

AXOS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.95	0.93	3.17	2.95

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	49.05	51.96	18.60	5.87	13.22	1,617.98	15.0000	52.10	15.0000	54.61	15.0000	1.23	0.9977
CITADEL SECURITIES LLC	31.54	32.03	22.09	19.66	31.94	1,038.43	15.0000	71.08	8.4854	78.26	13.1885	38.14	15.0000
UBS Securities, LLC	8.55	7.60	14.88	18.84	25.70	780.99	14.9927	403.73	15.0000	83.04	15.0000	51.46	15.0000
Canaccord Genuity Securities	6.25	5.40	13.26	17.88	18.06	1,120.02	15.0000	20.75	15.0000	79.46	15.0000	49.20	15.0000

Material Aspects:

Virtu Americas, LLC:
Axos Clearing LLC receives payments from Virtu Americas, LLC for routing order flow in NMS securities to this venue. Axos Clearing LLC receives remuneration in the form of \$0.0015 per executed share when the execution price is \$1 per share or higher. Axos Clearing LLC does not receive remuneration for executed shares when the execution price is less than \$1 per share.

CITADEL SECURITIES LLC:
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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	90.23	2.11	4.19	3.47

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	49.28	53.43	4.14	3.18	24.58	10,890.67	14.9604	455.94	15.0000	56.12	14.9752	3.20	0.0263
CITADEL SECURITIES LLC	30.08	30.49	24.04	21.54	33.51	7,007.47	12.7644	5,174.74	6.2713	4,377.92	9.5148	549.66	14.0996
UBS Securities, LLC	4.67	3.25	18.74	18.90	15.80	4,567.00	12.4485	4,730.17	8.5355	2,459.67	8.4655	410.15	14.2199
Canaccord Genuity Securities	4.46	3.04	19.64	19.30	14.44	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	3.48	3.83	0.76	0.25	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Axos Clearing LLC receives payments from Canaccord Genuity Securities LLC for routing flow in non-NMS securities to this venue. Axos Clearing LLC receives remuneration in the form of \$0.40 for each order when the share price is \$0.01 to \$1. Axos Clearing LLC receives remuneration in the form of \$1.00 for each order when the share price is \$1.01 to \$5. Axos Clearing LLC receives \$2.00 for each order when the shares price is greater than \$5. Axos Clearing LLC does not receive remuneration when the share price is less than \$0.01.

April 2026

Options

Summary

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100.00	10.06	9.46	79.09	1.39

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Execution Services	50.17	54.44	51.91	49.22	61.73	1,676.25	27.9328	2,864.62	29.6024	5,704.17	26.1803	476.30	39.4942
Susquehanna Financial Group, LLP	49.83	45.56	48.09	50.78	38.27	1,456.75	27.8697	1,627.70	24.0570	5,924.39	27.2236	407.90	39.9119

Material Aspects:

Citadel Execution Services:
Axos Clearing LLC receives payments from Citadel Securities LLC for directing options order flow to this venue. Axos Clearing LLC receives remuneration as follows:
Executed option orders whose underlying securities participate in the penny increment program at a rate of \$0.25 per contract.
Executed option orders whose underlying securities do not participate in the penny increment program at a rate of \$0.65 per contract.

Susquehanna Financial Group, LLP:
Axos Clearing LLC receives payments from Susquehanna International Group LLP for directing options order flow to this venue. Axos Clearing LLC receives remuneration as follows:
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Executed option orders whose underlying securities do not participate in the penny increment program at a rate of \$0.65 per contract.

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	91.69	1.58	3.70	3.03

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	49.53	52.97	36.35	7.52	3.74	1,895.17	14.9995	116.53	15.0000	60.09	15.0000	0.08	0.1922
CITADEL SECURITIES LLC	30.89	31.46	24.05	20.66	29.78	1,167.30	15.0000	221.64	12.4030	93.02	13.4363	46.29	15.0000
UBS Securities, LLC	7.98	6.65	10.00	20.54	31.76	779.98	15.0000	377.09	15.0000	78.75	15.0000	36.21	15.0000
Canaccord Genuity Securities	5.94	4.80	8.78	18.92	23.22	1,501.00	15.0000	37.11	15.0000	136.83	15.0000	49.25	15.0000

