Ally Invest Securities LLC – Order flow via Apex Clearing - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	97.96	97.96	0.00	0.00	0.00	0.02	18.3846	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	2.04	2.04	0.00	0.00	0.00	0.00	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

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July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	40.65	40.65	0.00	0.00	0.00	106.45	17.9999	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	16.58	16.58	0.00	0.00	0.00	64.43	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	16.50	16.50	0.00	0.00	0.00	95.29	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	12.67	12.67	0.00	0.00	0.00	65.79	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	9.78	9.78	0.00	0.00	0.00	26.78	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	2.47	2.47	0.00	0.00	0.00	20.31	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	1.36	1.36	0.00	0.00	0.00	13.96	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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CITADEL SECURITIES LLC:
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GTS SECURITIES LLC:
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G1 Execution Services, LLC:
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Canaccord Capital Corporation:

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July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

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Virtu Americas, LLC	94.90	94.90	0.00	0.00	0.00	0.06	17.8235	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	2.04	2.04	0.00	0.00	0.00	0.19	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	2.04	2.04	0.00	0.00	0.00	0.03	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	1.02	1.02	0.00	0.00	0.00	0.27	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	40.10	40.10	0.00	0.00	0.00	37.67	17.9997	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	14.87	14.87	0.00	0.00	0.00	35.18	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	14.54	14.54	0.00	0.00	0.00	34.41	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Hudson River Trading (HRT)	13.87	13.87	0.00	0.00	0.00	36.38	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	12.70	12.70	0.00	0.00	0.00	27.45	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	3.26	3.26	0.00	0.00	0.00	6.50	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	0.67	0.67	0.00	0.00	0.00	0.89	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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August 2026

Options

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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

September 2026

S&P 500 Stocks

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Virtu Americas, LLC	96.05	96.05	0.00	0.00	0.00	0.06	18.1176	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	2.63	2.63	0.00	0.00	0.00	0.03	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	1.32	1.32	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Virtu Americas, LLC	36.10	36.10	0.00	0.00	0.00	40.40	17.9999	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	16.74	16.74	0.00	0.00	0.00	26.31	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	15.26	15.26	0.00	0.00	0.00	25.19	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	14.27	14.27	0.00	0.00	0.00	44.50	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	13.70	13.70	0.00	0.00	0.00	43.89	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	2.63	2.63	0.00	0.00	0.00	3.97	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Canaccord Capital Corporation	0.74	0.74	0.00	0.00	0.00	0.43	18.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G-GSCOBNA	0.57	0.57	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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Jane Street Capital:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

GTS SECURITIES LLC:

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CITADEL SECURITIES LLC:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

G1 Execution Services, LLC:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

Canaccord Capital Corporation:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

G-GSCOBNA:
We route orders of listed equities to our clearing from, Apex Clearing Corporation, which further routes them to execution venues with which it has relationships. The execution venues may pay Apex for such order flow, in which case Apex retains 10% of such payment and pays us the remaining 90%. We do not determine or influence where Apex routes our orders. Further, we do not participate in any discussions concerning the payment-for-order-flow arrangements between Apex and the execution venues, nor do we influence those arrangements in any manner. See https://public.s3.com/rule606/apex/ for Apex's 606a Reports.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)