

Goldman Sachs & Co. LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.02	2.30	27.85	67.48	2.35

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	42.93	52.81	3.56	59.67	19.40	-16.76	-2.5500	-379.13	-24.2700	764.00	13.5700	-24.41	-4.7000
The Nasdaq Stock Market LLC. (XNAS)	23.99	47.18	11.14	28.01	38.01	-41.59	-2.6600	-1,212.41	-24.7600	4,094.97	33.0500	-24.51	-1.2300
GSCO (GSCO)	18.50	0.00	65.96	0.00	5.10	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	8.08	0.00	0.48	11.75	0.69	0.00	0.0000	-63.40	-29.9900	-0.71	-30.0000	-3.78	-30.0000
CBOE BZX Exchange (BATS)	2.59	0.00	6.76	0.30	21.56	0.00	0.0000	-558.35	-30.0000	-5.86	-30.0000	-35.43	-30.0000
NYSE Arca, Inc. (ARCX)	1.10	0.00	3.45	0.07	4.12	0.00	0.0000	-591.91	-30.0000	-7.22	-30.0000	-28.94	-29.9900
CBOE EDGX Exchange (EDGX)	0.62	0.00	1.95	0.01	3.01	0.00	0.0000	-409.54	-30.0000	-2.83	-29.9900	-17.72	-30.0000
Investors Exchange LLC. (IEXG)	0.48	0.00	1.57	0.03	0.91	0.00	0.0000	-124.87	-12.0200	-1.06	-7.3100	-3.42	-10.8900
CBOE BYX Exchange (BATY)	0.36	0.00	1.03	0.01	2.62	0.00	0.0000	5.28	1.8500	0.05	2.0000	0.28	1.7800
UBSA (UBSA)	0.31	0.00	1.01	0.02	0.90	0.00	0.0000	-100.22	-10.0000	-2.49	-10.0000	-6.69	-10.0000

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm’s account, GSCO may realize a profit or incur a loss.

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

UBSA (UBSA):
There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.79	33.35	62.55	2.28

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
NYSE Arca, Inc. (ARCX)	38.13	31.18	5.90	56.01	24.30	-157.96	-15.0000	-2,263.72	-28.1900	344.73	21.2100	-315.48	-13.0000
The Nasdaq Stock Market LLC. (XNAS)	16.14	33.33	15.90	15.30	29.00	-77.31	-3.2100	-4,165.31	-25.8900	4,377.76	27.8400	-216.58	-8.7800
New York Stock Exchange LLC. (XNYS)	14.81	28.49	2.96	20.60	18.67	-28.05	-2.5500	-1,657.81	-26.7500	3,128.29	14.2000	-43.40	-2.1300
GSCO (GSCO)	11.33	0.00	33.69	0.00	4.04	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	9.76	6.98	25.05	1.56	13.05	-33.09	-13.0000	-4,595.09	-29.9400	116.51	16.5300	-188.91	-28.2700
NASDAQ OMX PSX (XPSX)	4.06	0.00	0.42	6.26	0.26	0.00	0.0000	-196.41	-29.9700	-2.69	-29.9900	-7.84	-29.9900
CBOE BYX Exchange (BATY)	1.94	0.00	5.59	0.01	3.03	0.00	0.0000	28.35	1.3300	0.08	1.9100	1.95	1.4000
CBOE EDGX Exchange (EDGX)	1.01	0.00	2.83	0.02	2.04	0.00	0.0000	-1,413.58	-29.9500	-7.14	-30.0000	-50.70	-30.0000
CBOE EDGA Exchange (EDGA)	0.66	0.00	1.83	0.02	1.77	0.00	0.0000	-817.50	-29.6600	-3.39	-30.0000	-40.11	-30.0000
Members Exchange (MEMX)	0.63	0.00	1.81	0.02	0.74	0.00	0.0000	-1,097.24	-29.0500	-3.32	-29.5000	-35.96	-29.8800

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm’s account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange’s public website:
<https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information refecting MEMX’s tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202604.xlsx

