

Wells Fargo Securities LLC - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.54	4.60	19.86	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
INTELLIGENT CROSS LLC (INCR)	64.38	82.48	45.15	0.01	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP (HRTF)	10.82	5.57	14.29	29.99	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	5.51	2.52	8.47	16.20	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	4.32	2.34	5.99	11.47	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS SECURITIES LLC (UBSS)	3.64	1.27	7.45	11.76	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	3.60	1.48	6.47	11.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

INTELLIGENT CROSS LLC (INCR):

Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow for reported Held equity orders routed to Intelligent Cross LLC (INCR). Routing decisions for these customer orders are not influenced by any agreement with INCR. WFS and INCR do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

HRT FINANCIAL LP (HRTF):

Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to HRT Financial LP (HRTF). Routing decisions for these customer orders are not influenced by any agreement with HRT Financial LP. WFS and HRTF do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

G1 Execution Services, LLC (ETMM):

JANE STREET CAPITAL, LLC (JNST):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Jane Street Capital LLC (JNST) Wholesaler or Single Dealer Platform. Routing decisions for these customer orders are not influenced by any agreement with Jane Street Capital LLC. WFS and JNST do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

UBS SECURITIES LLC (UBSS):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to UBS Securities LLC (UBSS). Routing decisions for these customer orders are not influenced by any agreement with UBS Securities LLC. WFS and UBSS do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

Citadel Securities LLC (CDRG):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Citadel Securities LLC (CDRG) Single Dealer Platform. Routing decisions for these customer orders are not influenced by any agreement with CDRG. WFS and CDRG do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

Non-S&P 500 Stocks

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	61.12	22.68	16.20	0.00

[illegible]

Material Aspects:

INTELLIGENT CROSS LLC (INCR):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow for reported Held equity orders routed to Intelligent Cross LLC (INCR). Routing decisions for these customer orders are not influenced by any agreement with INCR. WFS and INCR do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

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Virtu Americas LLC (NITE):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to VIRTU Americas LLC (NITE) Single Dealer Platform or Wholesaler. Routing decisions for these customer orders are not influenced by any agreement with VIRTU Americas LLC. WFS and NITE do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

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July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	1.26	14.57	84.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE C2 OPTIONS EXCHANGE (C2OX)	13.48	0.00	3.20	9.25	14.37	0.0000	0.0000	-105.3500	-2.5000	-65.7850	-2.6884	-921.4450	-2.5851
CBOE DIGITAL EXCHANGE (XCBO)	12.75	0.00	48.06	36.80	8.06	0.0000	0.0000	-35.0450	-0.2598	-431.3700	-1.7361	-409.3150	-1.9630

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CBOE EDGX OPTIONS EXCHANGE (EDGO)	11.89	0.00	2.35	0.04	14.09	0.0000	0.0000	31.2600	2.6247	943.6500	73.8380	185.8750	0.9772
BOX OPTIONS EXCHANGE (XBOX)	11.51	0.00	5.72	0.20	13.55	0.0000	0.0000	137.3050	1.4748	-170.8450	-2.9936	-954.8300	-1.9423
INTERNATIONAL SECURITIES EXCHANGE (XISX)	8.00	0.00	6.62	0.35	9.34	0.0000	0.0000	-81.4500	-2.6988	-9.6750	-2.5000	-1,154.6300	-2.5361
NYSE ARCA OPTIONS (ARCO)	7.33	0.00	1.67	0.39	8.61	0.0000	0.0000	-19.4250	-2.5000	1,320.7950	50.3160	4,461.8750	13.0609
MIAX EMERALD, LLC (EMLD)	6.94	0.00	1.82	6.75	7.05	0.0000	0.0000	-64.5350	-2.8849	-31.8850	-2.5106	-402.4850	-2.6027
NASDAQ PHLX OPTIONS (XPHO)	5.98	0.00	6.50	0.02	7.00	0.0000	0.0000	-24.1000	-2.5000	-0.2500	-2.5000	-291.2400	-1.1267
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	5.98	0.00	2.93	0.05	7.05	0.0000	0.0000	52.8950	1.1302	-138.9850	-2.0035	-89.2550	-0.6344
NYSE AMERICAN OPTIONS (AMXO)	5.03	0.00	1.45	0.02	5.96	0.0000	0.0000	-17.4000	-2.5000	-0.5500	-2.5000	-88.4450	-0.7437
CBOE BZX OPTIONS EXCHANGE (BATO)	3.66	0.00	1.75	9.45	2.69	0.0000	0.0000	-58.5750	-2.5000	603.6200	10.2413	598.1500	6.9771

