

UMB FINANCIAL SERVICES, INC. - Held NMS
 Stocks and Options Order Routing Public
 Report
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1st Quarter, 2026

January 2026

S&P 500 Stocks
 Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
726	100.00	699	96.28	10	1.38	13	1.79	4	0.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	195	19.82	184	20.93	11		55.00	0	0.00	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	162	16.46	160	18.20	2		10.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	135	13.72	132	15.02	2		10.00	0	0.00	1	25.00	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	123	12.50	119	13.54	1		5.00	0	0.00	3	75.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	119	12.09	118	13.42	1		5.00	0	0.00	0	0.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	94	9.55	93	10.58	1		5.00	0	0.00	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	39	3.96	0	0.00	2		10.00	37	45.68	0	0.00	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	30	3.05	0	0.00	0		0.00	30	37.04	0	0.00	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	25	2.54	25	2.84	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	23	2.34	23	2.62	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

January 2026

Non-S&P 500 Stocks
 Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
903	100.00	819	90.70	36	3.99	43	4.76	5	0.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	419	30.90	384	32.35	35		47.95	0	0.00	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	197	14.53	185	15.59	9		12.33	3	3.30	0	0.00	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	161	11.87	151	12.72	8		10.96	1	1.10	1	20.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	154	11.36	147	12.38	6		8.22	1	1.10	0	0.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	141	10.40	134	11.29	2		2.74	1	1.10	4	80.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	117	8.63	113	9.52	3		4.11	1	1.10	0	0.00	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	30	2.21	30	2.53	0		0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	23	1.70	22	1.85	0		0.00	1	1.10	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	22	1.62	20	1.68	2		2.74	0	0.00	0	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	19	1.40	0	0.00	0		0.00	19	20.88	0	0.00	0	0	0	0	0	0	0

January 2026

Option Contracts

Jane Street Capital, LLC(INST)	119	10.29	116	11.74	3	3.90	0	0.00	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	79	6.83	73	7.39	1	1.30	5	6.41	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	36	3.11	0	0.00	0	0.00	36	46.15	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	23	1.99	22	2.23	1	1.30	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	22	1.90	21	2.13	0	0.00	0	0.00	1	7.69	0	0	0	0	0	0	0	0
Instinet																		
BlockCross(BLCK)	21	1.82	4	0.40	17	22.08	0	0.00	0	0.00	0	0	0	0	0	0	0	0

February 2026

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
24	100.00	13	54.17	4	16.67	7	29.17	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDNG)	11	45.83	7	53.85	3	75.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	4	16.67	1	7.69	1	25.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	4	16.67	2	15.38	0	0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0
GLOBAL EXECUTION BROKERS, LP(GEBB)	3	12.50	2	15.38	0	0.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	2	8.33	1	7.69	0	0.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0

March 2026

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
577	100.00	543	94.11	4	0.69	24	4.16	6	1.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
VIRTU Americas, LLC(NITE)	121	17.51	113	18.49	0	0.00	4	6.06	4	66.67	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	117	16.93	115	18.82	2	25.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDNG)	112	16.21	108	17.68	1	12.50	2	3.03	1	16.67	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	111	16.06	109	17.84	0	0.00	2	3.03	0	0.00	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	72	10.42	68	11.13	4	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	56	8.10	55	9.00	0	0.00	1	1.52	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	28	4.05	0	0.00	0	0.00	28	42.42	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	19	2.75	0	0.00	0	0.00	19	28.79	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	16	2.32	14	2.29	0	0.00	1	1.52	1	16.67	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	15	2.17	14	2.29	1	12.50	0	0.00	0	0.00	0	0	0	0	0	0	0	0

March 2026

Non-S&P 500 Stocks Summary

