WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

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January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	37.9159	28.4247	33.6595	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
RSKY	84.0000	90.9692	82.5397	77.5485	0.0000	-1,342.8503	-7.4611	-3,065.9092	-7.7075	-2,723.0022	-7.6791	0.0000	0.0000
DND	8.9897	0.2203	13.7085	14.6845	0.0000	-284.2750	-2.7395	-3,131.0254	-2.7377	-4,482.0918	-2.7380	0.0000	0.0000
BCEX	3.3402	8.0396	0.5772	0.4854	0.0000	744.4368	6.0835	39.1068	6.0819	28.4580	6.0678	0.0000	0.0000
DFIN	1.1546	0.0000	0.0000	3.3981	0.0000	0.0000	0.0000	0.0000	0.0000	-222.2490	-59.5842	0.0000	0.0000
CASY	0.7010	0.0000	0.2886	1.8204	0.0000	0.0000	0.0000	-14.6800	-3.6517	-181.8852	-3.6589	0.0000	0.0000
ZERO	0.6598	0.1101	1.0101	0.9709	0.0000	-16.5445	-1.6284	-28.4761	-1.6235	-83.8472	-1.6275	0.0000	0.0000
LKSK	0.2474	0.6608	0.0000	0.0000	0.0000	429.4608	9.6769	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
PTRPX	0.2474	0.0000	0.4329	0.3641	0.0000	0.0000	0.0000	68.0000	0.9996	12.5000	0.9976	0.0000	0.0000
CDRG	0.2062	0.0000	0.7215	0.0000	0.0000	0.0000	0.0000	-28.4427	-0.1100	0.0000	0.0000	0.0000	0.0000
IAEC	0.1649	0.0000	0.2886	0.2427	0.0000	0.0000	0.0000	37.5000	2.4967	37.5000	2.4967	0.0000	0.0000

Material Aspects:

RSKY:
RSKY is an options broker. RSKY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

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BCEX:
BCEX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to BAML to be executed in various market centers chosen by BAML. BAML charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through BAML, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to BAML.

DFIN:
Dash Financial Technologies LLC (DFIN), is an electronic options broker. WallachBeth Capital ISI routes are not held orders to DFIN to be executed in various market centers chosen by DFIN. DFIN charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through DFIN, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to DFIN.

CASY:
Casey Securities LLC (CASEY) is an options broker. CASY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, CASY will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

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LKSK:

LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

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CDRG:
Citadel is an options broker. CDRG charges WallachBeth Capital $.00 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.00 per contract. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

February 2026

Non-S&P 500 Stocks

Summary

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February 2026

Options

Summary

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100.0000	27.1249	28.9828	43.8922	0.0000

Venues

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RSKY	85.7952	82.5974	83.1429	89.4647	0.0000	-1,705.6202	-7.5984	-3,428.6008	-7.7176	-5,138.9912	-7.6967	0.0000	0.0000
DND	6.0446	0.0000	10.4286	7.3917	0.0000	0.0000	0.0000	-7,976.8081	-45.4105	-30,744.4316	-45.5412	0.0000	0.0000
BCEX	4.3445	14.9351	0.0000	0.0000	0.0000	-671.4405	-7.5511	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ZERO	1.3223	1.2987	2.4286	0.6797	0.0000	-22.0770	-0.5486	-52.8495	-0.5490	-28.2370	-0.5491	0.0000	0.0000
DFIN	0.7178	0.0000	2.1429	0.3398	0.0000	0.0000	0.0000	-16.8498	-20.0593	-19.7802	-23.2708	0.0000	0.0000
PTRPX	0.5667	0.0000	0.5714	0.9346	0.0000	0.0000	0.0000	10.0000	0.9960	55.3500	0.9980	0.0000	0.0000
GEBB	0.5289	0.0000	1.0000	0.5947	0.0000	0.0000	0.0000	804.5300	37.2986	616.6816	37.2617	0.0000	0.0000
LKSK	0.3022	1.0390	0.0000	0.0000	0.0000	247.8120	10.6632	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CASY	0.2267	0.1299	0.0000	0.4248	0.0000	-52.7100	-7.5193	0.0000	0.0000	-376.5000	-7.5225	0.0000	0.0000
TJMW	0.1511	0.0000	0.2857	0.1699	0.0000	0.0000	0.0000	40.0960	14.2184	40.0960	14.2184	0.0000	0.0000

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GEBB:
WallachBeth Capital pays exchange, regulatory, market data and clearing fees to GEBB and receives exchange rebates as credits where applicable. WallachBeth Capital also receives incremental pricing benefits if certain volume thresholds are met.

LKSK:
LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

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March 2026

S&P 500 Stocks

Summary

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0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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March 2026

Non-S&P 500 Stocks

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Venues

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March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	35.7292	21.0938	43.1771	0.0000

Venues

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RSKY	85.4936	87.1921	83.8768	84.9896	0.0000	-3,272.7739	-14.6223	-5,598.7778	-14.9138	-8,275.8574	-14.8771	0.0000	0.0000
DND	7.0815	0.1232	10.5072	10.9731	0.0000	-54.9600	-4.5762	-1,649.2581	-4.5726	-3,488.2654	-4.5736	0.0000	0.0000
BCEX	3.8197	10.8374	0.0000	0.1035	0.0000	340.5048	6.6246	0.0000	0.0000	0.1348	4.4933	0.0000	0.0000
ZERO	1.1588	0.0000	2.5362	1.3458	0.0000	0.0000	0.0000	193.1540	2.4656	109.6433	2.4628	0.0000	0.0000
PTRPX	1.0300	0.0000	2.3551	1.1387	0.0000	0.0000	0.0000	75.1000	0.9983	40.8000	0.9973	0.0000	0.0000
LKSK	0.6438	1.8473	0.0000	0.0000	0.0000	417.0813	9.7358	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEBB	0.3433	0.0000	0.3623	0.6211	0.0000	0.0000	0.0000	13.0970	35.3973	241.3590	37.0751	0.0000	0.0000
CASY	0.2146	0.0000	0.1812	0.4141	0.0000	0.0000	0.0000	-11.5000	-2.2954	-40.2500	-2.2948	0.0000	0.0000
IAEC	0.1717	0.0000	0.0000	0.4141	0.0000	0.0000	0.0000	0.0000	0.0000	56.0058	2.6848	0.0000	0.0000
CDRG	0.0429	0.0000	0.1812	0.0000	0.0000	0.0000	0.0000	-7.6439	-0.1100	0.0000	0.0000	0.0000	0.0000

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LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

GEBB:
WallachBeth Capital pays exchange, regulatory, market data and clearing fees to GEBB and receives exchange rebates as credits where applicable. WallachBeth Capital also receives incremental pricing benefits if certain volume thresholds are met.

CASY:
Casey Securities LLC (CASEY) is an options broker. CASY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, CASY will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

CDRG:
Citadel is an options broker. CDRG charges WallachBeth Capital $.00 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.00 per contract. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.