

# SOFI - Held NMS Stocks and Options Order Routing Public Report

Generated on Mon Jul 27 2026 17:32:06 GMT-0400 (Eastern Daylight Time)

2nd Quarter, 2026

April 2026

## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 77.13                                     | 0.23                                                | 2.60                                                    | 20.04                                    |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Virtu Americas, LLC             | 27.40                   | 16.91             | 18.28                       | 23.64                           | 68.34            | 1,418.45                                         | 18.0000                                                               | 0.13                                                       | 20.0455                                                                         | 2.62                                                           | 26.9815                                                                             | 652.13                                          | 16.2613                                                              |
| CITADEL SECURITIES LLC          | 16.62                   | 17.71             | 19.94                       | 28.17                           | 10.89            | 1,522.38                                         | 18.0000                                                               | 0.15                                                       | 20.7500                                                                         | 3.11                                                           | 26.8833                                                                             | 626.63                                          | 27.0000                                                              |
| Hudson River Trading (HRT)      | 16.62                   | 18.20             | 16.34                       | 19.45                           | 10.16            | 1,515.18                                         | 18.0000                                                               | 0.11                                                       | 19.3729                                                                         | 2.15                                                           | 26.9099                                                                             | 568.06                                          | 27.0000                                                              |
| GTS SECURITIES LLC              | 16.17                   | 20.39             | 18.84                       | 15.32                           | 0.00             | 1,864.49                                         | 18.0000                                                               | 0.14                                                       | 19.9853                                                                         | 1.68                                                           | 26.7424                                                                             | 0.00                                            | 0.0000                                                               |
| Jane Street Capital             | 13.92                   | 14.85             | 16.07                       | 11.64                           | 10.61            | 1,504.76                                         | 18.0000                                                               | 0.12                                                       | 19.8621                                                                         | 1.29                                                           | 26.9435                                                                             | 604.20                                          | 27.0000                                                              |
| G1 Execution Services, LLC      | 5.45                    | 7.04              | 5.26                        | 0.00                            | 0.00             | 675.56                                           | 18.0000                                                               | 0.03                                                       | 18.0000                                                                         | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.84                    | 4.90              | 5.26                        | 1.78                            | 0.00             | 412.41                                           | 18.0000                                                               | 0.04                                                       | 19.8947                                                                         | 0.19                                                           | 26.3836                                                                             | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.01             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

### Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Hudson River Trading (HRT):  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

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April 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 69.66                                        | 4.71                                                       | 1.57                                                           | 24.07                                       |

Venues

| Venue -<br>Non-directed<br>Order Flow | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|---------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| Virtu<br>Americas, LLC                | 27.68                          | 16.81                   | 16.69                             | 22.98                                     | 61.60                  | 30,668.49                                                 | 17.9427                                                                         | 41.76                                                                | 15.1273                                                                                      | 16.57                                                                     | 24.3936                                                                                           | 11,408.95                                                | 7.6972                                                                         |
| Hudson River<br>Trading (HRT)         | 17.10                          | 18.44                   | 18.39                             | 20.11                                     | 12.79                  | 37,241.64                                                 | 17.9735                                                                         | 36.04                                                                | 14.4835                                                                                      | 21.71                                                                     | 21.1706                                                                                           | 12,223.84                                                | 10.5655                                                                        |
| CITADEL<br>SECURITIES<br>LLC          | 16.84                          | 17.78                   | 17.41                             | 29.02                                     | 13.22                  | 36,620.83                                                 | 17.9850                                                                         | 27.89                                                                | 13.9307                                                                                      | 67.58                                                                     | 22.1980                                                                                           | 16,025.13                                                | 9.2216                                                                         |
| GTS<br>SECURITIES<br>LLC              | 15.35                          | 20.33                   | 20.40                             | 14.52                                     | 0.00                   | 35,016.05                                                 | 17.9753                                                                         | 14.62                                                                | 10.8843                                                                                      | 13.08                                                                     | 20.7341                                                                                           | 0.00                                                     | 0.0000                                                                         |
| Jane Street<br>Capital                | 14.26                          | 14.88                   | 15.57                             | 11.94                                     | 12.38                  | 37,613.08                                                 | 17.8166                                                                         | 29.53                                                                | 14.3861                                                                                      | 15.20                                                                     | 22.2211                                                                                           | 12,312.77                                                | 11.2084                                                                        |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| G1 Execution Services, LLC      | 5.10                    | 6.88              | 6.60                        | 0.00                            | 0.00             | 16,491.91                                        | 17.9986                                                               | 12.94                                                      | 14.4672                                                                         | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.66                    | 4.88              | 4.93                        | 1.43                            | 0.00             | 9,574.45                                         | 17.9972                                                               | 0.21                                                       | 1.4915                                                                          | 0.37                                                           | 26.9338                                                                             | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.01             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

