

Marex Capital Markets Inc. CCMB - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)

Material Aspects:

January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)

Material Aspects:

January 2026

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	18.78	69.42	11.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS (ARCA)	63.35	0.00	0.00	90.16	5.86	0.0000	0.0000	0.0000	0.0000	-1,033.1400	-3.0000	-41.9700	-3.0000
CBOE GLOBAL MARKETS INC. (CBOE)	6.61	0.00	31.22	0.37	4.18	0.0000	0.0000	-92.7600	-3.0000	-60.0000	-3.0000	-1.0800	-3.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	6.36	0.00	27.76	1.35	1.78	0.0000	0.0000	-200.6700	-3.0000	-42.8400	-3.0000	-17.4000	-3.0000
MIAX SAPPHIRE, LLC (SPHR)	3.79	0.00	0.00	0.00	32.29	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-108.0000	-3.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	3.49	0.00	0.00	4.53	2.93	0.0000	0.0000	0.0000	0.0000	-24.0600	-2.6586	-49.8300	-3.0000
BOX OPTIONS EXCHANGE (XBOX)	3.37	0.00	17.89	0.01	0.00	0.0000	0.0000	-73.5900	-3.0000	-0.0300	-3.0000	0.0000	0.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	3.16	0.00	13.48	0.01	5.23	0.0000	0.0000	-13.5600	-3.0000	0.0900	0.0900	-17.3705	-1.2190
NASDAQ OPTIONS MARKET (NSDQ)	2.74	0.00	0.00	3.39	3.24	0.0000	0.0000	0.0000	0.0000	-9.3000	-3.0000	-173.0400	-3.0000
ISE MERCURY, LLC (MCRY)	2.18	0.00	7.36	0.00	6.80	0.0000	0.0000	-57.9300	-3.0000	0.0000	0.0000	-15.0600	-3.0000
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISE)	0.74	0.00	1.31	0.00	4.18	0.0000	0.0000	-5.2800	-3.0000	0.0000	0.0000	-0.7200	-3.0000
NASDAQ OMX BX OPTIONS (XBKO)	0.71	0.00	0.00	0.00	6.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-99.0900	-3.0000
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	0.66	0.00	0.00	0.00	5.65	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.6500	-3.0000
CITADEL SECURITIES (CDED)	0.48	0.00	0.00	0.00	4.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2.5336	-0.0200
CBOE C2 OPTIONS EXCHANGE (C2OX)	0.32	0.00	0.00	0.00	2.72	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-147.5400	-3.0000
MIAX PEARL EQUITIES (EPRL)	0.29	0.00	0.00	0.01	2.40	0.0000	0.0000	0.0000	0.0000	-0.0700	-0.0700	-38.8800	-3.0000
MEMX LLC OPTIONS (MXOP)	0.28	0.00	0.00	0.00	2.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-84.1800	-3.0000
NYSE AMEX OPTIONS (AMEX)	0.25	0.00	0.00	0.12	1.46	0.0000	0.0000	0.0000	0.0000	-2.7000	-3.0000	-0.9000	-3.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
ISE GEMINI EXCHANGE (GMNI)	0.21	0.00	0.72	0.00	0.62	0.0000	0.0000	-101.7000	-3.0000	0.0000	0.0000	0.0000	0.0000
MIAX EMERALD, LLC (EMLD)	0.18	0.00	0.26	0.03	0.94	0.0000	0.0000	-0.7500	-3.0000	-0.5400	-3.0000	-68.4600	-3.0000
KNIGHT LINK (KNLI)	0.17	0.00	0.00	0.00	1.46	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.6928	-0.0200
TOWER RESEARCH CAPITAL TRCX (TRCX)	0.12	0.00	0.00	0.00	1.04	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.5940	0.0300
HUDSON RIVER TRADING (HRT) (HRTF)	0.11	0.00	0.00	0.00	0.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.2000	-0.0200
SAGETRADER (SAGE)	0.11	0.00	0.00	0.00	0.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.3946	-0.0200
JUMP LIQUIDITY - US EQUITY PLATFORM (JLEQ)	0.09	0.00	0.00	0.00	0.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.3015	0.0300
NYSE ARCA (ARCX)	0.09	0.00	0.00	0.01	0.73	0.0000	0.0000	0.0000	0.0000	0.3900	0.1300	-3.1450	-0.3700
JANE STREET JX (JSJX)	0.07	0.00	0.00	0.00	0.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.5250	-0.0700
NASDAQ - ALL MARKETS (XNAS)	0.04	0.00	0.00	0.01	0.31	0.0000	0.0000	0.0000	0.0000	0.3300	0.1100	-2.6085	-0.3700
LEVEL ATS (LEVL)	0.02	0.00	0.00	0.00	0.20	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.1600	0.0800
MEMX LLC EQUITIES (MEMX)	0.01	0.00	0.00	0.00	0.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.2590	-0.3700

