate of $0.025 per contract for executions.