

Aegis Capital Corp - AGIS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.45	2.45	3.70	0.40

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	69.05	68.62	66.36	78.31	100.00	-475.2805	-9.1493	-19.1403	-9.1493	-20.4661	-9.1493	-0.0366	-9.1493
Two Sigma (SOHO)	28.79	29.52	21.82	18.07	0.00	-220.3310	-10.0000	-6.7400	-10.0000	-10.0770	-10.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	83.48	5.06	11.13	0.34

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	72.79	70.49	72.83	89.43	91.67	-3,093.4332	-9.1493	-877.0693	-9.1493	-673.8944	-9.1493	-1.1528	-9.1493
Two Sigma (SOHO)	25.38	27.78	22.69	9.17	8.33	-1,324.0170	-10.0000	-312.7130	-10.0000	-114.7370	-10.0000	-1.0000	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	56.88	19.81	8.94	14.37

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	66.97	68.15	98.78	96.62	0.00	-1,519.4386	-29.6074	-766.8195	-29.6074	-338.4419	-29.6074	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	33.03	31.85	1.22	3.38	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Wolverine Execution Services, LLC (WEXX):
Options orders routed to Wolverine by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	95.40	1.90	2.31	0.39

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	70.24	69.95	64.15	82.17	100.00	-522.7360	-9.5391	-27.2017	-9.5391	-11.2848	-9.5391	-0.1908	-9.5391
Two Sigma (SOHO)	27.66	28.24	19.81	14.73	0.00	-198.8660	-10.0000	-6.8860	-10.0000	-3.9120	-10.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.28	5.82	9.62	0.28

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	71.35	69.35	74.38	86.50	91.30	-3,761.6496	-9.5391	-2,347.3340	-9.5391	-976.2115	-9.5391	-7.4405	-9.5391
Two Sigma (SOHO)	26.08	28.61	16.74	10.12	8.70	-1,338.5860	-10.0000	-267.1270	-10.0000	-174.8630	-10.0000	-1.5500	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	43.99	32.15	8.98	14.87

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	84.55	98.96	99.64	100.00	0.00	-2,215.9620	-29.8813	-1,630.6685	-29.8813	-457.1696	-29.8813	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	15.45	1.04	0.36	0.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Wolverine Execution Services, LLC (WEXX):
Options orders routed to Wolverine by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.38	1.70	3.25	0.67

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	69.42	69.03	64.29	77.64	96.97	-440.7733	-10.6431	-22.1281	-10.6431	-14.6034	-10.6431	-1.9871	-10.6431
Two Sigma (SOH)	29.41	30.26	22.62	13.66	3.03	-173.0630	-10.0000	-7.9580	-10.0000	-3.9910	-10.0000	-0.0560	-10.0000

Material Aspects:

Citadel Securities LLC (CDRG):

RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):

RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

June 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.51	4.04	11.21	0.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	72.60	70.45	72.62	88.29	100.00	-3,821.7573	-10.6431	-1,555.6647	-10.6431	-528.0691	-10.6431	-9.4724	-10.6431
Two Sigma (SOHO)	26.37	28.90	18.45	10.74	0.00	-1,334.8780	-10.0000	-274.9980	-10.0000	-107.9900	-10.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Two Sigma (SOHO):
RBC account equity orders are routed to Two Sigma by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

June 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	42.26	38.55	7.78	11.40

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	88.55	99.89	100.00	100.00	0.00	-1,751.9515	-29.9927	-1,601.6252	-29.9927	-322.9233	-29.9927	0.0000	0.0000
Wolverine Execution Services, LLC (WEXX)	11.45	0.11	0.00	0.00	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):
RBC account equity orders are routed to Citadel by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.

Wolverine Execution Services, LLC (WEXX):
Options orders routed to Wolverine by Aegis via Valdi OMS. Payment for order flow is received when Aegis routes.