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April 2026

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 0.52                                      | 43.35                                               | 55.72                                                   | 0.40                                     |

Venues

| Venue - Non-directed Order Flow               | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CITADEL SECURITIES LLC                        | 25.10                   | 22.28             | 24.36                       | 25.60                           | 39.10            | 354.26                                           | 35.6762                                                               | 70,598.96                                                  | 29.8590                                                                         | 57,772.23                                                      | 32.9918                                                                             | 857.83                                          | 38.2107                                                              |
| Dash Financial Technologies                   | 22.06                   | 24.19             | 22.28                       | 21.85                           | 23.41            | 546.16                                           | 31.9202                                                               | 55,990.62                                                  | 29.0764                                                                         | 44,738.13                                                      | 31.2716                                                                             | 629.56                                          | 34.5346                                                              |
| Global Execution Brokers LP                   | 21.40                   | 19.41             | 21.10                       | 21.63                           | 23.66            | 321.06                                           | 33.2707                                                               | 52,058.00                                                  | 30.2057                                                                         | 45,009.96                                                      | 31.9727                                                                             | 781.20                                          | 43.3040                                                              |
| Jane Street Capital                           | 15.16                   | 15.30             | 16.02                       | 14.60                           | 0.00             | 295.44                                           | 30.4578                                                               | 31,133.69                                                  | 26.8839                                                                         | 27,274.60                                                      | 29.1084                                                                             | 0.00                                            | 0.0000                                                               |
| Wolverine Execution Services, LLC             | 7.64                    | 10.04             | 6.99                        | 8.11                            | 10.09            | 180.71                                           | 33.5890                                                               | 16,359.02                                                  | 31.0041                                                                         | 18,234.58                                                      | 33.4059                                                                             | 364.68                                          | 35.8228                                                              |
| Matrix Executions, LLC / Simplex Trading, LLC | 5.85                    | 5.83              | 6.11                        | 5.68                            | 1.99             | 45.89                                            | 28.6841                                                               | 13,457.48                                                  | 27.3093                                                                         | 12,391.77                                                      | 29.3185                                                                             | 48.52                                           | 32.5614                                                              |
| WATERSHED                                     | 2.79                    | 2.96              | 3.14                        | 2.52                            | 1.74             | 41.03                                            | 24.8670                                                               | 4,981.92                                                   | 22.8717                                                                         | 4,047.99                                                       | 25.0541                                                                             | 23.84                                           | 23.8450                                                              |

Material Aspects:

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Dash Financial Technologies:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Global Execution Brokers LP:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

May 2026

S&P 500 Stocks

## Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 77.19                                     | 0.26                                                | 2.90                                                    | 19.64                                    |

## Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Virtu Americas, LLC             | 28.95                   | 19.76             | 18.66                       | 23.84                           | 65.93            | 2,423.68                                         | 18.0000                                                               | 0.18                                                       | 19.1739                                                                         | 3.54                                                           | 26.8906                                                                             | 930.07                                          | 17.8698                                                              |
| CITADEL SECURITIES LLC          | 18.80                   | 20.26             | 22.92                       | 28.32                           | 11.57            | 2,637.23                                         | 18.0000                                                               | 0.23                                                       | 20.0708                                                                         | 4.21                                                           | 26.9367                                                                             | 959.10                                          | 27.0000                                                              |
| Hudson River Trading (HRT)      | 14.55                   | 15.25             | 15.82                       | 17.92                           | 11.28            | 1,843.39                                         | 18.0000                                                               | 0.16                                                       | 20.3077                                                                         | 2.66                                                           | 26.9363                                                                             | 990.10                                          | 27.0000                                                              |
| GTS SECURITIES LLC              | 14.50                   | 18.16             | 17.65                       | 14.89                           | 0.00             | 2,693.67                                         | 18.0000                                                               | 0.17                                                       | 20.0690                                                                         | 2.21                                                           | 26.8796                                                                             | 0.00                                            | 0.0000                                                               |
| Jane Street Capital             | 14.30                   | 15.13             | 16.63                       | 12.97                           | 11.21            | 1,602.80                                         | 18.0000                                                               | 0.16                                                       | 19.8659                                                                         | 1.92                                                           | 26.8492                                                                             | 930.16                                          | 27.0000                                                              |
| G1 Execution Services, LLC      | 4.97                    | 6.43              | 3.04                        | 0.00                            | 0.00             | 731.12                                           | 18.0000                                                               | 0.03                                                       | 18.0000                                                                         | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.93                    | 5.00              | 5.27                        | 2.05                            | 0.00             | 680.78                                           | 18.0000                                                               | 0.05                                                       | 18.6923                                                                         | 0.30                                                           | 26.4425                                                                             | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

## Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

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Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

May 2026

Non-S&P 500 Stocks

Summary

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|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 71.05                                     | 3.12                                                | 1.75                                                    | 24.08                                    |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Virtu Americas, LLC             | 30.05                   | 19.93             | 19.37                       | 24.52                           | 61.69            | 40,767.64                                        | 17.9713                                                               | 36.82                                                      | 15.0384                                                                         | 25.36                                                          | 23.0525                                                                             | 23,664.82                                       | 11.9923                                                              |
| CITADEL SECURITIES LLC          | 18.62                   | 20.20             | 19.68                       | 29.38                           | 13.07            | 45,378.90                                        | 17.9580                                                               | 19.93                                                      | 13.1789                                                                         | 42.81                                                          | 25.3357                                                                             | 25,024.96                                       | 12.6924                                                              |
| Hudson River Trading (HRT)      | 14.67                   | 15.20             | 15.77                       | 19.08                           | 12.66            | 34,814.28                                        | 17.9930                                                               | 8.10                                                       | 10.4268                                                                         | 48.04                                                          | 25.2608                                                                             | 27,458.45                                       | 17.8024                                                              |
| Jane Street Capital             | 14.41                   | 15.05             | 15.76                       | 11.54                           | 12.58            | 20,626.96                                        | 17.9743                                                               | 16.13                                                      | 13.1517                                                                         | 13.91                                                          | 25.3364                                                                             | 27,301.80                                       | 17.1854                                                              |
| GTS SECURITIES LLC              | 13.77                   | 18.23             | 18.27                       | 14.30                           | 0.00             | 42,029.66                                        | 17.9586                                                               | 22.65                                                      | 13.7848                                                                         | 3.73                                                           | 20.2953                                                                             | 0.00                                            | 0.0000                                                               |
| G1 Execution Services, LLC      | 4.81                    | 6.50              | 6.24                        | 0.02                            | 0.00             | 20,373.21                                        | 17.9564                                                               | 2.56                                                       | 9.2767                                                                          | 0.44                                                           | 18.0000                                                                             | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.65                    | 4.90              | 4.91                        | 1.16                            | 0.00             | 10,201.15                                        | 17.9932                                                               | 10.22                                                      | 15.2265                                                                         | 0.40                                                           | 26.6376                                                                             | 0.00                                            | 0.0000                                                               |

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevcies. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

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## May 2026

### Options

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 0.79                                      | 40.98                                               | 57.82                                                   | 0.42                                     |

