

CFD INVESTMENTS, INC. - Held NMS Stocks and Options Order Routing Public Report
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3rd Quarter, 2026

July 2026

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.96	0.00	0.21	0.83

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities													
LLC(CDRG)	36.20	36.58		0.00	0.00	56.25 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
G1 Execution Services, LLC(ETMM)	20.77	21.21		0.00	3.45	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Virtu Americas, LLC(NITE)	19.49	19.53		0.00	3.45	43.75 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HRT Financial LP(HRTF)	8.64	8.84		0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Jane Street Capital, LLC(JNST)	7.71	7.84		0.00	3.45	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Goldman, Sachs & Co. LLC(GSCO)	3.44	3.47		0.00	3.45	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Two Sigma Securities, LLC(SOHO)													
Nasdaq Stock Market(NSDQ)	1.23	0.00		0.00	82.76	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
GTS Securities LLC(GTSM)	0.46	0.47		0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
UBS Securities, LLC(UBSS)	0.31	0.32		0.00	0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Material Aspects:

Citadel Securities LLC(CDRG)
We currently have no payment for order flow arrangements with any execution venues.

G1 Execution Services, LLC(ETMM)
We currently have no payment for order flow arrangements with any execution venues.

Virtu Americas, LLC(NITE)
We currently have no payment for order flow arrangements with any execution venues.

HRT Financial LP(HRTF)
We currently have no payment for order flow arrangements with any execution venues.

Jane Street Capital, LLC(JNST)
We currently have no payment for order flow arrangements with any execution venues.

Goldman, Sachs & Co. LLC(GSCO)
We currently have no payment for order flow arrangements with any execution venues.

Two Sigma Securities, LLC(SOHO)
We currently have no payment for order flow arrangements with any execution venues.

Nasdaq Stock Market(NSDQ)
We currently have no payment for order flow arrangements with any execution venues.

GTS Securities LLC(GTSM)
We currently have no payment for order flow arrangements with any execution venues.

UBS Securities, LLC(UBSS)
We currently have no payment for order flow arrangements with any execution venues.

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.82	0.12	0.74	0.33

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.98	35.66	38.46	14.74	44.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
G1 Execution Services, LLC(ETMM)	18.51	19.53	7.69	7.88	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Virtu Americas, LLC(NITE)	18.42	19.27	30.77	7.68	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
HRT Financial LP(HRTF)	9.42	9.92	15.38	4.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Jane Street Capital, LLC(JNST)	8.03	8.52	0.00	3.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Goldman, Sachs & Co. LLC(GSCO)	3.79	3.99	7.69	1.64	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Nasdaq Stock Market(NSDQ)	3.22	0.00	0.00	39.30	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Two Sigma Securities, LLC(SOHO)	1.47	1.53	0.00	0.82	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
NYSE Arca(ARCA)	1.34	0.00	0.00	16.38	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
UBS Securities, LLC(UBSS)	0.54	0.57	0.00	0.00	5.56	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Material Aspects:

Citadel Securities LLC(CDRG)
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G1 Execution Services, LLC(ETMM)
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Virtu Americas, LLC(NITE)
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HRT Financial LP(HRTF)
We currently have no payment for order flow arrangements with any execution venues.

Jane Street Capital, LLC(JNST)
We currently have no payment for order flow arrangements with any execution venues.

Goldman, Sachs & Co. LLC(GSCO)
We currently have no payment for order flow arrangements with any execution venues.

Two Sigma Securities, LLC(SOHO)
We currently have no payment for order flow arrangements with any execution venues.

Nasdaq Stock Market(NSDQ)
We currently have no payment for order flow arrangements with any execution venues.

NYSE Arca(ARCA)
We currently have no payment for order flow arrangements with any execution venues.

UBS Securities, LLC(UBSS)
We currently have no payment for order flow arrangements with any execution venues.

July 2026

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	25.00	0.00	75.00	0.00

[illegible]

Material Aspects:

Citadel Securities LLC(CDRG)

We currently have no payment for order flow arrangements with any execution venues.

Wolverine Execution Services LLC(WEXX)

We currently have no payment for order flow arrangements with any execution venues.

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.42	0.00	0.03	1.55

[illegible]

Jane Street Capital, LLC(JNST)	4.94	5.01	6.67	4.18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Goldman, Sachs & Co. LLC(GSCO)	4.83	4.95	0.00	3.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Two Sigma Securities, LLC(SOHO)	1.75	1.81	0.00	0.70	1.05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Nasdaq Stock Market(NSDQ)	0.80	0.00	0.00	19.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Instinet BlockCross(BLKX)	0.72	0.66	33.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
GTS Securities LLC(GTSM)	0.53	0.56	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Material Aspectsics:

Citadel Securities LLC(CDRG)
 We currently have no payment for order flow arrangements with any execution venues.

Virtu Americas, LLC(NITE)
 We currently have no payment for order flow arrangements with any execution venues.

G1 Execution Services, LLC(ETMM)
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HRT Financial LP(HRTF)
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Jane Street Capital, LLC(JNST)
 We currently have no payment for order flow arrangements with any execution venues.

Goldman, Sachs & Co. LLC(GSCO)
 We currently have no payment for order flow arrangements with any execution venues.

Two Sigma Securities, LLC(SOHO)
 We currently have no payment for order flow arrangements with any execution venues.

Nasdaq Stock Market(NSDQ)
 We currently have no payment for order flow arrangements with any execution venues.

Instinet BlockCross(BLKX)
 We currently have no payment for order flow arrangements with any execution venues.

GTS Securities LLC(GTSM)
 We currently have no payment for order flow arrangements with any execution venues.

August 2026

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	100.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.33	0.00	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Dash Financial Technologies LLC(DASH)	33.33	0.00	0.00	100.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Jane Street Capital, LLC(JNST)	33.33	0.00	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Material Aspectsics:

We currently have no payment for order flow arrangements with any execution venues.

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Option Contracts Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

							Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Venue - Non- directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)						