Material Aspects:

CBOE C2 OPTIONS EXCHANGE (C2OX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/ctwo/ WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE DIGITAL EXCHANGE (XCBO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/> WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/cone/ WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/edgx/ WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

BOX OPTIONS EXCHANGE (XBOX):

INTERNATIONAL SECURITIES EXCHANGE (XISX):

NYSE ARCA OPTIONS (ARCO):

MIAX EMERALD, LLC (EMLD):

NASDAQ PHLX OPTIONS (XPHO):

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):

NYSE AMERICAN OPTIONS (AMXO):

CBOE BZX OPTIONS EXCHANGE (BATO):

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August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.17	4.49	19.34	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTELLIGENT CROSS LLC (INCR)	64.73	82.45	42.87	0.01	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP (HRTF)	11.30	5.79	16.41	31.81	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	5.46	2.62	8.26	16.03	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	4.21	2.57	5.85	10.26	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS SECURITIES LLC (UBSS)	3.48	1.36	7.88	10.79	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES (SOHO)	3.47	1.34	5.53	11.37	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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TWO SIGMA SECURITIES (SOHO):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Two Sigma Securities LLC (SOHO). Routing decisions for these customer orders are not influenced by any agreement with Two Sigma Securities LLC. WFS and SOHO do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders

Non-S&P 500 Stocks

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	59.55	25.09	15.36	0.00

[illegible]

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Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to VIRTU Americas LLC (NITE) Single Dealer Platform or Wholesaler. Routing decisions for these customer orders are not influenced by any agreement with VIRTU Americas LLC. WFS and NITE do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

G1 Execution Services, LLC (ETMM):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to G1 Execution Services LLC (ETMM). Routing decisions for these customer orders are not influenced by any agreement with ETMM. WFS and ETMM do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

JANE STREET CAPITAL, LLC (JNST):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Jane Street Capital LLC (JNST) Wholesaler or Single Dealer Platform. Routing decisions for these customer orders are not influenced by any agreement with Jane Street Capital LLC. WFS and JNST do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

UBS SECURITIES LLC (UBSS):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to UBS Securities LLC (UBSS). Routing decisions for these customer orders are not influenced by any agreement with UBS Securities LLC. WFS and UBSS do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.86	0.00	2.22	18.61	79.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE DIGITAL EXCHANGE (XCBO)	14.14	0.00	30.63	28.07	10.40	0.0000	0.0000	-366.4000	-1.3006	-504.9050	-2.2174	-479.1500	-2.3997
CBOE C2 OPTIONS EXCHANGE (C2OX)	9.54	11.11	12.81	9.58	9.44	0.0000	0.0000	-265.8750	-2.5000	-60.1400	-2.7127	-687.2800	-2.6112

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
INTERNATIONAL SECURITIES EXCHANGE (XISX)	8.48	0.00	7.66	0.45	10.40	0.0000	0.0000	-51.7100	-2.6627	-6.8500	-2.5000	-1,471.7750	-2.8089
CBOE EDGX OPTIONS EXCHANGE (EDGO)	8.07	0.00	1.18	1.13	9.90	0.0000	0.0000	-5.3750	-1.0219	-13.1550	-1.7610	-174.0700	-1.0728
NASDAQ PHLX OPTIONS (XPHO)	8.05	0.00	10.99	0.47	9.76	0.0000	0.0000	-12.4500	-2.5000	-3.5500	-2.5000	-206.4350	-1.1656
CBOE BZX OPTIONS EXCHANGE (BATO)	7.93	11.11	1.36	14.45	6.57	0.0000	0.0000	-14.1750	-2.5000	787.2900	11.4133	227.4950	1.3700
MIAX EMERALD, LLC (EMLD)	7.70	22.22	3.31	7.96	7.75	0.0000	0.0000	-148.5200	-2.9662	-30.2550	-2.6037	-311.4600	-2.6037
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	7.08	0.00	2.00	1.74	8.48	0.0000	0.0000	43.1700	3.5298	-58.9700	-2.1922	-44.1200	-0.3595
NYSE ARCA OPTIONS (ARCO)	6.85	11.11	2.57	0.55	8.45	0.0000	0.0000	-41.6000	-2.5000	558.6000	35.1100	3,232.2000	15.0014
NYSE AMERICAN OPTIONS (AMXO)	6.08	0.00	0.72	0.08	7.64	0.0000	0.0000	-8.0500	-2.5000	-1.5000	-2.5000	29.7700	0.3119
BOX OPTIONS EXCHANGE (XBOX)	5.95	0.00	8.36	1.28	6.98	0.0000	0.0000	-218.9350	-1.5640	-382.2400	-2.9926	-495.7800	-1.6095
MEMX LLC OPTIONS (MXOP)	3.85	11.11	2.13	19.83	0.14	0.0000	0.0000	-25.1500	-2.5000	-40.2500	-2.5000	-58.0200	-3.0000