#### Venues

| Venue - Non-directed Order Flow               | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CITADEL SECURITIES LLC                        | 26.61                   | 27.11             | 25.46                       | 27.30                           | 44.35            | 951.32                                           | 29.3890                                                               | 68,822.41                                                  | 29.5180                                                                         | 78,015.37                                                      | 33.1299                                                                             | 1,093.74                                        | 36.6533                                                              |
| Global Execution Brokers LP                   | 23.25                   | 22.26             | 22.76                       | 23.60                           | 25.07            | 944.41                                           | 30.9745                                                               | 61,471.72                                                  | 30.3091                                                                         | 69,088.79                                                      | 33.5223                                                                             | 1,348.42                                        | 50.9993                                                              |
| Dash Financial Technologies                   | 17.67                   | 18.51             | 17.66                       | 17.67                           | 17.40            | 556.12                                           | 34.6925                                                               | 49,268.47                                                  | 28.9046                                                                         | 48,167.24                                                      | 32.2351                                                                             | 520.03                                          | 37.0656                                                              |
| Jane Street Capital                           | 17.06                   | 16.68             | 18.43                       | 16.22                           | 0.00             | 535.25                                           | 26.8161                                                               | 44,524.17                                                  | 26.9383                                                                         | 42,813.17                                                      | 29.4824                                                                             | 0.00                                            | 0.0000                                                               |
| Wolverine Execution Services, LLC             | 7.26                    | 5.58              | 6.74                        | 7.65                            | 8.06             | 157.62                                           | 31.7151                                                               | 18,957.85                                                  | 32.6640                                                                         | 19,280.34                                                      | 34.7025                                                                             | 301.21                                          | 31.8401                                                              |
| Matrix Executions, LLC / Simplex Trading, LLC | 5.70                    | 5.84              | 6.06                        | 5.44                            | 4.42             | 292.29                                           | 27.4964                                                               | 15,958.47                                                  | 27.9836                                                                         | 14,523.83                                                      | 30.5739                                                                             | 141.11                                          | 28.6233                                                              |
| WATERSHED                                     | 2.45                    | 4.01              | 2.89                        | 2.12                            | 0.69             | 174.15                                           | 23.9882                                                               | 7,389.05                                                   | 24.0803                                                                         | 5,685.10                                                       | 25.0192                                                                             | 10.94                                           | 39.0857                                                              |

#### Material Aspects:

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Global Execution Brokers LP:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Dash Financial Technologies:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

WATERSHED:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

June 2026

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 77.95                                     | 0.34                                                | 2.88                                                    | 18.83                                    |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Virtu Americas, LLC             | 28.23                   | 19.11             | 21.40                       | 24.55                           | 66.67            | 2,542.14                                         | 18.0000                                                               | 0.35                                                       | 19.4500                                                                         | 4.70                                                           | 26.9175                                                                             | 806.96                                          | 16.1668                                                              |
| CITADEL SECURITIES LLC          | 20.68                   | 22.34             | 23.31                       | 30.26                           | 12.33            | 2,775.76                                         | 18.0000                                                               | 0.38                                                       | 19.6071                                                                         | 5.79                                                           | 26.9205                                                                             | 1,202.43                                        | 27.0000                                                              |
| Jane Street Capital             | 17.63                   | 19.46             | 19.86                       | 13.08                           | 10.74            | 1,996.63                                         | 18.0000                                                               | 0.32                                                       | 19.2934                                                                         | 2.49                                                           | 26.7677                                                                             | 782.43                                          | 27.0000                                                              |
| Hudson River Trading (HRT)      | 12.94                   | 13.42             | 12.72                       | 17.35                           | 10.26            | 1,679.42                                         | 18.0000                                                               | 0.21                                                       | 19.5140                                                                         | 3.31                                                           | 26.8613                                                                             | 651.10                                          | 27.0000                                                              |
| GTS SECURITIES LLC              | 12.38                   | 15.32             | 15.93                       | 13.32                           | 0.00             | 2,146.39                                         | 18.0000                                                               | 0.26                                                       | 19.2090                                                                         | 2.54                                                           | 26.8574                                                                             | 0.00                                            | 0.0000                                                               |



| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| G1 Execution Services, LLC      | 4.39                    | 5.62              | 3.09                        | 0.00                            | 0.00             | 975.05                                           | 18.0000                                                               | 0.05                                                       | 18.0000                                                                         | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.74                    | 4.73              | 3.69                        | 1.44                            | 0.00             | 641.87                                           | 18.0000                                                               | 0.06                                                       | 20.9032                                                                         | 0.27                                                           | 26.6471                                                                             | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.00             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Hudson River Trading (HRT):  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

