

# GENW - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 97.03                                        | 0.58                                                       | 1.81                                                           | 0.58                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES<br>LLC          | 19.54                          | 19.84                   | 0.00                              | 12.00                                     | 12.50                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| G1 Execution<br>Services, LLC         | 16.86                          | 16.85                   | 37.50                             | 16.00                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Capital                | 12.81                          | 12.75                   | 12.50                             | 20.00                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Hudson River<br>Trading (HRT)         | 11.07                          | 11.33                   | 12.50                             | 0.00                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Virtu<br>Americas, LLC                | 10.85                          | 11.19                   | 0.00                              | 0.00                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| CDRE                                  | 9.19                           | 8.87                    | 12.50                             | 20.00                                     | 25.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| NITV                                  | 4.63                           | 4.55                    | 0.00                              | 8.00                                      | 12.50                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Two Sigma<br>Securities,<br>LLC       | 4.05                           | 3.95                    | 0.00                              | 12.00                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| UBS<br>Securities,<br>LLC             | 3.62                           | 3.43                    | 25.00                             | 8.00                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| StoneX<br>Financial, Inc.             | 3.26                           | 3.36                    | 0.00                              | 0.00                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

July 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 97.08                                        | 0.42                                                       | 2.27                                                           | 0.23                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| CITADEL<br>SECURITIES<br>LLC          | 20.14                          | 20.24                   | 16.67                             | 16.49                                     | 20.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| G1 Execution<br>Services, LLC         | 18.99                          | 19.13                   | 33.33                             | 11.34                                     | 10.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Capital                | 16.42                          | 16.22                   | 27.78                             | 23.71                                     | 10.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Hudson River<br>Trading (HRT)         | 10.15                          | 10.05                   | 0.00                              | 16.49                                     | 10.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Virtu<br>Americas, LLC                | 9.75                           | 9.64                    | 11.11                             | 13.40                                     | 20.00                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| CDRE                                  | 7.79                           | 7.88                    | 5.56                              | 5.15                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| NITV                                  | 4.73                           | 4.70                    | 0.00                              | 7.22                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Two Sigma<br>Securities,<br>LLC       | 3.95                           | 3.93                    | 5.56                              | 5.15                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| StoneX<br>Financial, Inc.             | 3.30                           | 3.37                    | 0.00                              | 1.03                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| UBS<br>Securities,<br>LLC             | 3.25                           | 3.35                    | 0.00                              | 0.00                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

July 2026

Options

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 66.67                                        | 0.00                                                       | 33.33                                                          | 0.00                                        |

Venues

| Venue - Non-directed Order Flow     | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Citadel Securities, LLC             | 66.67                   | 75.00             | 0.00                        | 50.00                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Jane Street Execution Services, LLC | 16.67                   | 25.00             | 0.00                        | 0.00                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Global Execution Brokers LP         | 16.67                   | 0.00              | 0.00                        | 50.00                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

August 2026

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 96.95                                     | 0.08                                                | 0.94                                                    | 2.03                                     |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| G1 Execution Services, LLC      | 15.88                   | 16.06             | 0.00                        | 25.00                           | 3.85             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Hudson River Trading (HRT)      | 15.57                   | 15.90             | 0.00                        | 8.33                            | 3.85             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| CITADEL SECURITIES LLC          | 15.18                   | 15.50             | 0.00                        | 8.33                            | 3.85             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Jane Street Capital             | 11.82                   | 11.70             | 0.00                        | 8.33                            | 19.23            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Virtu Americas, LLC             | 11.27                   | 11.46             | 0.00                        | 16.67                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| CDRE                            | 10.88                   | 10.98             | 0.00                        | 0.00                            | 11.54            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| UBS Securities, LLC             | 4.38                    | 4.28              | 100.00                      | 0.00                            | 7.69             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| NITV                            | 4.30                    | 4.04              | 0.00                        | 16.67                           | 11.54            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 3.76                    | 3.71              | 0.00                        | 16.67                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| StoneX Financial, Inc.          | 3.36                    | 3.39              | 0.00                        | 0.00                            | 3.85             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

