

# Aegis Capital Corp - AGIS - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

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## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.88	1.03	1.50	0.59

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.44	70.14	64.81	82.28	100.00	-547.7370	-10.0000	-11.0440	-10.0000	-16.4525	-23.8996	-6.8740	-10.1103
Two Sigma (SOHO)	28.27	28.71	20.37	16.46	0.00	-230.4310	-10.0000	-03.8670	-10.0000	-07.3000	-10.0000	0.0000	0.0000

### Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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January 2026

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## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.78	4.70	10.08	0.43

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	72.45	70.15	76.62	88.63	100.00	-4,204.1960	-09.3382	-691.3790	-05.6486	-1,170.6410	-11.1433	-39.5060	-10.0573
Two Sigma (SOHO)	26.20	28.69	19.40	9.63	0.00	-1,752.6500	-10.0000	-394.569	-10.0000	-166.3720	-10.0000	0.0000	0.0000

#### Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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## January 2026

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	32.36	39.94	9.42	18.29

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	81.28	99.00	99.73	100.00	0.00	-908.4000	-30.0000	-965.4000	-30.0000	-761.7000	-30.0000	-1.5000	-30.0000
Wolverine Execution Services, LLC (WEXX)	18.72	1.00	0.27	0.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

#### Material Aspects:

Citadel Securities LLC (CDRG):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

Wolverine Execution Services, LLC (WEXX):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

## February 2026

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.39	1.24	2.06	0.32

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.32	69.99	62.71	86.73	93.33	-477.7760	-10.0000	-23.6130	-10.0000	-57.3405	-26.1709	-09.2210	-10.0000
Two Sigma (SOHO)	28.09	28.53	28.81	10.20	6.67	-172.7610	-10.0000	-03.1880	-10.0000	-02.8120	-10.0000	-0.4000	-10.0000

#### Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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## February 2026

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### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	86.85	5.18	7.64	0.33

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	72.26	70.35	78.92	88.35	96.15	-3,461.0370	-09.3932	-690.0680	-5.8086	-908.2970	-13.6162	-6.8340	-02.5468
Two Sigma (SOHO)	25.94	28.07	15.69	9.65	3.85	-1,270.7930	-10.0000	-241.8660	-10.0000	-92.4580	-10.0000	-4.0000	-10.0000

**Material Aspects:**

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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## February 2026

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	39.85	21.53	7.55	31.06

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	68.69	100.00	99.43	98.36	0.00	-807.6000	-30.0000	-299.1000	-30.0000	-304.8000	-30.0000	-15.9000	-30.0000
Wolverine Execution Services, LLC (WEXX)	31.31	0.00	0.57	1.64	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

**Material Aspects:**

Citadel Securities LLC (CDRG):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders..

Wolverine Execution Services, LLC (WEXX):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

## March 2026

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.18	1.03	2.00	0.79

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.59	70.06	68.09	85.71	100.00	-469.5770	-10.0000	-12.0470	-10.0000	-61.7025	-26.3900	-03.5420	-10.0000
Two Sigma (SOHO)	27.39	28.07	19.15	9.89	0.00	-166.0070	-10.0000	-2.9080	-10.0000	-170.1540	-10.0000	0.0000	0.0000

#### Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

## March 2026

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### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	85.39	4.42	9.82	0.37

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	72.15	70.72	67.54	86.31	82.76	-3,577.4340	-09.2404	-751.6360	-03.1578	-908.7920	-10.5487	-85.5800	-13.8974
Two Sigma (SOHO)	25.85	27.56	25.22	11.60	17.24	-1,204.6250	-10.0000	-254.0210	-10.0000	-170.1540	-10.0000	-6.5000	-10.0000

**Material Aspects:**

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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## March 2026

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	57.24	19.45	8.40	14.91

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	66.58	71.86	91.63	90.82	0.00	-1,242.9000	-30.0000	-519.0000	-30.0000	-506.1000	-30.0000	-8.7000	-30.0000
Wolverine Execution Services, LLC (WEXX)	33.42	28.14	8.37	9.18	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

**Material Aspects:**

Citadel Securities LLC (CDRG):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.

Wolverine Execution Services, LLC (WEXX):

Option orders for RBC accounts (MPID: AGIS) are routed to RBC for execution. Aegis does not execute or route to any other execution venue. Aegis does not receive PFOF on RBC Option orders.