Material Aspects:

CBOE DIGITAL EXCHANGE (XCBO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/cone/. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE C2 OPTIONS EXCHANGE (C2OX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/ctwo/. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

INTERNATIONAL SECURITIES EXCHANGE (XISX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):

BOX OPTIONS EXCHANGE (XBOX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://boxoptions.com/fee-schedule/> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

MEMX LLC OPTIONS (MXOP):
Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.97	4.30	19.74	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTELLIGENT CROSS LLC (INCR)	64.28	82.13	43.79	0.06	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP (HRTF)	12.04	6.41	17.77	32.47	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC (ETMM)	5.71	2.87	8.51	16.03	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	4.30	2.67	5.79	10.24	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES (SOHO)	3.59	1.47	5.85	11.25	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	3.46	1.46	5.74	10.67	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

INTELLIGENT CROSS LLC (INCR):

[illegible]

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC (ETMM)	7.02	5.47	5.69	15.25	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL, LLC (JNST)	5.83	5.16	5.09	9.65	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS SECURITIES LLC (UBSS)	4.67	1.67	8.68	9.44	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

INTELLIGENT CROSS LLC (INCR):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow for reported Held equity orders routed to Intelligent Cross LLC (INCR). Routing decisions for these customer orders are not influenced by any agreement with INCR. WFS and INCR do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

HRT FINANCIAL LP (HRTF):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to HRT Financial LP (HRTF). Routing decisions for these customer orders are not influenced by any agreement with HRT Financial LP. WFS and HRTF do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

Virtu Americas LLC (NITE):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to VIRTU Americas LLC (NITE) Single Dealer Platform or Wholesaler. Routing decisions for these customer orders are not influenced by any agreement with VIRTU Americas LLC. WFS and NITE do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

Citadel Securities LLC (CDRG):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Citadel Securities LLC (CDRG) Single Dealer Platform. Routing decisions for these customer orders are not influenced by any agreement with CDRG. WFS and CDRG do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

G1 Execution Services, LLC (ETMM):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to G1 Execution Services LLC (ETMM). Routing decisions for these customer orders are not influenced by any agreement with ETMM. WFS and ETMM do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

JANE STREET CAPITAL, LLC (JNST):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to Jane Street Capital LLC (JNST) Wholesaler or Single Dealer Platform. Routing decisions for these customer orders are not influenced by any agreement with Jane Street Capital LLC. WFS and JNST do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

UBS SECURITIES LLC (UBSS):
Wells Fargo Securities LLC (WFS) does not receive rebates, credits, or payment for order flow and does not pay transaction charges for reported Held equity orders routed to UBS Securities LLC (UBSS). Routing decisions for these customer orders are not influenced by any agreement with UBS Securities LLC. WFS and UBSS do not have any arrangements that: (a) provide incentives for meeting or exceeding certain volume thresholds; (b) provide disincentives for failing to meet certain minimum volume thresholds; 9c) provide for volume-based tiered payment schedules; or (d) require a minimum amount of orders.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.98	0.00	1.58	11.85	86.57

