

UMB FINANCIAL SERVICES, INC. - Held NMS
Stocks and Options Order Routing Public
Report
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3rd Quarter, 2026

July 2026

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
472	100.00	428	90.68	7	1.48		30	6.36	1.48

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	161	25.04	141	27.38	0		0.00	15	13.27	5	71.43	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Virtu Americas, LLC(NITE)	114	17.73	88	17.09	4		50.00	21	18.58	1	14.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00
G1 Execution Services, LLC(ETMM)	98	15.24	81	15.73	1		12.50	16	14.16	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CrossStream ATS(ASSTM)	98	15.24	96	18.64	2		25.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HRT Financial LP(HRTF)	52	8.09	40	7.77	0		0.00	12	10.62	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Jane Street Capital, LLC(JNST)	36	5.60	32	6.21	1		12.50	3	2.65	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Nasdaq Stock Market(NSDQ)	26	4.04	0	0.00	0		0.00	26	23.01	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Goldman, Sachs & Co. LLC(GSCO)	22	3.42	20	3.88	0		0.00	2	1.77	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Two Sigma Securities, LLC(SOHO)	10	1.56	10	1.94	0		0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Cboe EDGX US Equities Exchange(EDGX)	9	1.40	0	0.00	0		0.00	9	7.96	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

July 2026

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
886	100.00	780	88.04	23	2.60		82	9.26	0.11

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CrossStream ATS(ASSTM)	492	32.93	461	37.63	31		56.36	0	0.00	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Citadel Securities LLC(CDRG)	323	21.62	289	23.59	9		16.36	24	11.27	1	100.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
G1 Execution Services, LLC(ETMM)	170	11.38	152	12.41	4		7.27	14	6.57	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Virtu Americas, LLC(NITE)	150	10.04	129	10.53	7		12.73	14	6.57	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HRT Financial LP(HRTF)	93	6.22	79	6.45	2		3.64	12	5.63	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Jane Street Capital, LLC(JNST)	63	4.22	56	4.57	1		1.82	6	2.82	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Nasdaq Stock Market(NSDQ)	54	3.61	0	0.00	0		0.00	54	25.35	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
New York Stock Exchange(NYSE)	38	2.54	0	0.00	0		0.00	38	17.84	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Goldman, Sachs & Co. LLC(GSCO)	32	2.14	31	2.53	0		0.00	1	0.47	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Members Exchange(MEMX)	26	1.74	0	0.00	0		0.00	26	12.21	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

July 2026

Option Contracts
Summary

Net Payment		Net Payment		Net Payment		Net Payment	
Net Payment	Net Payment	Net Payment	Net Payment	Net Payment	Net Payment	Net Payment	Net Payment

August 2026

S&P 500 Stocks Summary

Non-Directed	Market Orders as %	Marketable Limit	non-marketable Limit Orders as % of	Other Orders as % of
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	Net Payment	Net Payment
2017	100	100
2018	100	100
2019	100	100
2020	100	100
2021	100	100
2022	100	100
2023	100	100
2024	100	100
2025	100	100
2026	100	100
2027	100	100
2028	100	100
2029	100	100
2030	100	100
2031	100	100
2032	100	100
2033	100	100
2034	100	100
2035	100	100
2036	100	100
2037	100	100
2038	100	100
2039	100	100
2040	100	100
2041	100	100
2042	100	100
2043	100	100
2044	100	100
2045	100	100
2046	100	100
2047	100	100
2048	100	100
2049	100	100
2050	100	100
2051	100	100
2052	100	100
2053	100	100
2054	100	100
2055	100	100
2056	100	100
2057	100	100
2058	100	100
2059	100	100
2060	100	100
2061	100	100
2062	100	100
2063	100	100
2064	100	100
2065	100	100
2066	100	100
2067	100	100
2068	100	100
2069	100	100
2070	100	100
2071	100	100
2072	100	100
2073	100	100
2074	100	100
2075	100	100
2076	100	100
2077	100	100
2078	100	100
2079	100	100
2080	100	100
2081	100	100
2082	100	100
2083	100	100
2084	100	100
2085	100	100
2086	100	100
2087	100	100
2088	100	100
2089	100	100
2090	100	100
2091	100	100
2092	100	100
2093	100	100
2094	100	100
2095	100	100
2096	100	100
2097	100	100
2098	100	100
2099	100	100
2100	100	100

	Net Payment	Net Payment
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