

Tradition Securities and Derivatives - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE AMERICAN LLC (AMEX)	36.62	0.00	0.00	0.00	36.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	15,400.4167	5.7091
CBOE OPTIONS EXCHANGE (CBOE)	19.48	0.00	0.00	0.00	19.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-1,800.5319	-3.3114
NASDAQ PHLX (PHLX)	18.44	0.00	0.00	0.00	18.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-6,355.3907	-7.1662
MATRIX EXECUTIONS, LLC (RSKY)	14.11	0.00	0.00	0.00	14.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	2,126.4263	15.6389
NYSE ARCA OPTIONS (ARCO)	6.93	0.00	0.00	0.00	6.93	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	3,610.6452	6.6577

Material Aspects:

NYSE AMERICAN LLC (AMEX):
The NYSE American has a tiered pricing/payment schedule which is detailed on their website: https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf

CBOE OPTIONS EXCHANGE (CBOE):
The CBOE Exchange has a tiered pricing/payment schedule which is detailed on their website: https://www.cboe.com/us/options/membership/fee_schedule/

NASDAQ PHLX (PHLX):
Tradition routes single and complex option orders to Nasdaq PHLX for QCC execution, the best execution considerations are determined at the firm before choosing which venue to execute the cross. The Nasdaq PHLX has a tiered pricing/payment schedule which is detailed on their website: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-options-7>

MATRIX EXECUTIONS, LLC (RSKY):
Tradition routes single and multileg option orders to Matrix Executions to cross; the best execution considerations are determined at the firm before choosing which venue to execute the cross.

NYSE ARCA OPTIONS (ARCO):
The NYSE Arca has a tiered pricing/payment schedule which is detailed on their website: https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

[illegible]

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

[illegible]

Material Aspects:

NYSE AMERICAN LLC (AMEX):

The NYSE American has a tiered pricing/payment schedule which is detailed on their website: https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf

CBOE OPTIONS EXCHANGE (CBOE):

The CBOE Exchange has a tiered pricing/payment schedule which is detailed on their website: https://www.cboe.com/us/options/membership/fee_schedule/

MATRIX EXECUTIONS, LLC (RSKY):

Tradition routes single and multileg option orders to Matrix Executions to cross; the best execution considerations are determined at the firm before choosing which venue to execute the cross.

NASDAQ PHLX (PHLX):

Tradition routes single and complex option orders to Nasdaq PHLX for QCC execution, the best execution considerations are determined at the firm before choosing which venue to execute the cross. The Nasdaq PHLX has a tiered pricing/payment schedule which is detailed on their website: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-options-7>

NYSE ARCA OPTIONS (ARCO):

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