Material Aspects:

NYSE ARCA OPTIONS (ARCA):

This report (CCMB) is specific to Marex Prime customers (formerly Cowen and Company LLC) whose accounts are introduced to Pershing LLC, the clearing firm. A separate report has been issued that applies to Marex Prime customers whose accounts are carried by other clearing firm(s). Marex Capital Markets Inc. (Marex Prime) primarily provides prime brokerage services to Institutional Clients. Marex Prime has a relationship with Dash Financial Technologies LLC (DASH), referred to as Executing Broker. DASH is a Consolidator which send orders to an Options Exchange for execution (SEC Rule 606 FAQ, Issue 13, Option Order Report, Question 13.01). DASH is an executing broker for the Firms transactions. Marex Prime does not direct orders to Exchanges for execution as DASH determines where to route an order for execution. Marex Prime does not maintain any relationship with any exchange or venue where the Firm receives volume discounts. Marex Prime does not receive any volume discounts or rebates passed to it by DASH. Marex Prime pays a flat execution fee of \$0.05 per Options contract to DASH as the Executing Broker. DASH's most recent report pursuant to SEC Rule 606 is available at <https://dashfinancial.com/rule-606/>.

CBOE GLOBAL MARKETS INC. (CBOE):

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MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX):

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MIAX SAPPHIRE, LLC (SPHR):

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CBOE BZX U.S. EQUITIES EXCHANGE (BATS):

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JANE STREET JX (JSJX):

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NASDAQ - ALL MARKETS (XNAS):

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LEVEL ATS (LEVL):

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MEMX LLC EQUITIES (MEMX):

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February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

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Material Aspects:

February 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	19.20	72.64	8.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS (ARCA)	67.64	0.00	0.00	92.59	3.28	0.0000	0.0000	0.0000	0.0000	-915.4500	-3.0000	-7.6800	-3.0000
CBOE GLOBAL MARKETS INC. (CBOE)	6.14	0.00	31.32	0.06	1.15	0.0000	0.0000	-70.2300	-3.0000	-0.6000	-3.0000	-0.4500	-3.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	5.78	0.00	27.33	0.67	0.57	0.0000	0.0000	-118.0200	-3.0000	-18.0600	-3.0000	-0.6600	-3.0000
CBOE BZX OPTIONS EXCHANGE (BATS)	3.46	0.00	0.00	4.29	4.24	0.0000	0.0000	0.0000	0.0000	-11.7900	-3.0000	-10.1400	-3.0000
BOX OPTIONS EXCHANGE (XBOX)	3.24	0.00	16.91	0.00	0.00	0.0000	0.0000	-42.0900	-3.0000	0.0000	0.0000	0.0000	0.0000
MIAX SAPPHIRE, LLC (SPHR)	3.01	0.00	0.00	0.00	37.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-48.9000	-3.0000
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	2.86	0.00	13.87	0.00	2.50	0.0000	0.0000	-14.2500	-3.0000	0.0000	0.0000	-5.4500	-0.8790
ISE MERCURY, LLC (MCRY)	2.12	0.00	7.30	0.00	8.88	0.0000	0.0000	-48.6000	-3.0000	0.0000	0.0000	-6.9600	-3.0000
NASDAQ OPTIONS MARKET (NSDQ)	1.81	0.00	0.00	2.16	2.89	0.0000	0.0000	0.0000	0.0000	-2.4000	-3.0000	-61.0500	-3.0000