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August 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 96.01                                        | 0.32                                                       | 2.92                                                           | 0.74                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC         | 19.69                          | 19.90                   | 14.29                             | 16.67                                     | 6.25                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Capital                | 15.96                          | 15.82                   | 21.43                             | 22.22                                     | 6.25                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| CITADEL<br>SECURITIES<br>LLC          | 15.65                          | 15.72                   | 14.29                             | 14.29                                     | 12.50                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Hudson River<br>Trading (HRT)         | 12.06                          | 12.17                   | 14.29                             | 11.11                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| CDRE                                  | 9.42                           | 9.44                    | 7.14                              | 9.52                                      | 6.25                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Virtu<br>Americas, LLC                | 8.58                           | 8.60                    | 0.00                              | 10.32                                     | 3.12                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| NITV                                  | 5.08                           | 5.14                    | 7.14                              | 2.38                                      | 6.25                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Two Sigma<br>Securities,<br>LLC       | 4.68                           | 4.61                    | 7.14                              | 7.94                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| UBS<br>Securities,<br>LLC             | 3.85                           | 3.72                    | 14.29                             | 4.76                                      | 12.50                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| StoneX<br>Financial, Inc.             | 3.41                           | 3.53                    | 0.00                              | 0.79                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

August 2026

Options

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 27.27                                        | 0.00                                                       | 72.73                                                          | 0.00                                        |

### Venues

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit<br>Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|--------------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| DASH<br>Financial<br>Option ATS         | 36.36                          | 33.33                   | 0.00                                 | 37.50                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Global<br>Execution<br>Brokers LP       | 27.27                          | 66.67                   | 0.00                                 | 12.50                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Citadel<br>Securities,<br>LLC           | 27.27                          | 0.00                    | 0.00                                 | 37.50                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Wolverine<br>Execution<br>Services, LLC | 9.09                           | 0.00                    | 0.00                                 | 12.50                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

## September 2026

### S&P 500 Stocks

#### Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 95.83                                        | 0.39                                                       | 2.41                                                           | 1.37                                        |

### Venues

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC         | 19.62                          | 19.66                   | 16.67                             | 18.92                                     | 19.05                  | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| CITADEL<br>SECURITIES<br>LLC          | 18.58                          | 19.18                   | 16.67                             | 2.70                                      | 4.76                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Hudson River<br>Trading (HRT)         | 17.67                          | 17.76                   | 0.00                              | 21.62                                     | 9.52                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Virtu<br>Americas, LLC                | 13.69                          | 14.15                   | 0.00                              | 5.41                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Capital                | 8.02                           | 7.76                    | 16.67                             | 16.22                                     | 9.52                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CDRE                            | 6.06                    | 5.99              | 16.67                       | 10.81                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| UBS Securities, LLC             | 3.78                    | 3.47              | 33.33                       | 8.11                            | 9.52             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 3.78                    | 3.81              | 0.00                        | 2.70                            | 4.76             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| NITV                            | 3.00                    | 2.93              | 0.00                        | 8.11                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Goldman, Sachs & Co.            | 2.35                    | 2.45              | 0.00                        | 0.00                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

## September 2026

### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 95.57                                     | 0.52                                                | 3.41                                                    | 0.50                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| G1 Execution Services, LLC      | 18.75                   | 18.93             | 4.55                        | 15.28                           | 23.81            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| CITADEL SECURITIES LLC          | 16.17                   | 16.28             | 9.09                        | 15.97                           | 4.76             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Jane Street Capital             | 15.96                   | 15.83             | 13.64                       | 20.14                           | 14.29            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Hudson River Trading (HRT)      | 13.90                   | 13.90             | 18.18                       | 15.28                           | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Virtu Americas, LLC             | 9.76                    | 9.89              | 13.64                       | 6.94                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| CDRE                            | 8.00                    | 8.13              | 9.09                        | 4.17                            | 9.52             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 4.59                    | 4.46              | 4.55                        | 9.03                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| NITV                            | 4.31                    | 4.26              | 13.64                       | 4.17                            | 4.76             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| UBS Securities, LLC             | 3.67                    | 3.49              | 9.09                        | 5.56                            | 19.05            | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| StoneX<br>Financial, Inc.             | 2.91                           | 2.92                    | 0.00                              | 3.47                                      | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |

## September 2026

### Options

#### Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 5.00                                         | 0.00                                                       | 95.00                                                          | 0.00                                        |

#### Venues

| Venue -<br>Non-directed<br>Order Flow     | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-------------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| DASH<br>Financial<br>Option ATS           | 35.00                          | 100.00                  | 0.00                              | 31.58                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Wolverine<br>Execution<br>Services, LLC   | 30.00                          | 0.00                    | 0.00                              | 31.58                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Citadel<br>Securities,<br>LLC             | 20.00                          | 0.00                    | 0.00                              | 21.05                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Execution<br>Services, LLC | 15.00                          | 0.00                    | 0.00                              | 15.79                                     | 0.00                   | 0.00                                                      | 0.0000                                                                          | 0.00                                                                 | 0.0000                                                                                       | 0.00                                                                      | 0.0000                                                                                            | 0.00                                                     | 0.0000                                                                         |