



BEST EXECUTION & SURVEILLANCE SOLUTIONS

Filters	Source	Frequency	Date	Report Type
nan	~ALL	Quarterly	2026-09	S&P500

July

MPID	Route Venue	Directed Orders (%)	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Marketable Limit Orders (%)	Other Orders (%)
TOT1	Firm Totals	0.00%	100.00%	39.02%	9.76%	5.85%	45.37%
	Fees/Rebate			\$0.0000/\$0.0000	\$-6.4220/\$-0.0016	\$-8.7245/\$-0.0014	\$-12.4035/\$-0.0014
DAIN	RBC Capital Markets Corporation	0.00%	61.46%	100.00%	0.00%	0.00%	49.46%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
INET	NASDAQ EXECUTION SERVICES, LLC	0.00%	29.27%	0.00%	100.00%	66.67%	34.41%
	Fees/Rebate			\$0.0000/\$0.0000	\$-6.4220/\$-0.0016	\$-8.1245/\$-0.0015	\$-12.0660/\$-0.0018
STGY	STGY	0.00%	4.88%	0.00%	0.00%	0.00%	10.75%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
QDRK	QDRK	0.00%	2.93%	0.00%	0.00%	16.67%	4.30%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$-0.6000/\$-0.0020	\$-0.3375/\$-0.0015
STN42	STN42	0.00%	1.46%	0.00%	0.00%	16.67%	1.08%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000

MPID	Route Venue	Directed Orders (#)	Non-Directed Orders (#)	Market Orders (#)	Limit Orders (#)	Marketable Limit Orders (#)	Other Orders (#)
TOT1	Firm Totals	0	205	80	20	12	93
DAIN	RBC Capital Markets Corporation	0	126	80	0	0	46
INET	NASDAQ EXECUTION SERVICES, LLC	0	60	0	20	8	32
STGY	STGY	0	10	0	0	0	10
QDRK	QDRK	0	6	0	0	2	4
STN42	STN42	0	3	0	0	2	1

August

MPID	Route Venue	Directed Orders (%)	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Marketable Limit Orders (%)	Other Orders (%)
TOT1	Firm Totals	0.00%	100.00%	43.29%	3.54%	3.29%	49.87%
	Fees/Rebate			\$0.0000/\$0.0000	\$-4.6825/\$-0.0016	\$-1.4250/\$-0.0004	\$-9.0730/\$-0.0011
DAIN	RBC Capital Markets Corporation	0.00%	77.22%	100.00%	0.00%	0.00%	68.02%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
INET	NASDAQ EXECUTION SERVICES, LLC	0.00%	13.67%	0.00%	85.71%	23.08%	19.80%
	Fees/Rebate			\$0.0000/\$0.0000	\$-4.3325/\$-0.0016	\$-0.8250/\$-0.0015	\$-6.2795/\$-0.0015
QDRK	QDRK	0.00%	5.06%	0.00%	14.29%	30.77%	7.11%
	Fees/Rebate			\$0.0000/\$0.0000	\$-0.3500/\$-0.0018	\$-0.6000/\$-0.0015	\$-2.1875/\$-0.0013
STN42	STN42	0.00%	2.28%	0.00%	0.00%	46.15%	1.52%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
STGY	STGY	0.00%	1.77%	0.00%	0.00%	0.00%	3.55%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$-0.6060/\$-0.0003

MPID	Route Venue	Directed Orders (#)	Non-Directed Orders (#)	Market Orders (#)	Limit Orders (#)	Marketable Limit Orders (#)	Other Orders (#)
TOT1	Firm Totals	0	395	171	14	13	197
DAIN	RBC Capital Markets Corporation	0	305	171	0	0	134
INET	NASDAQ EXECUTION SERVICES, LLC	0	54	0	12	3	39
QDRK	QDRK	0	20	0	2	4	14
STN42	STN42	0	9	0	0	6	3
STGY	STGY	0	7	0	0	0	7

September

MPID	Route Venue	Directed Orders (%)	Non-Directed Orders (%)	Market Orders (%)	Limit Orders (%)	Marketable Limit Orders (%)	Other Orders (%)
TOT1	Firm Totals	0.00%	100.00%	55.50%	1.61%	4.56%	38.34%
	Fees/Rebate			\$0.0000/\$0.0000	\$-14.6290/\$-0.0015	\$-39.0390/\$-0.0014	\$-3.1400/\$-0.0015
DAIN	RBC Capital Markets Corporation	0.00%	86.33%	100.00%	0.00%	0.00%	80.42%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
INET	NASDAQ EXECUTION SERVICES, LLC	0.00%	11.26%	0.00%	50.00%	88.24%	16.78%
	Fees/Rebate			\$0.0000/\$0.0000	\$-10.0000/\$-0.0018	\$-39.0390/\$-0.0015	\$-3.1250/\$-0.0018
QDRK	QDRK	0.00%	1.07%	0.00%	50.00%	0.00%	0.70%
	Fees/Rebate			\$0.0000/\$0.0000	\$-4.6290/\$-0.0010	\$0.0000/\$0.0000	\$-0.0150/\$-0.0015
STN42	STN42	0.00%	0.80%	0.00%	0.00%	11.76%	0.70%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000
STGY	STGY	0.00%	0.54%	0.00%	0.00%	0.00%	1.40%
	Fees/Rebate			\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000	\$0.0000/\$0.0000

MPID	Route Venue	Directed Orders (#)	Non-Directed Orders (#)	Market Orders (#)	Limit Orders (#)	Marketable Limit Orders (#)	Other Orders (#)
TOT1	Firm Totals	0	373	207	6	17	143
DAIN	RBC Capital Markets Corporation	0	322	207	0	0	115
INET	NASDAQ EXECUTION SERVICES, LLC	0	42	0	3	15	24
QDRK	QDRK	0	4	0	3	0	1
STN42	STN42	0	3	0	0	2	1
STGY	STGY	0	2	0	0	0	2