

April 2026

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
410	100.00	393	95.85	2

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Virtu Americas, LLC(NITE)	89	21.39	85	21.63
G1 Execution Services, LLC(ETMM)	88	21.15	88	22.39
Jane Street Capital, LLC(JNST)	87	20.91	86	21.88
Citadel Securities LLC(CDRG)	74	17.79	71	18.07
HRT Financial LP(HRTF)	42	10.10	42	10.69
Goldman, Sachs & Co. LLC(GSCO)	10	2.40	10	2.54
Nasdaq Stock Market(NSDQ)	7	1.68	0	0.00
Two Sigma Securities, LLC(SOHO)	6	1.44	6	1.53
UBS Securities, LLC(UBSS)	6	1.44	4	1.02

Cboe EDGX US Equities Exchange(EDGX)	3	0.72	0	0.00
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April 2026

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
1,743	100.00	1,695	97.25	1

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Virtu Americas, LLC(NITE)	388	22.20	381	22.48
Citadel Securities LLC(CDRG)	384	21.97	377	22.24
G1 Execution Services, LLC(ETMM)	368	21.05	364	21.47
Jane Street Capital, LLC(JNST)	316	18.08	313	18.47
HRT Financial LP(HRTF)	130	7.44	128	7.55
Goldman, Sachs & Co. LLC(GSCO)	50	2.86	49	2.89
UBS Securities, LLC(UBSS)	47	2.69	45	2.65
Two Sigma Securities, LLC(SOHO)	26	1.49	26	1.53
GTS SECURITIES LLC(GTSM)	13	0.74	12	0.71

Cboe EDGX US Equities Exchange(EDGX)	9	0.51	0	0.00
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April 2026

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
12	100.00	7	58.33	1

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Dash Financial Technologies LLC(DASH)	8	40.00	5	71.43
Citadel Securities LLC(CDRG)	4	20.00	0	0.00
Jane Street Capital, LLC(JNST)	4	20.00	2	28.57
Wolverine Execution Services LLC(WEXX)	3	15.00	0	0.00
Global Execution Brokers, LP(GEBB)	1	5.00	0	0.00

May 2026

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
247	100.00	238	96.36	1

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	71	25.82	71	29.83
G1 Execution Services, LLC(ETMM)	59	21.45	58	24.37
Virtu Americas, LLC(NITE)	58	21.09	58	24.37
Nasdaq Stock Market(NSDQ)	29	10.55	0	0.00
Jane Street Capital, LLC(JNST)	25	9.09	24	10.08
HRT Financial LP(HRTF)	15	5.45	15	6.30
Goldman, Sachs & Co. LLC(GSCO)	8	2.91	8	3.36
Cboe EDGX US Equities Exchange(EDGX)	2	0.73	0	0.00
Members Exchange(MEMX)	2	0.73	0	0.00
New York Stock Exchange(NYSE)	2	0.73	0	0.00

May 2026

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
898	100.00	822	91.54	11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	257	26.47	233	28.21
Virtu Americas, LLC(NITE)	215	22.14	202	24.46
G1 Execution Services, LLC(ETMM)	205	21.11	192	23.24
Jane Street Capital, LLC(JNST)	78	8.03	74	8.96
HRT Financial LP(HRTF)	68	7.00	67	8.11
New York Stock Exchange(NYSE)	35	3.60	0	0.00
Goldman, Sachs & Co. LLC(GSCO)	25	2.57	24	2.91
Nasdaq Stock Market(NSDQ)	21	2.16	0	0.00
NYSE American(AMEX)	18	1.85	0	0.00
Two Sigma Securities, LLC(SOHO)	17	1.75	17	2.06

May 2026

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
15	100.00	8	53.33	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	5	29.41	2	25.00
Jane Street Capital, LLC(JNST)	5	29.41	4	50.00
Dash Financial Technologies LLC(DASH)	4	23.53	1	12.50
Global Execution Brokers, LP(GEBB)	2	11.76	1	12.50
Wolverine Execution Services LLC(WEXX)	1	5.88	0	0.00

June 2026

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
215	100.00	200	93.02	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	76	33.04	56	28.14
Virtu Americas, LLC(NITE)	54	23.48	53	26.63
G1 Execution Services, LLC(ETMM)	40	17.39	38	19.10
Jane Street Capital, LLC(JNST)	26	11.30	25	12.56
HRT Financial LP(HRTF)	13	5.65	12	6.03
Goldman, Sachs & Co. LLC(GSCO)	8	3.48	7	3.52
Two Sigma Securities, LLC(SOHO)	6	2.61	6	3.02
Nasdaq Stock Market(NSDQ)	2	0.87	0	0.00
New York Stock Exchange(NYSE)	2	0.87	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	1	0.43	0	0.00

June 2026

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
574	100.00	520	90.59	1

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	213	34.80	181	34.81
Virtu Americas, LLC(NITE)	129	21.08	109	20.96
G1 Execution Services, LLC(ETMM)	121	19.77	113	21.73
Jane Street Capital, LLC(JNST)	56	9.15	52	10.00
HRT Financial LP(HRTF)	34	5.56	33	6.35
Nasdaq Stock Market(NSDQ)	19	3.10	0	0.00
Goldman, Sachs & Co. LLC(GSCO)	14	2.29	14	2.69
Two Sigma Securities, LLC(SOHO)	14	2.29	13	2.50
Cboe EDGX US Equities Exchange(EDGX)	4	0.65	0	0.00
UBS Securities, LLC(UBSS)	3	0.49	3	0.58