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
2.54	0.00	3.56	92.66	3.76

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Members Exchange (MXOP)	56.01	0.00	6.95	60.16	0.65	0.00	0.0000	-24,594.20	-12.3700	182,848.41	51.1800	2,683.02	26.0200
NYSE Arca, Inc. (ARCO)	28.56	11.85	3.21	30.53	4.04	-344.66	-3.0500	-29,569.34	-34.3300	247,607.10	39.1700	-7,018.30	-5.3500
CBOE Options Exchange (XCBO)	4.55	10.97	47.12	1.54	38.14	523.43	6.7300	-77,569.52	-42.4000	-13,528.24	-15.0900	-29,648.35	-23.0800
CBOE C2 Options Exchange, Inc. (C2OX)	2.56	0.00	0.56	2.74	0.02	0.00	0.0000	-42,581.81	-46.4500	55,014.68	31.5400	-156.44	-10.8700
MIAX PEARL LLC (MPRL)	2.36	0.00	1.22	2.50	0.02	0.00	0.0000	-30,268.88	-47.2000	17,819.16	16.5200	-254.23	-15.3000
BOX Options Exchange (XBOX)	0.87	23.74	2.96	0.13	17.07	4,297.40	47.4000	-3,794.48	-3.3200	305.25	1.2000	42,800.07	51.7600
NASDAQ Options Market LLC (XNDQ)	0.83	0.00	12.02	0.43	0.02	0.00	0.0000	-86,962.57	-50.3400	-9,700.20	-18.3800	-1,597.67	-43.0200
CBOE BZX Options Exchange (BATO)	0.78	0.00	3.07	0.72	0.08	0.00	0.0000	-30,062.12	-47.4900	11,093.30	14.7600	605.06	10.9500
CBOE EDGO Exchange (EDGO)	0.55	9.87	1.00	0.06	12.11	2,041.40	48.9600	177.97	0.3200	1,482.77	8.0900	12,162.75	39.9800
Nasdaq ISE, LLC. (XISX)	0.45	14.61	1.75	0.12	7.33	552.14	3.7900	-42,706.53	-43.0400	1,618.52	4.1600	11,220.72	15.7700

Material Aspects:

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. We also participate in a MXOP program whereby the affiliate can earn warrants, which provide the right, but not the obligation, to purchase additional equity in MXOP contingent upon GS&Co. achieving certain monthly executed contract thresholds through the end of April 2026.

MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

<https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:

https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRLs tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

NASDAQ Options Market LLC (XNDQ):

NDOE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-options-7>

Information reflecting the NDOE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202604.xlsx

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.02	2.71	28.81	67.23	1.23

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	41.58	54.65	4.20	57.02	44.43	-32.95	-2.6600	-442.33	-24.4800	1,038.88	13.9300	-31.10	-5.5500
The Nasdaq Stock Market LLC. (XNAS)	26.00	45.34	13.54	30.24	43.28	-20.04	-2.4200	-1,318.47	-24.1200	6,534.84	30.9200	1,481.74	28.4900
GSCO (GSCO)	18.17	0.00	62.93	0.01	2.80	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NASDAQ OMX PSX (XPSX)	8.27	0.00	0.53	12.07	0.64	0.00	0.0000	-68.84	-30.0000	-3.83	-30.0000	-1.05	-30.0000
CBOE BZX Exchange (BATS)	2.03	0.00	6.40	0.26	0.98	0.00	0.0000	-539.24	-30.0000	-16.39	-30.0000	-7.07	-30.0000
NYSE Arca, Inc. (ARCX)	1.05	0.00	3.45	0.05	1.48	0.00	0.0000	-532.73	-29.9900	-14.46	-30.0000	-8.76	-29.6000
CBOE EDGX Exchange (EDGX)	0.64	0.00	2.05	0.06	0.97	0.00	0.0000	-388.64	-29.9900	-9.26	-30.0000	-5.37	-29.9900
Investors Exchange LLC. (IEXG)	0.50	0.00	1.57	0.04	1.27	0.00	0.0000	-183.46	-14.9600	-3.58	-7.0800	-0.97	-7.6900
UBSA (UBSA)	0.32	0.00	0.97	0.04	0.87	0.00	0.0000	-104.02	-10.0000	-5.14	-10.0000	-1.80	-10.0000
Members Exchange (MEMX)	0.32	0.00	1.03	0.02	0.57	0.00	0.0000	-214.28	-30.0000	-3.93	-30.0000	-2.48	-29.9900

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

GSCO (GSCO):
Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

UBSA (UBSA):

There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

Members Exchange (MEMX):

An investment entity affiliate of GSCO has a minority, non-controlling ownership interest in MEMX. MEMX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://info.memxtrading.com/equities-trading-resources/us-equities-fee-schedule/>

Information refecting MEMX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.01	2.60	30.95	63.60	2.83

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	37.50	29.90	6.12	53.55	27.27	-244.95	-14.9900	-2,413.79	-28.8200	294.41	18.0100	-95.62	-10.2100
The Nasdaq Stock Market LLC. (XNAS)	16.79	33.69	14.05	16.92	28.53	-46.28	-2.5600	-4,732.63	-28.9100	5,317.56	30.2400	-84.80	-4.3300
New York Stock Exchange LLC. (XNYS)	16.05	29.90	3.16	21.12	30.12	-47.70	-2.6600	-1,702.43	-22.6000	3,115.67	14.3400	-53.00	-4.0700