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE DIGITAL EXCHANGE (XCBO)	12.60	0.00	33.71	39.97	8.47	0.0000	0.0000	-445.1100	-1.4732	-828.1600	-2.3344	-464.8950	-2.2064
CBOE C2 OPTIONS EXCHANGE (C2OX)	11.14	0.00	11.33	7.89	11.58	0.0000	0.0000	-271.7250	-2.5048	-93.9150	-2.8399	-1,104.0750	-2.5683
CBOE EDGX OPTIONS EXCHANGE (EDGO)	10.34	0.00	1.09	0.17	11.90	0.0000	0.0000	-29.6850	-2.4075	32.0650	0.9974	-673.8800	-2.1424
INTERNATIONAL SECURITIES EXCHANGE (XISX)	10.04	0.00	4.02	0.71	11.43	0.0000	0.0000	-82.8300	-2.7491	-10.0250	-2.5000	-2,063.6150	-2.6132
NASDAQ PHLX OPTIONS (XPHO)	10.01	0.00	13.76	0.17	11.29	0.0000	0.0000	-49.6550	-2.5066	-1.3750	-2.5000	-909.9100	-1.8534
BOX OPTIONS EXCHANGE (XBOX)	7.71	0.00	6.62	1.07	8.64	0.0000	0.0000	-603.1250	-2.8041	-523.2050	-2.9956	-756.1600	-1.7553
MIAX EMERALD, LLC (EMLD)	6.69	0.00	3.15	6.74	6.75	0.0000	0.0000	-190.4250	-2.9229	-45.4950	-2.8031	-399.0200	-2.6712
NYSE ARCA OPTIONS (ARCO)	6.17	0.00	1.74	0.47	7.03	0.0000	0.0000	-67.2250	-2.5000	325.0650	18.4277	4,279.9500	12.6968
MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO)	6.15	0.00	2.03	0.23	7.04	0.0000	0.0000	-52.6550	-2.1821	-298.8200	-2.0453	-281.3600	-1.8797
CBOE BZX OPTIONS EXCHANGE (BATO)	5.83	0.00	1.42	10.58	5.26	0.0000	0.0000	-23.8250	-2.5000	1,171.8050	21.6880	412.5100	2.0127
NYSE AMERICAN OPTIONS (AMXO)	5.76	0.00	0.75	0.13	6.62	0.0000	0.0000	-13.2300	-2.5442	-1.0250	-2.5000	-213.2100	-1.4323

Material Aspects:

CBOE DIGITAL EXCHANGE (XCBO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/cone/. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE C2 OPTIONS EXCHANGE (C2OX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/ctwo/. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE EDGX OPTIONS EXCHANGE (EDGO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.cboe.com/us/options/membership/fee_schedule/edgx/ WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

INTERNATIONAL SECURITIES EXCHANGE (XISX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/> WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

NASDAQ PHLX OPTIONS (XPHO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/> WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Equity%207> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

BOX OPTIONS EXCHANGE (XBOX):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://boxoptions.com/fee-schedule/> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

MIAX EMERALD, LLC (EMLD):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://www.miaxglobal.com/markets/us-options/emerald-options/fees> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

NYSE ARCA OPTIONS (ARCO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/> WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

MIAMI INTERNATIONAL SECURITIES EXCHANGE (XMIO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. . For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: <https://www.miaxglobal.com/markets/us-options/miax-options/fees> WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.

CBOE BZX OPTIONS EXCHANGE (BATO):

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NYSE AMERICAN OPTIONS (AMXO):

Wells Fargo Securities, LLC. (WFS) utilizes Dash Financial (DASH) and Wolverine Execution Services LLC (WEX) as primary routing venues for options orders. DASH and WEX provide WFS with a suite of algos utilized to execute client option orders where the DASH or WEX algo is making the route venue determination. WFS pays DASH a fee to utilize their services utilizing a cost-plus model where WFS pays commissions plus exchange, regulatory, market data, and clearing fees and receive exchange rebates where applicable. WFS is charged \$.05/contract for 0-500,000 contracts, \$.04/contract for 500,001-1 million contracts, and \$.03/contract for greater than 1 million contracts. WFS qualified for the \$.03/contract discounted tier. DASH routes order flow to a preferred market maker on the exchange and receives compensation according to the exchange's payment model. All exchange credits received by DASH are fully passed through to WFS. For more information on DASH routing, please see the DASH 606 reports at: <https://dashfinancial.com/rule-606/>. WFS pays WEX a fixed fee per contract. WEX may pass through to WFS certain fees and/or rebates from exchanges that WEX receives based on the volume tiers reached at such exchanges. The fees and/or rebates from exchanges that WEX receives may vary depending on WEX's overall trading volumes from their clients in total. The portion of the exchange fees and/or rebates that WEX passes through to WFS as pass-through fees and/or rebates, if any, is determined based on WEX's overall trading volumes at the exchanges that are attributable to WEX trading activity. WEX's disclosure may be found here: <https://www.tradewex.com/Home/Rule606>. Fee and rebate schedules are established by the exchange. A description of the fees and rebates offered by this venue, including the pricing tiers offered and the pricing for each tier, is available at: https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf. WFS did not reach any special pricing tiers. Client inquiries pertaining to fees and rebate information on client transactions are available upon request.