

SOFI - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.50	0.27	2.49	20.74

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.52	14.13	13.81	11.93	69.33	1,498.39	18.0000	0.12	19.4516	1.32	26.8354	578.08	14.7103
Hudson River Trading (HRT)	19.40	21.75	22.05	23.59	10.21	2,299.90	18.0000	0.19	19.0909	2.62	26.8890	633.74	27.0000
GTS SECURITIES LLC	17.20	21.74	20.71	20.58	0.00	2,463.16	18.0000	0.18	19.2581	2.28	26.8304	0.00	0.0000
CITADEL SECURITIES LLC	14.73	15.53	16.70	28.70	10.04	1,829.54	18.0000	0.15	20.2800	3.18	26.8784	683.86	27.0000
Jane Street Capital	12.24	12.70	14.70	12.78	10.42	1,410.82	18.0000	0.13	19.2273	1.42	26.9317	637.01	27.0000
G1 Execution Services, LLC	7.01	9.14	7.13	0.00	0.00	985.34	18.0000	0.06	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.90	5.00	4.90	2.42	0.00	524.90	18.0000	0.04	19.6364	0.27	26.5500	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	69.15	4.01	1.98	24.85

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.80	14.18	14.54	11.54	61.08	27,026.26	17.9584	97.42	16.8984	40.75	26.2820	14,538.38	7.9681
Hudson River Trading (HRT)	19.53	21.59	21.55	25.69	12.97	38,342.10	17.9871	71.73	15.8732	53.74	22.6071	12,805.28	10.2743
GTS SECURITIES LLC	16.21	21.63	20.94	20.94	0.00	41,991.95	17.9807	74.92	16.0204	48.11	22.7137	0.00	0.0000
CITADEL SECURITIES LLC	15.47	15.80	16.05	28.50	13.41	35,573.68	17.9657	64.87	16.2292	94.97	24.6818	17,203.17	9.5159
Jane Street Capital	12.66	12.72	12.75	11.93	12.54	32,051.31	17.9129	34.98	15.4748	23.35	25.5533	13,374.59	9.8089
G1 Execution Services, LLC	6.68	9.13	9.17	0.01	0.00	17,048.92	17.9886	64.00	16.8814	11.88	18.0000	0.00	0.0000
Canaccord Genuity Securities	3.65	4.95	5.00	1.39	0.00	9,334.12	17.9980	1.81	8.0856	0.51	26.2154	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.68	42.17	56.67	0.48

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	27.46	26.44	27.17	27.67	29.79	658.10	33.2375	64,367.58	30.5722	61,254.74	34.8147	1,216.62	43.5128
CITADEL SECURITIES LLC	21.83	23.15	21.28	22.03	45.44	1,040.19	36.3958	59,355.44	31.7107	52,470.09	35.7860	1,404.45	43.6030
Jane Street Capital	20.77	18.78	21.07	20.73	0.00	360.44	39.9158	45,340.09	31.2182	45,275.37	34.2435	0.00	0.0000
Global Execution Brokers LP	14.39	15.32	15.08	13.87	14.02	296.49	38.6049	35,299.37	30.1683	28,239.36	32.4740	363.54	34.3932
Wolverine Execution Services, LLC	7.95	8.73	7.45	8.29	9.81	338.02	32.6589	20,914.74	34.9470	18,681.16	36.3376	258.66	44.8278

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	5.85	6.67	6.03	5.75	0.00	78.92	28.9071	12,657.02	29.2519	12,216.72	31.3885	0.00	0.0000
WATERSHED	1.75	0.91	1.92	1.65	0.93	7.01	38.9500	3,661.50	27.4846	3,152.24	30.7506	7.60	27.1429

Material Aspects:

Dash Financial Technologies:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Global Execution Brokers LP:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.22	0.32	2.89	19.57

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	23.29	12.40	14.26	12.06	68.06	922.02	18.0000	0.14	20.5147	1.41	26.8802	578.89	16.8530
GTS SECURITIES LLC	17.73	22.07	23.69	21.13	0.00	1,662.15	18.0000	0.22	19.5929	2.48	26.8633	0.00	0.0000
Hudson River Trading (HRT)	16.65	17.92	19.92	23.58	10.54	1,359.56	18.0000	0.19	19.8000	2.77	26.9213	592.45	27.0000
CITADEL SECURITIES LLC	15.13	15.74	18.03	27.71	10.84	1,286.12	18.0000	0.17	20.0930	3.25	26.9032	723.94	27.0000
Jane Street Capital	14.86	16.01	14.26	13.32	10.56	1,177.16	18.0000	0.14	20.1176	1.56	26.8451	637.93	27.0000
G1 Execution Services, LLC	8.42	10.88	5.87	0.00	0.00	817.89	18.0000	0.05	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.92	4.97	3.98	2.20	0.00	340.83	18.0000	0.04	18.9474	0.26	26.7188	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

February 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	70.04	2.87	2.13	24.97

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	24.40	12.46	12.45	12.49	60.27	18,269.48	17.9748	21.77	15.7290	28.28	21.7825	9,582.54	7.6905
Hudson River Trading (HRT)	16.86	17.88	18.57	24.91	13.12	24,767.83	17.9513	43.79	16.2985	31.76	22.0590	9,139.05	11.3393
GTS SECURITIES LLC	16.46	22.01	21.74	19.90	0.00	28,572.21	17.9406	41.70	15.9185	26.24	25.6586	0.00	0.0000
CITADEL SECURITIES LLC	15.46	15.69	15.44	28.86	13.66	24,390.74	17.9422	74.34	17.1553	67.29	26.1966	9,595.64	8.8418
Jane Street Capital	15.30	16.17	16.60	12.25	12.95	25,383.02	17.9664	46.55	16.5138	53.75	19.8389	9,504.34	10.7973
G1 Execution Services, LLC	7.90	10.85	10.32	0.00	0.00	15,365.40	17.9759	41.53	16.9067	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.63	4.94	4.88	1.58	0.00	6,229.86	17.9806	9.33	15.8802	4.66	18.6596	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