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UBS Securities, LLC:
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May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	88.51	2.40	5.05	4.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	46.40	51.27	2.76	2.51	20.70	8,404.47	13.6262	257.89	15.0000	114.31	14.9998	1.50	0.0153

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CITADEL SECURITIES LLC	29.74	30.34	22.91	19.79	33.16	9,266.93	13.5290	5,872.66	8.8842	2,529.80	10.6950	616.72	13.7354
UBS Securities, LLC	5.92	4.23	20.32	19.36	17.50	7,865.05	14.5570	6,445.91	11.9562	2,835.37	11.5758	454.74	13.3960
Canaccord Genuity Securities	5.45	3.79	21.16	18.71	16.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	4.11	2.72	17.46	17.58	9.69	9,650.43	14.2952	3,964.81	9.7262	2,194.69	13.6971	401.35	9.6463

Material Aspects:

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Two Sigma Securities, LLC:
Axos Clearing LLC receives payments from Two Sigma Securities LLC for routing order flow in NMS securities to this venue. Axos Clearing LLC receives remuneration in the form of \$0.0015 when the execution price is \$1 per share or higher.

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	10.08	11.64	76.59	1.69

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Susquehanna Financial Group, LLP	50.70	47.84	50.52	51.08	51.55	1,266.45	28.5945	3,461.80	31.6435	6,291.77	28.9144	453.35	36.8278
Citadel Execution Services	49.27	52.16	49.48	48.88	48.45	1,409.20	27.0169	4,829.20	31.9921	7,537.75	32.9995	331.25	38.4727

Material Aspects:

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June 2026

S&P 500 Stocks

Summary

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100.00	91.38	1.11	4.28	3.22

Venues

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Virtu Americas, LLC	48.77	52.71	18.95	3.52	7.75	1,983.74	15.0000	105.42	15.0000	8.94	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	30.88	31.39	25.40	19.00	34.12	873.83	14.8471	72.48	9.5354	141.44	13.4205	82.75	15.0000
UBS Securities, LLC	8.39	7.29	16.13	15.54	27.36	672.10	15.0000	325.20	15.0000	103.11	15.0000	60.71	15.0000
Canaccord Genuity Securities	6.07	5.11	16.53	12.91	20.73	687.42	15.0000	93.24	15.0000	77.15	15.0000	81.16	15.0000

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June 2026

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Summary

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100.00	89.00	2.13	5.06	3.81

Venues

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Virtu Americas, LLC	47.66	52.58	5.97	2.65	15.86	8,667.81	14.8684	453.51	15.0000	66.65	15.0000	10.89	0.1005
CITADEL SECURITIES LLC	30.32	30.73	24.13	23.48	33.41	8,125.30	14.0542	3,443.91	6.0155	1,983.46	9.0532	522.58	12.7439
UBS Securities, LLC	5.11	3.54	17.83	18.14	17.22	5,136.65	14.2441	3,629.21	9.7139	1,982.10	10.5115	380.25	13.8525
Canaccord Genuity Securities	4.81	3.32	17.94	17.89	14.88	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	3.68	3.75	1.78	0.58	7.12	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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June 2026

Options

Summary

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100.00	8.22	12.35	78.50	0.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	50.19	48.57	46.52	50.84	58.18	1,173.80	27.1965	6,681.93	40.0044	7,362.08	34.3029	1,005.45	41.3255
Susquehanna Financial Group, LLP	49.76	51.43	53.48	49.10	41.82	1,231.40	28.0118	5,244.70	40.2417	9,008.40	35.9445	788.80	47.6329

Material Aspects:

Citadel Execution Services:
Axos Clearing LLC receives payments from Citadel Securities LLC for directing options order flow to this venue. Axos Clearing LLC receives remuneration as follows:
Executed option orders whose underlying securities participate in the penny increment program at a rate of \$0.25 per contract.
Executed option orders whose underlying securities do not participate in the penny increment program at a rate of \$0.65 per contract.

Susquehanna Financial Group, LLP:
Axos Clearing LLC receives payments from Susquehanna International Group LLP for directing options order flow to this venue. Axos Clearing LLC receives remuneration as follows:
Executed option orders whose underlying securities participate in the penny increment program at a rate of \$0.25 per contract.
Executed option orders whose underlying securities do not participate in the penny increment program at a rate of \$0.65 per contract.