June 2026

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
14	100.00	6	42.86	1

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Dash Financial Technologies LLC(DASH)	6	40.00	3	50.00
Citadel Securities LLC(CDRG)	3	20.00	0	0.00
Global Execution Brokers, LP(GEBB)	3	20.00	1	16.67
Jane Street Capital, LLC(JNST)	2	13.33	2	33.33
Wolverine Execution Services LLC(WEXX)	1	6.67	0	0.00

**GWN SECURITIES, INC. - Held NMS Stocks and
Options Order Routing Public Report
Generated on Wed Jul 15 2026 10:06:15 GMT-
4 EDT**

2nd Quarter, 2026

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
0.49	11	2.68

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
1	33.33	1
0	0.00	0
0	0.00	1
0	0.00	2
0	0.00	0
0	0.00	0
0	0.00	7
0	0.00	0
1	33.33	0

0	0.00	3
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Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
0.06	47	2.70

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
0	0.00	7
1	100.00	6
0	0.00	4
0	0.00	3
0	0.00	2
0	0.00	1
0	0.00	2
0	0.00	0
0	0.00	1

0	0.00	9
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Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
8.33		4 33.33

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
0		0.00 3
1		100.00 3
0		0.00 2
0		0.00 3
0		0.00 1

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
0.40	8	3.24

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
0	0.00	0
1	100.00	0
0	0.00	0
0	0.00	29
0	0.00	1
0	0.00	0
0	0.00	0
0	0.00	2
0	0.00	2
0	0.00	2

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
1.22	57	6.35

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
9	81.82	14
0	0.00	6
0	0.00	13
2	18.18	2
0	0.00	1
0	0.00	35
0	0.00	1
0	0.00	21
0	0.00	18
0	0.00	0

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
0.00	7	46.67

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
0	0.00	3
0	0.00	1
0	0.00	3
0	0.00	1
0	0.00	1

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
0.00	8	3.72

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	
	1	100.00	12
	0	0.00	1
	0	0.00	2
	0	0.00	1
	0	0.00	1
	0	0.00	1
	0	0.00	0
	0	0.00	2
	0	0.00	2
	0	0.00	1

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	
0.17		50	8.71

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	
	1	100.00	31
	0	0.00	17
	0	0.00	8
	0	0.00	4
	0	0.00	1
	0	0.00	19
	0	0.00	0
	0	0.00	1
	0	0.00	4
	0	0.00	0

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	
7.14		7	50.00

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	
	1	50.00	2
	1	50.00	2
	0	0.00	2
	0	0.00	0
	0	0.00	1

	Other Orders as % of
Total Other Orders	Non-Directed Orders
4	0.98

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
6.25	2	50.00	0	0
0.00	0	0.00	0	0
6.25	0	0.00	0	0
12.50	1	25.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0
43.75	0	0.00	0	0
0.00	0	0.00	0	0
0.00	1	25.00	0	0

18.75	0	0.00	0	0
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	Other Orders as % of
Total Other Orders	Non-Directed Orders
0	0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
13.46	0	0.00	0	0
11.54	0	0.00	0	0
7.69	0	0.00	0	0
5.77	0	0.00	0	0
3.85	0	0.00	0	0
1.92	0	0.00	0	0
3.85	0	0.00	0	0
0.00	0	0.00	0	0
1.92	0	0.00	0	0

17.31	0	0.00	0	0
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	Other Orders as % of
Total Other Orders	Non-Directed Orders
0	0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
25.00	0	0.00	0	0
25.00	0	0.00	0	0
16.67	0	0.00	0	0
25.00	0	0.00	0	0
8.33	0	0.00	0	0

	Other Orders as % of
Total Other Orders	Non-Directed Orders
0	0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	0	0.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0
80.56	0	0.00	0	0
2.78	0	0.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0
5.56	0	0.00	0	0
5.56	0	0.00	0	0
5.56	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
8	0.89

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
11.11	1	12.50	0	0
4.76	7	87.50	0	0
10.32	0	0.00	0	0
1.59	0	0.00	0	0
0.79	0	0.00	0	0
27.78	0	0.00	0	0
0.79	0	0.00	0	0
16.67	0	0.00	0	0
14.29	0	0.00	0	0
0.00	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders	
	0	0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
33.33	0	0.00	0	0
11.11	0	0.00	0	0
33.33	0	0.00	0	0
11.11	0	0.00	0	0
11.11	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders	
	7	3.26

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
52.17	7	100.00	0	0
4.35	0	0.00	0	0
8.70	0	0.00	0	0
4.35	0	0.00	0	0
4.35	0	0.00	0	0
4.35	0	0.00	0	0
0.00	0	0.00	0	0
8.70	0	0.00	0	0
8.70	0	0.00	0	0
4.35	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
3	0.52

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
35.23	0	0.00	0	0
19.32	3	100.00	0	0
9.09	0	0.00	0	0
4.55	0	0.00	0	0
1.14	0	0.00	0	0
21.59	0	0.00	0	0
0.00	0	0.00	0	0
1.14	0	0.00	0	0
4.55	0	0.00	0	0
0.00	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
0	0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
28.57	0	0.00	0	0
28.57	0	0.00	0	0
28.57	0	0.00	0	0
0.00	0	0.00	0	0
14.29	0	0.00	0	0

0	0	0	0	0
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Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
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Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
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Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
	0	0	0	0
	0	0	0	0
	0	0	0	0
	0	0	0	0
	0	0	0	0

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Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
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**Net Payment
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Other Orders(cents
per hundred shares)**

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**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

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**Net Payment
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Other Orders(cents
per hundred shares)**

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Other Orders(cents
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**Net Payment
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Other Orders(cents
per hundred shares)**

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**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

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