February 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.70	40.60	58.35	0.36

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	25.61	28.07	25.29	25.77	28.91	513.67	36.3278	42,167.06	30.0723	44,987.73	33.5649	565.14	30.9155
CITADEL SECURITIES LLC	22.94	20.21	22.32	23.29	40.65	294.15	30.9956	42,505.18	29.7937	42,650.58	33.4176	973.70	39.2939
Global Execution Brokers LP	20.20	21.36	20.29	20.13	19.90	404.67	32.3737	32,875.10	30.7029	37,524.75	34.2889	504.81	44.2428
Jane Street Capital	15.92	14.74	16.76	15.46	0.00	161.51	31.3003	23,355.43	28.0849	25,791.09	31.0908	0.00	0.0000
Wolverine Execution Services, LLC	7.89	8.74	7.40	8.21	10.03	203.81	35.9459	13,092.92	33.2535	16,827.46	36.8320	235.43	43.0400
Matrix Executions, LLC / Simplex Trading, LLC	5.68	5.21	6.02	5.48	0.00	82.34	40.3610	8,732.57	28.7001	9,633.36	30.6327	0.00	0.0000
WATERSHED	1.76	1.68	1.91	1.67	0.51	26.48	35.7791	2,537.18	28.4246	2,398.62	29.5033	1.82	22.8000

Material Aspects:

Dash Financial Technologies:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Global Execution Brokers LP:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.22	0.34	2.98	20.47

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.74	14.60	15.91	14.40	69.04	952.69	18.0000	0.14	19.3378	1.58	26.8173	757.89	18.4450
Hudson River Trading (HRT)	19.28	21.45	20.43	25.59	10.29	1,385.78	18.0000	0.19	20.0842	2.83	26.9143	755.83	27.0000
CITADEL SECURITIES LLC	16.85	18.12	20.65	28.13	10.42	1,177.71	18.0000	0.19	19.4062	3.10	26.8596	931.52	27.0000
GTS SECURITIES LLC	14.58	18.29	18.92	19.21	0.00	1,242.88	18.0000	0.18	19.9432	2.12	26.9429	0.00	0.0000
Jane Street Capital	12.88	13.65	14.62	11.09	10.25	955.86	18.0000	0.13	19.7206	1.22	26.9209	845.99	27.0000
G1 Execution Services, LLC	7.06	9.23	6.67	0.00	0.00	587.91	18.0000	0.06	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.60	4.65	2.80	1.58	0.00	322.17	18.0000	0.03	21.4615	0.17	26.8615	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	70.77	2.05	2.04	25.14

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.80	14.77	15.18	13.60	58.71	23,928.23	17.9695	33.62	16.6342	70.62	26.2506	11,770.03	7.9838
Hudson River Trading (HRT)	19.49	21.31	20.86	25.15	13.80	28,680.54	17.9587	41.28	16.4787	156.19	26.3519	11,365.59	10.3257
CITADEL SECURITIES LLC	17.38	18.16	17.73	29.27	14.19	28,032.55	17.9686	53.54	17.0398	68.95	21.4280	12,870.45	8.5799
GTS SECURITIES LLC	13.75	18.33	18.42	19.69	0.00	28,334.33	17.9576	132.41	17.5579	64.59	26.4859	0.00	0.0000
Jane Street Capital	13.50	13.63	14.47	10.83	13.30	27,571.85	17.9830	89.85	17.4803	96.17	22.3416	13,644.86	11.6882
G1 Execution Services, LLC	6.76	9.30	8.70	0.04	0.00	15,243.71	17.9716	102.34	17.7112	32.32	18.0000	0.00	0.0000
Canaccord Genuity Securities	3.31	4.50	4.64	1.42	0.00	6,953.39	17.9484	10.72	16.6857	0.46	26.6379	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.54	40.24	58.67	0.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	24.41	23.30	24.20	24.50	30.97	232.01	33.0969	44,602.60	29.7638	45,590.72	30.4623	798.87	34.7186
CITADEL SECURITIES LLC	22.36	23.08	21.65	22.72	35.88	375.32	37.2338	41,434.41	29.4806	41,549.12	31.0741	1,049.71	35.2134
Global Execution Brokers LP	21.49	23.75	21.30	21.60	20.61	389.09	40.2786	44,542.19	29.3170	39,477.94	30.8552	530.88	32.0773
Jane Street Capital	15.69	14.49	16.69	15.16	0.00	126.16	28.2237	25,952.55	26.8807	28,143.11	27.5840	0.00	0.0000
Wolverine Execution Services, LLC	7.35	9.25	6.79	7.68	9.92	175.23	52.7794	16,036.11	32.8232	15,265.79	33.5608	663.67	53.3926

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	5.98	4.24	6.29	5.84	0.00	40.26	27.7662	11,817.33	27.9992	10,566.61	27.3931	0.00	0.0000
WATERSHED	2.73	1.90	3.08	2.49	2.62	9.26	25.0338	4,666.56	24.7984	4,079.02	23.5007	36.00	37.8900

Material Aspects:

Dash Financial Technologies:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Global Execution Brokers LP:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.