WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

January 2026

Non-S&P 500 Stocks

Summary

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January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	38.3663	29.5545	32.0792	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
RSKY	84.1733	90.9692	82.5106	77.8061	0.0000	-1,342.8503	-7.4611	-3,370.8892	-7.7153	-2,389.7920	-7.6670	0.0000	0.0000
DND	9.0795	0.2203	13.5402	15.3061	0.0000	-284.2750	-2.7395	-3,154.7263	-2.7377	-4,458.3911	-2.7380	0.0000	0.0000
BCEX	3.3736	8.0396	0.5642	0.5102	0.0000	744.4368	6.0835	39.1068	6.0819	28.4580	6.0678	0.0000	0.0000
DFIN	1.1662	0.0000	0.9873	2.6786	0.0000	0.0000	0.0000	-26.4122	-55.0254	-195.8368	-60.2575	0.0000	0.0000
CASY	0.7080	0.0000	0.2821	1.9133	0.0000	0.0000	0.0000	-14.6800	-3.6517	-181.8852	-3.6589	0.0000	0.0000
ZERO	0.6664	0.1101	0.9873	1.0204	0.0000	-16.5445	-1.6284	-28.4761	-1.6235	-83.8472	-1.6275	0.0000	0.0000
LKSK	0.2499	0.6608	0.0000	0.0000	0.0000	429.4608	9.6769	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
PTRPX	0.2499	0.0000	0.4231	0.3827	0.0000	0.0000	0.0000	68.0000	0.9996	12.5000	0.9976	0.0000	0.0000
TJMW	0.1666	0.0000	0.4231	0.1276	0.0000	0.0000	0.0000	46.0440	12.6843	25.5800	12.7264	0.0000	0.0000
IAEC	0.1666	0.0000	0.2821	0.2551	0.0000	0.0000	0.0000	37.5000	2.4967	37.5000	2.4967	0.0000	0.0000

Material Aspects:

RSKY:
RSKY is an options broker. RSKY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

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DFIN:
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LKSK:

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IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

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February 2026

Non-S&P 500 Stocks

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February 2026

Options

Summary

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100.0000	27.4584	30.4513	42.0903	0.0000

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RSKY	86.1432	82.4607	84.1226	89.9642	0.0000	-1,698.7385	-7.5996	-3,537.6897	-7.7170	-4,984.9370	-7.6987	0.0000	0.0000
DND	6.0816	0.0000	10.1671	7.6165	0.0000	0.0000	0.0000	-7,976.8081	-45.4105	-30,653.2305	-45.5424	0.0000	0.0000
BCEX	4.4265	15.0524	0.0000	0.0000	0.0000	-671.4405	-7.5511	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ZERO	1.3472	1.3089	2.7855	0.4480	0.0000	-22.0770	-0.5486	-60.8465	-0.5490	-20.2400	-0.5493	0.0000	0.0000
DFIN	0.7313	0.0000	2.0891	0.3584	0.0000	0.0000	0.0000	-16.8498	-20.0593	-19.7802	-23.2708	0.0000	0.0000
PTRPX	0.5774	0.0000	0.5571	0.9857	0.0000	0.0000	0.0000	10.0000	0.9960	55.3500	0.9980	0.0000	0.0000
LKSK	0.3079	1.0471	0.0000	0.0000	0.0000	247.8120	10.6632	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CASY	0.2309	0.1309	0.0000	0.4480	0.0000	-52.7100	-7.5193	0.0000	0.0000	-376.5000	-7.5225	0.0000	0.0000
TJMW	0.1540	0.0000	0.2786	0.1792	0.0000	0.0000	0.0000	40.0960	14.2184	40.0960	14.2184	0.0000	0.0000

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March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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March 2026

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March 2026

Options

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100.0000	35.6691	22.6554	41.6754	0.0000

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RSKY	85.6957	87.0324	84.0555	85.5603	0.0000	-3,259.3350	-14.6270	-5,714.5952	-14.9089	-8,152.7920	-14.8812	0.0000	0.0000
DND	7.1955	0.1247	10.7452	11.0991	0.0000	-54.9600	-4.5762	-2,150.9971	-4.5740	-2,989.9614	-4.5728	0.0000	0.0000
BCEX	3.8578	10.9726	0.0000	0.1078	0.0000	340.5048	6.6246	0.0000	0.0000	0.1348	4.4933	0.0000	0.0000
ZERO	1.1704	0.0000	2.4263	1.4009	0.0000	0.0000	0.0000	193.1540	2.4656	109.6433	2.4628	0.0000	0.0000
PTRPX	1.0403	0.0000	2.5997	0.9698	0.0000	0.0000	0.0000	80.8000	0.9981	35.1000	0.9974	0.0000	0.0000
LKSK	0.6502	1.8703	0.0000	0.0000	0.0000	417.0813	9.7358	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CASY	0.2167	0.0000	0.1733	0.4310	0.0000	0.0000	0.0000	-11.5000	-2.2954	-40.2500	-2.2948	0.0000	0.0000
IAEC	0.1734	0.0000	0.0000	0.4310	0.0000	0.0000	0.0000	0.0000	0.0000	56.0058	2.6848	0.0000	0.0000

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