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NASDAQ OMX BX OPTIONS (XBKO)	0.67	0.00	0.00	0.00	8.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-25.5900	-3.0000
CITADEL SECURITIES (CDED)	0.64	0.00	0.00	0.00	7.91	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2.3060	-0.0194
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISE)	0.39	0.00	1.97	0.00	0.19	0.0000	0.0000	-3.4200	-3.0000	0.0000	0.0000	0.0000	0.0000
MEMX LLC OPTIONS (MXOP)	0.36	0.00	0.00	0.00	4.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-13.2000	-3.0000
NYSE AMEX OPTIONS (AMEX)	0.29	0.00	0.00	0.21	1.73	0.0000	0.0000	0.0000	0.0000	-0.1200	-3.0000	-6.9600	-3.0000
MIAX EMERALD, LLC (EMLD)	0.23	0.00	0.57	0.02	1.35	0.0000	0.0000	-1.1700	-3.0000	0.0000	0.0000	-2.5800	-3.0000
CBOE C2 OPTIONS EXCHANGE (C2OX)	0.22	0.00	0.00	0.00	2.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-15.2400	-3.0000
MIAX PEARL, LLC (EPRL)	0.22	0.00	0.00	0.00	2.70	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-11.2200	-3.0000
ISE GEMINI EXCHANGE (GMNI)	0.20	0.00	0.73	0.00	0.77	0.0000	0.0000	-3.7500	-3.0000	0.0000	0.0000	-0.1500	-3.0000
KNIGHT LINK (KNLI)	0.17	0.00	0.00	0.00	2.12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.8876	-0.0200
TOWER RESEARCH CAPITAL TRCX (TRCX)	0.15	0.00	0.00	0.00	1.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.4010	0.0343
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	0.12	0.00	0.00	0.00	1.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.7100	-3.0000
NYSE ARCA (ARCX)	0.06	0.00	0.00	0.00	0.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2.9600	-0.3700
HUDSON RIVER TRADING (HRT) (HRTF)	0.04	0.00	0.00	0.00	0.57	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.1000	-0.0200
JANE STREET JX (JSJX)	0.03	0.00	0.00	0.00	0.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.2100	-0.0700
JUMP LIQUIDITY - US EQUITY PLATFORM (JLEQ)	0.03	0.00	0.00	0.00	0.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.1986	0.0300
LEVEL ATS (LEVL)	0.03	0.00	0.00	0.00	0.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.4000	0.0800
NASDAQ - ALL MARKETS (XNAS)	0.03	0.00	0.00	0.00	0.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.4800	-0.3700
SAGETRADER (SAGE)	0.03	0.00	0.00	0.00	0.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.0400	-0.0200
LONG-TERM STOCK EXCHANGE, INC. (LTSE)	0.01	0.00	0.00	0.00	0.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.7400	-0.3700
NASDAQ OMX BX (XBOS)	0.01	0.00	0.00	0.00	0.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.1400	-0.1400
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.01	0.00	0.00	0.00	0.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.1850	-0.3700

Material Aspects:

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March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	19.04	66.88	14.09

