WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	35.9137	34.3909	29.6954	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
RSKY	90.5908	95.0495	87.8676	88.7906	0.0000	989.9644	14.1707	4,205.0576	14.6569	5,327.5986	14.6576	0.0000	0.0000
DND	5.3611	0.0000	9.1912	7.0796	0.0000	0.0000	0.0000	389.6200	4.3876	251.5480	4.3816	0.0000	0.0000
LKSK	1.3129	3.9604	0.0000	0.0000	0.0000	-419.4756	-10.6574	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CASY	0.7659	0.0000	0.3676	1.7699	0.0000	0.0000	0.0000	11.7845	2.5843	45.0660	2.5811	0.0000	0.0000
PTRPX	0.6565	0.0000	1.4706	0.5900	0.0000	0.0000	0.0000	-519.9360	-13.5259	-259.9680	-13.5259	0.0000	0.0000
ZERO	0.4376	0.0000	0.7353	0.5900	0.0000	0.0000	0.0000	2.4000	0.0600	0.6900	0.0599	0.0000	0.0000
BCEX	0.3282	0.9901	0.0000	0.0000	0.0000	-9.1884	-1.8488	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TJMW	0.2188	0.0000	0.3676	0.2950	0.0000	0.0000	0.0000	-6.7800	-13.2941	-6.7800	-13.2941	0.0000	0.0000
IAEC	0.2188	0.0000	0.0000	0.5900	0.0000	0.0000	0.0000	0.0000	0.0000	-24.3332	-2.5347	0.0000	0.0000
DFIN	0.1094	0.0000	0.0000	0.2950	0.0000	0.0000	0.0000	0.0000	0.0000	2.0210	18.3727	0.0000	0.0000

Material Aspects:

RSKY:
RSKY is an options broker. RSKY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

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LKSK:
LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

CASY:
Casey Securities LLC (CASEY) is an options broker. CASY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, CASY will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

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ZERO:
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BCEX:

BCEX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to BAML to be executed in various market centers chosen by BAML. BAML charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through BAML, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to BAML.

TJMW:
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IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

DFIN:
Dash Financial Technologies LLC (DFIN), is an electronic options broker. WallachBeth Capital ISI routes are not held orders to DFIN to be executed in various market centers chosen by DFIN. DFIN charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through DFIN, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to DFIN.

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

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May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	27.3275	38.8143	33.8583	0.0000

Venues

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RSKY	87.2839	94.0320	88.5263	81.2629	0.0000	2,554.9385	10.4561	6,228.3408	10.5865	5,008.8140	10.5622	0.0000	0.0000
DND	7.6450	0.0000	6.6316	14.0787	0.0000	0.0000	0.0000	2,290.3999	5.9702	4,106.1670	5.9682	0.0000	0.0000
ZERO	1.1525	0.4367	1.2632	1.5528	0.0000	-9.1560	-0.0800	-7.2120	-0.0799	-5.2592	-0.0798	0.0000	0.0000
GEBB	0.9604	0.0000	1.5789	1.0352	0.0000	0.0000	0.0000	-630.0000	-44.5230	-720.0000	-44.7205	0.0000	0.0000
DFIN	0.8452	0.0000	1.2632	1.0352	0.0000	0.0000	0.0000	-6.8240	-7.4174	-5.2886	-7.3453	0.0000	0.0000
BCEX	0.7683	2.9112	0.0000	0.0000	0.0000	21.8376	3.1466	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
LKSK	0.6915	2.6201	0.0000	0.0000	0.0000	-1,288.3588	-10.6741	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TJMW	0.2305	0.0000	0.3158	0.3106	0.0000	0.0000	0.0000	-59.7700	-13.8037	-52.8200	-13.7911	0.0000	0.0000
PTRPX	0.1537	0.0000	0.0000	0.4141	0.0000	0.0000	0.0000	0.0000	0.0000	-41.7060	-1.2585	0.0000	0.0000
IAEC	0.1537	0.0000	0.2105	0.2070	0.0000	0.0000	0.0000	-10.7748	-2.9043	-6.4824	-2.8939	0.0000	0.0000

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GEBB:
WallachBeth Capital pays exchange, regulatory, market data and clearing fees to GEBB and receives exchange rebates as credits where applicable WallachBeth Capital also receives incremental pricing benefits if certain volume thresholds are met

DFIN:

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June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

June 2026

Non-S&P 500 Stocks

Summary

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0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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June 2026

Options

Summary

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100.0000	34.1229	35.3393	30.5378	0.0000

Venues

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RSKY	83.8644	98.3051	80.8633	72.3539	0.0000	2,318.9409	7.3100	3,212.3506	7.3639	2,656.0583	7.3653	0.0000	0.0000
DND	9.1553	0.6163	13.0935	13.5861	0.0000	95.8784	4.2126	3,449.5125	4.2153	2,395.3564	4.2136	0.0000	0.0000
ZERO	2.5797	0.3082	1.5827	6.0032	0.0000	-2.9636	-0.3094	-63.0013	-0.3098	-177.7788	-0.3098	0.0000	0.0000
DFIN	2.3268	0.1541	1.1511	5.8452	0.0000	16.7600	8.3383	286.8474	8.3605	202.8798	8.2539	0.0000	0.0000
CASY	0.6576	0.1541	1.4388	0.3160	0.0000	-4.2000	-0.8383	-30.9120	-0.8377	-4.2000	-0.8367	0.0000	0.0000
GEBB	0.6576	0.0000	1.1511	0.7899	0.0000	0.0000	0.0000	-427.5000	-44.6242	-562.5000	-44.8207	0.0000	0.0000
PTRPX	0.4552	0.0000	0.5755	0.7899	0.0000	0.0000	0.0000	-180.5760	-10.2367	-223.6680	-10.2365	0.0000	0.0000
IAEC	0.1517	0.0000	0.1439	0.3160	0.0000	0.0000	0.0000	-106.8000	-26.6334	-74.7600	-26.5106	0.0000	0.0000
LKSK	0.1012	0.3082	0.0000	0.0000	0.0000	-192.3516	-10.6981	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BCEX	0.0506	0.1541	0.0000	0.0000	0.0000	33.8679	10.8551	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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WallachBeth Capital pays exchange, regulatory, market data and clearing fees to GEBB and receives exchange rebates as credits where applicable WallachBeth Capital also receives incremental pricing benefits if certain volume thresholds are met

PTRPX:
PTR is an options broker. PTR charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above. Additionally, in the case of Qualified Contingency Crosses, WallachBeth Capital are eligible to receive a portion of the pass-through rebates from the exchange to the floor broker.

IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

LKSK:
LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

BCEX:
BCEX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to BAML to be executed in various market centers chosen by BAML. BAML charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through BAML, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to BAML.