

# FUTU CLEARING INC. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	5.31	13.08	76.89	4.72

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	32.17	27.11	32.94	32.59	28.82	10,383.84	9.8893	29,573.60	9.0798	92,971.61	25.3990	10,972.56	16.6548
Jane Street Capital	28.76	28.25	27.67	28.11	42.91	10,978.99	9.9613	31,397.12	9.4222	159,574.31	29.4515	18,411.19	21.1264
Virtu Americas, LLC	15.47	0.52	5.19	17.74	23.82	995.32	9.9899	3,261.81	9.9988	105,942.01	32.9982	12,966.38	14.8375
Blue Ocean Technologies, LLC	11.29	0.00	22.03	10.93	0.00	0.00	0.0000	-25,640.63	-16.6032	16,415.61	5.5911	0.00	0.0000
Dash/IMC Financial Markets	6.25	0.66	4.49	7.10	3.52	-353.72	-2.1125	11.49	2.2567	0.00	0.0000	-543.02	-6.3277

### Material Aspects:

#### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes listed equity order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market hours from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. receives exchange rebates and pays exchange fees with the base commission being \$0.0006 per share executed as part of a cost+ model for orders executed outside regular market hours from 4:00 AM ET up to 7:00 AM ET. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 8:00 pm up to 4:00 AM ET (Sunday – ending 4:00 AM EST Friday), the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Jane Street Capital:

Futu Clearing Inc. routes listed equity order flow to Jane Street recognized under MPID: JNST for the order handling and execution of customer market and limit orders entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Virtu Americas, LLC:

Futu Clearing Inc. routes listed equity order flow to Virtu Americas LLC recognized under MPID: NITE for the order handling and execution of customer market and limit orders originated and entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

Blue Ocean Technologies, LLC:

Futu Clearing Inc. routes listed equity order flow to Blue Ocean Technologies LLC recognized as Trade.Com Online Securities, Inc. or under MPID: BLUE for the order handling and execution of customer limit orders entered between 8:00 pm up to 4:00 AM ET (Sunday – Thursday). Futu Clearing Inc receives \$0.0006 per share executed for orders adding liquidity and pays \$0.002 per share executed for orders taking liquidity for securities priced equal to or above \$1.00. Futu Clearing Inc receives \$0.00 per share executed on orders adding liquidity and pays \$0.003 per share executed for orders taking liquidity on securities priced below \$1.00. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

Dash/IMC Financial Markets:

Futu Clearing Inc. routes listed equity order flow to Dash Financial Technologies LLC recognized under MPID: DFIN or DFTR for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc receives exchange rebates and pays exchange as part of a cost+ model. Securities priced greater than or equal to \$1.00 Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model. Securities priced less than \$1.00 and order size equal to or less than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model. Securities priced less than \$1.00 and order size is greater than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0002 per share executed as part of a cost+ model. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model on its algorithmic order types. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

## January 2026

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	4.87	16.86	74.91	3.36

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	32.56	32.12	33.99	32.46	28.26	73,836.20	6.9186	434,615.78	6.7823	804,100.44	18.8000	9,673.32	14.2548
Jane Street Capital	29.14	33.50	28.24	28.45	42.85	77,501.35	6.9204	463,698.44	7.3865	1,143,203.74	23.4393	14,560.65	20.3768
Virtu Americas, LLC	14.56	0.62	5.75	16.99	24.66	5,630.52	5.2468	82,525.68	7.9560	792,392.99	27.2131	39,055.55	4.7033
Blue Ocean Technologies, LLC	13.60	0.00	22.41	13.10	0.00	0.00	0.0000	-104,964.02	-6.7536	56,944.42	11.7079	0.00	0.0000
Dash/IMC Financial Markets	6.35	0.81	4.98	7.13	3.91	-8,799.47	-4.7321	-3,109.51	-5.0510	0.00	0.0000	-52,461.87	-8.4296

#### Material Aspects:

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**Jane Street Capital:**

Futu Clearing Inc. routes listed equity order flow to Jane Street recognized under MPID: JNST for the order handling and execution of customer market and limit orders entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

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**Blue Ocean Technologies, LLC:**

Futu Clearing Inc. routes listed equity order flow to Blue Ocean Technologies LLC recognized as Trade.Com Online Securities, Inc. or under MPID: BLUE for the order handling and execution of customer limit orders entered between 8:00 pm up to 4:00 AM ET (Sunday – Thursday). Futu Clearing Inc receives \$0.0006 per share executed for orders adding liquidity and pays \$0.002 per share executed for orders taking liquidity for securities priced equal to or above \$1.00. Futu Clearing Inc receives \$0.00 per share executed on orders adding liquidity and pays \$0.003 per share executed for orders taking liquidity on securities priced below \$1.00. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