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS (ARCA)	61.57	0.00	0.00	90.67	5.31	0.0000	0.0000	0.0000	0.0000	-29,088.2400	-76.0000	-796.4800	-76.0000
CBOE GLOBAL MARKETS INC. (CBOE)	6.16	0.00	31.98	0.03	0.42	0.0000	0.0000	-2,781.6000	-76.0000	-5.3200	-76.0000	0.0000	0.0000
MIAMI INTERNATIONAL SECURITIES EXCHANGE (MIAX)	5.55	0.00	24.57	1.03	1.34	0.0000	0.0000	-2,555.1200	-76.0000	-557.0800	-76.0000	-721.2400	-76.0000
CBOE BZX U.S. EQUITIES EXCHANGE (BATS)	3.82	0.00	0.00	5.18	2.52	0.0000	0.0000	0.0000	0.0000	-1,884.8000	-76.0000	-290.6268	-14.9808
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX)	3.53	0.00	15.84	0.00	3.70	0.0000	0.0000	-455.2400	-76.0000	0.0000	0.0000	-1,159.3280	-16.6930
BOX OPTIONS EXCHANGE (XBOX)	3.44	0.00	18.02	0.01	0.00	0.0000	0.0000	-3,581.1200	-76.0000	-7.6000	-76.0000	0.0000	0.0000
MIAX SAPPHIRE, LLC (SPHR)	2.64	0.00	0.00	0.00	19.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2,305.8400	-76.0000
ISE MERCURY, LLC (MCRY)	2.23	0.00	7.42	0.00	5.81	0.0000	0.0000	-1,546.6000	-76.0000	0.0000	0.0000	-452.2000	-76.0000
KNIGHT LINK (KNLI)	1.84	0.00	0.00	0.00	13.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-10.2360	-0.0200
NASDAQ OPTIONS MARKET (NSDQ)	1.74	0.00	0.00	2.29	1.51	0.0000	0.0000	0.0000	0.0000	-60.8000	-76.0000	-822.3200	-76.0000
CITADEL SECURITIES (CDED)	1.04	0.00	0.00	0.00	7.41	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.5428	-0.0200
NASDAQ OMX BX OPTIONS (XBXX)	0.78	0.00	0.00	0.00	5.56	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-655.8800	-76.0000
NYSE AMEX OPTIONS (AMEX)	0.73	0.00	0.00	0.79	1.43	0.0000	0.0000	0.0000	0.0000	-53.9600	-76.0000	-1,168.1200	-76.0000
TOWER RESEARCH CAPITAL TRCX (TRCX)	0.61	0.00	0.00	0.00	4.38	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2.4900	0.0300
HUDSON RIVER TRADING (HRT) (HRTF)	0.43	0.00	0.00	0.00	3.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.4232	-0.0200
JUMP LIQUIDITY - US EQUITY PLATFORM (JLEQ)	0.42	0.00	0.00	0.00	3.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3.1239	0.0300
CBOE C2 OPTIONS EXCHANGE (C2OX)	0.38	0.00	0.00	0.00	2.69	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-918.8400	-76.0000
SAGETRADER (SAGE)	0.36	0.00	0.00	0.00	2.61	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.3730	-0.0200
MEMX LLC OPTIONS (MXOP)	0.34	0.00	0.00	0.00	2.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-460.5600	-76.0000
JANE STREET JX (JSJX)	0.30	0.00	0.00	0.00	2.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-3.5840	-0.0700
INTERNATIONAL SECURITIES EXCHANGE, LLC (XISE)	0.29	0.00	1.12	0.00	0.59	0.0000	0.0000	-323.0000	-76.0000	0.0000	0.0000	-65.3600	-76.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
LEVEL ATS (LEVL)	0.23	0.00	0.00	0.00	1.68	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3.7040	0.0800
NYSE ARCA (ARCX)	0.23	0.00	0.00	0.00	1.68	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-39.0500	-0.3396
ISE GEMINI EXCHANGE (GMNI)	0.22	0.00	0.93	0.00	0.33	0.0000	0.0000	-1,038.9200	-76.0000	0.0000	0.0000	-7.6000	-76.0000
NASDAQ - ALL MARKETS (XNAS)	0.22	0.00	0.00	0.00	1.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-28.6086	-0.2956
PHILADELPHIA OPTIONS EXCHANGE (PHLX)	0.22	0.00	0.00	0.00	1.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-367.0800	-76.0000
MIAX PEARL EQUITIES (EPRL)	0.21	0.00	0.00	0.00	1.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-282.4424	-21.4134
MIAX EMERALD, LLC (EMLD)	0.15	0.00	0.06	0.00	1.01	0.0000	0.0000	-23.5600	-76.0000	0.0000	0.0000	-240.1600	-76.0000
INVESTORS EXCHANGE (IEXG)	0.07	0.00	0.00	0.00	0.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.5300	-0.3060
TWO SIGMA SECURITIES, LLC (SOHO)	0.04	0.00	0.00	0.00	0.33	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.4683	-0.0700
MEMX LLC EQUITIES (MEMX)	0.03	0.00	0.00	0.00	0.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-5.7500	-0.1643
UBS ATS (UBSA)	0.03	0.00	0.00	0.00	0.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.1700	-0.1700
NASDAQ OMX BX (XBOS)	0.02	0.00	0.00	0.00	0.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1.3900	-0.1264
NYSE CHICAGO, INC. (XCHI)	0.02	0.00	0.00	0.00	0.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-4.0700	-0.3700
XTX DIRECT (XTXD)	0.02	0.00	0.00	0.00	0.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BNPP CORTEX ATS (BNPC)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE BYX U.S. EQUITIES EXCHANGE (BATY)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.4000	-0.0500
CBOE EDGA U.S. EQUITIES EXCHANGE (EDGA)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.7400	-0.3700
GOLDMAN SACH MTF (SGMA)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INSTINET CBX (US) (ICBX)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
INTELLIGENTCROSS (INCR)	0.01	0.00	0.06	0.00	0.00	0.0000	0.0000	-0.1500	-0.1500	0.0000	0.0000	0.0000	0.0000
JPMX (JPMX)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.6000	-0.2000
NEW YORK STOCK EXCHANGE, INC. (XNYS)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.3700	-0.3700
POSIT (ITGI)	0.01	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

NYSE ARCA OPTIONS (ARCA):

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JPMX (JPMX):
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NEW YORK STOCK EXCHANGE, INC. (XNYS):
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POSIT (ITG):
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