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June 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 72.81                                     | 2.38                                                | 1.71                                                    | 23.10                                    |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Virtu Americas, LLC             | 29.35                   | 19.15             | 18.74                       | 26.19                           | 62.81            | 44,700.74                                        | 17.9735                                                               | 33.28                                                      | 15.1238                                                                         | 50.55                                                          | 22.4474                                                                             | 32,913.29                                       | 11.6016                                                              |
| CITADEL SECURITIES LLC          | 21.66                   | 23.88             | 22.58                       | 29.86                           | 13.94            | 53,635.92                                        | 17.7857                                                               | 18.67                                                      | 12.8293                                                                         | 42.63                                                          | 24.8318                                                                             | 67,148.78                                       | 17.5621                                                              |
| Jane Street Capital             | 17.73                   | 19.45             | 21.16                       | 12.51                           | 12.36            | 30,641.10                                        | 17.9675                                                               | 14.68                                                      | 12.0527                                                                         | 56.69                                                          | 26.6443                                                                             | 63,795.85                                       | 19.8145                                                              |
| Hudson River Trading (HRT)      | 12.25                   | 12.57             | 12.62                       | 16.63                           | 10.87            | 37,494.40                                        | 17.9938                                                               | 13.25                                                      | 13.6063                                                                         | 9.68                                                           | 23.9978                                                                             | 50,920.07                                       | 18.6009                                                              |
| GTS SECURITIES LLC              | 11.77                   | 15.35             | 15.12                       | 13.70                           | 0.00             | 41,360.23                                        | 17.8669                                                               | 10.42                                                      | 12.0531                                                                         | 16.83                                                          | 21.7140                                                                             | 0.00                                            | 0.0000                                                               |
| G1 Execution Services, LLC      | 4.01                    | 5.33              | 5.31                        | 0.00                            | 0.00             | 19,072.25                                        | 17.9791                                                               | 11.69                                                      | 15.5424                                                                         | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |
| Canaccord Genuity Securities    | 3.23                    | 4.27              | 4.47                        | 1.11                            | 0.00             | 10,461.01                                        | 17.9851                                                               | 4.91                                                       | 13.6452                                                                         | 0.47                                                           | 25.8626                                                                             | 0.00                                            | 0.0000                                                               |
| Two Sigma Securities, LLC       | 0.00                    | 0.00              | 0.00                        | 0.00                            | 0.01             | 0.00                                             | 0.0000                                                                | 0.00                                                       | 0.0000                                                                          | 0.00                                                           | 0.0000                                                                              | 0.00                                            | 0.0000                                                               |

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Hudson River Trading (HRT):  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Seviles. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

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June 2026

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 0.53                                      | 45.50                                               | 53.69                                                   | 0.27                                     |

Venues

| Venue - Non-directed Order Flow               | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Global Execution Brokers LP                   | 23.54                   | 24.58             | 23.07                       | 23.90                           | 26.97            | 987.37                                           | 30.8361                                                               | 100,394.47                                                 | 29.1039                                                                         | 85,863.04                                                      | 33.1689                                                                             | 680.41                                          | 36.0577                                                              |
| CITADEL SECURITIES LLC                        | 23.48                   | 23.20             | 22.44                       | 24.28                           | 41.92            | 747.10                                           | 31.8185                                                               | 103,573.32                                                 | 29.3864                                                                         | 88,877.91                                                      | 33.0966                                                                             | 1,437.57                                        | 45.2350                                                              |
| Jane Street Capital                           | 21.27                   | 21.71             | 22.35                       | 20.45                           | 0.00             | 710.13                                           | 29.9761                                                               | 81,498.26                                                  | 27.3491                                                                         | 68,062.79                                                      | 30.7919                                                                             | 0.00                                            | 0.0000                                                               |
| Dash Financial Technologies                   | 15.11                   | 15.67             | 15.31                       | 14.93                           | 15.28            | 328.22                                           | 31.4091                                                               | 62,188.35                                                  | 27.4450                                                                         | 51,792.07                                                      | 32.0060                                                                             | 424.75                                          | 38.3352                                                              |
| Wolverine Execution Services, LLC             | 6.51                    | 4.98              | 5.80                        | 7.11                            | 9.39             | 380.10                                           | 32.0755                                                               | 25,700.56                                                  | 33.0575                                                                         | 26,326.40                                                      | 35.8309                                                                             | 228.35                                          | 41.9764                                                              |
| Matrix Executions, LLC / Simplex Trading, LLC | 6.39                    | 6.48              | 6.77                        | 6.07                            | 5.35             | 231.75                                           | 26.3056                                                               | 25,945.63                                                  | 26.2331                                                                         | 20,872.09                                                      | 30.0024                                                                             | 150.28                                          | 38.5335                                                              |
| WATERSHED                                     | 3.71                    | 3.38              | 4.26                        | 3.26                            | 1.09             | 168.02                                           | 22.0495                                                               | 13,345.87                                                  | 22.9157                                                                         | 8,675.76                                                       | 25.5523                                                                             | 52.17                                           | 42.4179                                                              |

Material Aspects:

Global Execution Brokers LP:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Dash Financial Technologies:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Wolverine Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

**WATERSHED:**  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.