**Dash/IMC Financial Markets:**

Futu Clearing Inc. routes listed equity order flow to Dash Financial Technologies LLC recognized under MPID: DFIN or DFTR for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being per share executed as part of a cost+ model. Securities priced greater than or equal to \$1.00 Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model. Securities priced less than \$1.00 and order size equal to or less than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model. Securities priced less than \$1.00 and order size is greater than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0002 per share executed as part of a cost+ model. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model on its algorithmic order types. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

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## January 2026

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	2.23	6.49	75.81	15.46

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	22.64	19.02	20.44	23.08	21.91	111,560.74	40.5472	728,602.02	41.6895	1,270,528.55	42.9848	140,194.83	36.9087
Global Execution Brokers, LP	20.31	21.10	21.09	20.20	20.39	120,231.59	39.8569	442,371.50	39.5543	1,329,752.27	43.2842	130,600.34	37.4959
Jane Street Capital	19.75	18.20	18.71	19.88	19.78	105,977.94	40.5629	560,303.71	41.3881	1,176,828.21	43.3982	125,724.55	36.0615

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Wolverine Execution Services, LLC	18.77	20.38	19.78	18.65	18.69	79,077.22	39.3766	308,240.84	40.2885	781,781.72	42.5123	73,156.86	31.5568
Dash/IMC Financial Markets	18.54	21.29	19.99	18.19	19.23	123,005.80	39.7190	588,016.72	40.3541	1,068,286.54	43.4153	99,472.45	36.1153

### Material Aspects:

#### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes option order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.447 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4728 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5728 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Global Execution Brokers, LP:

Futu Clearing Inc. routes option order flow to Global Execution Brokers, LP, recognized under MPID: GEBB for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.447 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4728 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5728 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Jane Street Capital:

Futu Clearing Inc. routes option order flow to Jane Street Capital recognized under MPID: JNST for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.447 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4728 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5728 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Wolverine Execution Services, LLC:

Futu Clearing Inc. routes option order flow to Wolverine Execution Services recognized under MPID: WEXM or WEXX for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.447 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4728 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5728 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Dash/IMC Financial Markets:

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## February 2026

### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	5.26	12.63	77.39	4.72

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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CITADEL SECURITIES LLC	34.13	27.70	36.06	34.58	28.66	8,365.02	9.9151	24,579.97	9.1869	88,111.20	25.6470	9,735.13	17.9165
Jane Street Capital	28.93	29.15	28.00	28.19	43.18	8,783.82	9.9755	28,262.83	9.3957	154,315.76	29.2276	15,871.30	22.0855
Virtu Americas, LLC	15.75	0.61	5.85	17.89	24.00	526.59	10.0000	3,237.19	10.0000	102,108.79	32.9995	12,596.12	14.1221
Blue Ocean Technologies, LLC	9.60	0.00	19.13	9.28	0.00	0.00	0.0000	-15,185.72	-19.2756	10,344.37	6.2387	0.00	0.0000
Dash/IMC Financial Markets	5.83	0.65	4.20	6.61	3.17	-107.27	-1.2608	16.14	8.4760	0.00	0.0000	-178.42	-3.6419

### Material Aspects:

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## February 2026

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#### Summary

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100.00	4.84	16.44	75.14	3.58

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	35.62	30.66	36.65	36.07	28.13	50,734.12	5.9098	315,123.13	6.4769	628,680.61	17.9840	10,100.83	13.8142
Jane Street Capital	27.69	32.10	27.45	26.70	43.49	53,283.68	5.8623	331,412.59	7.0909	890,803.34	22.2378	16,451.61	20.2615
Virtu Americas, LLC	13.79	0.66	5.72	15.89	24.48	4,131.78	5.7885	56,150.12	7.8754	613,181.02	26.0497	37,075.21	4.8583
Blue Ocean Technologies, LLC	12.41	0.00	20.35	12.06	0.00	0.00	0.0000	-83,238.94	-7.4730	47,108.07	10.1827	0.00	0.0000
Dash/IMC Financial Markets	6.32	0.77	4.61	7.19	3.51	-5,727.23	-3.7243	-861.76	-2.4003	0.00	0.0000	-14,002.34	-2.8257

## Material Aspects:

### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes listed equity order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed.

Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market hours from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0006 per share executed as part of a cost+ model for orders executed outside regular market hours from 4:00 AM ET up to 7:00 AM ET.

Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 8:00 pm up to 4:00 AM ET (Sunday – ending 4:00 AM EST Friday), the rate received is \$0.0006 per share executed.

Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Jane Street Capital:

Futu Clearing Inc. routes listed equity order flow to Jane Street recognized under MPID: JNST for the order handling and execution of customer market and limit orders entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Virtu Americas, LLC:

Futu Clearing Inc. routes listed equity order flow to Virtu Americas LLC recognized under MPID: NITE for the order handling and execution of customer market and limit orders originated and entered between 7:00 AM ET up to 8:00 PM ET.

Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed.

Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Blue Ocean Technologies, LLC:

Futu Clearing Inc. routes listed equity order flow to Blue Ocean Technologies LLC recognized as Trade.Com Online Securities, Inc. or under MPID: BLUE for the order handling and execution of customer limit orders entered between 8:00 pm up to 4:00 AM ET (Sunday – Thursday). Futu Clearing Inc receives \$0.0006 per share executed for orders adding liquidity and pays \$0.002 per share executed for orders taking liquidity for securities priced equal to or above \$1.00. Futu Clearing Inc receives \$0.00 per share executed on orders adding liquidity and pays \$0.003 per share executed for orders taking liquidity on securities priced below \$1.00. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Dash/IMC Financial Markets:

Futu Clearing Inc. routes listed equity order flow to Dash Financial Technologies LLC recognized under MPID: DFIN or DFTR for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being per share executed as part of a cost+ model. Securities priced greater than or equal to \$1.00 Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model.

Securities priced less than \$1.00 and order size equal to or less than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model. Securities priced less than \$1.00 and order size is greater than 250,000,000 shares executed, Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0002 per share executed as part of a cost+ model. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0004 per share executed as part of a cost+ model on its algorithmic order types. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

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## February 2026

### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	2.49	6.96	74.82	15.73

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	22.78	19.34	20.45	23.19	22.42	113,107.08	44.5774	822,237.31	47.2125	1,272,182.12	45.4342	106,411.35	31.4540
Jane Street Capital	19.85	18.27	18.99	20.01	19.72	107,091.46	45.0409	645,817.56	46.8154	1,196,225.98	45.5899	98,536.50	32.3246
Global Execution Brokers, LP	19.79	20.68	20.53	19.67	19.86	123,448.79	44.4059	495,945.91	45.1647	1,319,584.80	45.9465	98,399.05	32.3416
Wolverine Execution Services, LLC	18.95	20.52	20.01	18.84	18.78	91,750.02	43.7178	347,334.20	45.1714	790,793.07	44.6684	57,444.14	25.9224
Dash/IMC Financial Markets	18.62	21.20	20.02	18.28	19.21	128,525.03	44.5207	694,366.32	46.4818	1,079,511.61	45.6968	78,988.92	30.0160

#### Material Aspects:

##### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes option order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4985 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4934 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5934 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Jane Street Capital:

Futu Clearing Inc. routes option order flow to Jane Street Capital recognized under MPID: JNST for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4985 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4934 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5934 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Global Execution Brokers, LP:

Futu Clearing Inc. routes option order flow to Global Execution Brokers, LP, recognized under MPID: GEBB for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4985 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4934 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5934 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Wolverine Execution Services, LLC:

Futu Clearing Inc. routes option order flow to Wolverine Execution Services recognized under MPID: WEXM or WEXX for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4985 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4934 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5934 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Dash/IMC Financial Markets:

Futu Clearing Inc. routes option order flow to Dash Financial Technologies LLC recognized under MPID: DFIN or DFTR for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4985 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4934 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5934 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

## March 2026

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	4.96	12.50	78.12	4.42

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	51.36	27.29	61.41	52.44	30.98	8,813.02	9.9057	27,042.33	9.1341	99,973.12	24.2179	11,202.26	18.0986
Jane Street Capital	26.17	28.52	24.86	25.33	42.01	9,395.72	9.9549	28,561.71	9.3720	164,074.60	29.4794	19,045.93	22.7377
Virtu Americas, LLC	14.56	0.59	5.22	16.41	23.93	399.35	10.0000	3,830.37	10.0000	112,234.61	32.9989	14,717.30	15.2566

#### Material Aspects:

##### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes listed equity order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed.

Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market hours from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc receives exchange rebates and pays exchange fees with the base commission being \$0.0006 per share executed as part of a cost+ model for orders executed outside regular market hours from 4:00 AM ET up to 7:00 AM ET.

Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 8:00 pm up to 4:00 AM ET (Sunday – ending 4:00 AM EST Friday), the rate received is \$0.0006 per share executed.

Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Jane Street Capital:

Futu Clearing Inc. routes listed equity order flow to Jane Street recognized under MPID: JNST for the order handling and execution of customer market and limit orders entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

##### Virtu Americas, LLC:

Futu Clearing Inc. routes listed equity order flow to Virtu Americas LLC recognized under MPID: NITE for the order handling and execution of customer market and limit orders originated and entered between 7:00 AM ET up to 8:00 PM ET.

Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed.

Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

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## March 2026

### Non-S&P 500 Stocks

## Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	4.25	17.23	75.25	3.27

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	53.38	30.10	63.52	53.38	30.06	55,334.80	6.4897	369,811.11	5.6518	840,271.82	15.0600	15,247.07	12.9111
Jane Street Capital	26.98	31.32	25.10	26.48	42.73	57,793.09	6.3815	376,974.53	6.4196	1,148,332.62	21.0569	21,596.91	19.2550
Virtu Americas, LLC	13.71	0.62	5.55	15.85	24.41	4,045.78	5.4964	63,743.24	6.9124	776,020.81	25.3501	44,921.95	4.4377

## Material Aspects:

### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes listed equity order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer market and limit orders entered between 4:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market hours from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. receives exchange rebates and pays exchange fees with the base commission being \$0.0006 per share executed as part of a cost+ model for orders executed outside regular market hours from 4:00 AM ET up to 7:00 AM ET. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market hours from 8:00 pm up to 4:00 AM ET (Sunday – ending 4:00 AM EST Friday), the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Jane Street Capital:

Futu Clearing Inc. routes listed equity order flow to Jane Street recognized under MPID: JNST for the order handling and execution of customer market and limit orders entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

### Virtu Americas, LLC:

Futu Clearing Inc. routes listed equity order flow to Virtu Americas LLC recognized under MPID: NITE for the order handling and execution of customer market and limit orders originated and entered between 7:00 AM ET up to 8:00 PM ET. Futu Clearing Inc. receives payment for order flow for orders executed during regular market hours from 9:30 AM ET up to 4:00 PM ET. The rate received for executed marketable orders is \$0.001 per share executed and the rate received for executed non-marketable orders is \$0.0033 per share executed. Futu Clearing Inc. receives payment for order flow for orders executed outside regular market orders from 7:00 AM ET up to 9:30 AM ET and orders executed outside regular market orders from 4:00 PM ET up to 8:00 PM ET, the rate received is \$0.0006 per share executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

## March 2026

## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	2.59	6.62	73.17	17.63

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	22.54	19.06	20.41	23.02	21.87	139,243.15	43.4012	833,670.73	45.5629	1,464,110.58	44.5925	120,432.40	24.5809
Global Execution Brokers, LP	20.01	21.01	20.68	19.91	19.99	148,293.83	42.6858	509,402.07	44.2229	1,488,124.74	44.7590	90,378.47	21.4498
Jane Street Capital	19.86	18.08	18.80	19.98	20.02	125,101.17	43.6143	615,559.72	45.2317	1,368,954.65	44.9301	99,536.93	23.3631
Wolverine Execution Services, LLC	18.91	20.72	20.00	18.79	18.73	102,038.49	41.3879	366,827.06	43.8653	898,804.80	43.8369	38,429.60	12.4183
Dash/IMC Financial Markets	18.68	21.13	20.10	18.30	19.38	155,135.57	43.4315	657,935.28	44.7990	1,236,326.87	44.8519	64,798.98	18.6414

### Material Aspects:

#### CITADEL SECURITIES LLC:

Futu Clearing Inc. routes option order flow to Citadel Securities recognized under MPID: CDRG for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4835 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4874 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5874 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Global Execution Brokers, LP:

Futu Clearing Inc. routes option order flow to Global Execution Brokers, LP, recognized under MPID: GEBB for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4835 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4874 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5874 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Jane Street Capital:

Futu Clearing Inc. routes option order flow to Jane Street Capital recognized under MPID: JNST for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4835 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4874 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5874 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Wolverine Execution Services, LLC:

Futu Clearing Inc. routes option order flow to Wolverine Execution Services recognized under MPID: WEXM or WEXX for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4835 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4874 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5874 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.

#### Dash/IMC Financial Markets:

Futu Clearing Inc. routes option order flow to Dash Financial Technologies LLC recognized under MPID: DFIN or DFTR for the order handling and execution of customer single leg option market and limit orders as well as complex option limit orders. Futu Clearing Inc. receives payment for order flow for single leg option marketable order flow at a rate of \$0.4835 per contract executed. Futu Clearing Inc. receives payment for order flow for single leg option non-marketable order flow at a rate of \$0.4874 per contract executed. Futu Clearing Inc. receives payment for order flow for complex option order flow at a rate of \$0.5874 per contract executed. Futu Clearing Inc. does not negotiate payment as a condition for sending more order flow to a venue. Venues must compete for order flow based on the execution quality they are providing our customer orders.