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GSCO (GSCO)	12.89	0.00	40.94	0.00	7.60	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CBOE BZX Exchange (BATS)	7.47	5.94	19.94	1.63	3.77	-56.10	-13.0000	-4,022.13	-29.9700	379.82	18.2200	-51.75	-17.9300
NASDAQ OMX PSX (XPSX)	4.26	0.00	0.47	6.46	0.17	0.00	0.0000	-164.63	-29.9900	-5.06	-29.9900	-2.26	-30.0000
CBOE BYX Exchange (BATY)	1.34	0.00	4.27	0.01	0.21	0.00	0.0000	32.57	1.3700	0.30	1.3900	0.21	1.8300
CBOE EDGX Exchange (EDGX)	0.91	0.00	2.84	0.03	0.48	0.00	0.0000	-1,519.76	-29.8900	-13.77	-29.9900	-10.34	-30.0000
CBOE EDGA Exchange (EDGA)	0.59	0.00	1.83	0.02	0.25	0.00	0.0000	-701.18	-29.5200	-5.03	-30.0000	-8.99	-30.0000
Investors Exchange LLC. (IEXG)	0.55	0.00	1.68	0.03	0.35	0.00	0.0000	-516.81	-14.2600	-3.00	-7.4200	-3.44	-10.9700

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

New York Stock Exchange LLC. (XNYS):

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https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

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Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202605.xlsx

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1.02	0.01	3.80	89.20	6.98

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCO)	48.24	13.53	3.49	53.67	3.36	-1,994.01	-8.2700	-22,202.56	-31.1300	278,604.17	39.6300	336.60	0.3400
Members Exchange (MXOP)	32.92	0.00	4.00	36.70	0.51	0.00	0.0000	-6,767.44	-3.5300	126,964.60	47.2000	5,085.49	31.6400
CBOE Options Exchange (XCBO)	4.80	10.51	62.51	1.23	18.84	-228.02	-2.0500	-112,432.97	-52.6100	-9,010.47	-11.3400	-25,133.18	-25.5500
CBOE C2 Options Exchange, Inc. (C2OX)	2.69	0.00	0.58	2.99	0.02	0.00	0.0000	-40,781.20	-48.0400	61,823.07	31.4300	-502.76	-21.2200
MIAX PEARL	2.30	0.00	0.77	2.54	0.00	0.00	0.0000	-27,422.51	-48.5700	15,910.60	13.3400	-716.14	-27.7400

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
LLC (MPRL)													
BOX Options Exchange (XBOX)	1.97	23.87	1.85	0.18	24.80	7,341.29	44.2200	-6,469.81	-7.2900	1,870.05	6.2500	33,649.93	47.1700
Nasdaq ISE, LLC. (XISX)	1.56	13.26	1.44	0.12	20.04	1,864.75	9.4000	-20,722.12	-43.2400	1,910.05	5.3700	8,092.99	12.0500
MIAX Securities Exchange, LLC (XMIO)	0.86	8.65	1.37	0.06	10.74	4,976.54	43.6900	-9,172.07	-29.5800	-257.76	-1.5600	15,207.53	39.7300
CBOE EDGO Exchange (EDGO)	0.75	9.92	0.56	0.12	8.87	4,755.27	40.0800	-2,840.45	-6.1100	3,789.86	16.6200	9,064.09	39.3500
CBOE BZX Options Exchange (BATO)	0.72	0.00	3.04	0.68	0.05	0.00	0.0000	-31,630.71	-48.1700	8,754.31	11.1400	3,104.00	47.1600

Material Aspects:

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

MIAX Securities Exchange, LLC (XMIO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

MIAX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://www.miaxglobal.com/markets/us-equities/pearl-equities/fees>

Information reflecting the MIAX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202605.xlsx

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	2.48	38.57	56.72	2.21

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
New York Stock Exchange LLC. (XNYS)	32.93	41.07	3.40	51.62	59.26	-8.77	-1.9500	-623.39	-26.6700	524.92	11.4800	-8.90	-13.0200
GSCO (GSCO)	26.81	0.00	69.28	0.01	3.84	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market LLC. (XNAS)	26.05	58.92	10.68	34.92	29.60	-22.37	-1.8500	-1,039.58	-26.3300	3,326.56	31.6000	-15.11	-2.4700

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NASDAQ OMX PSX (XPSX)	7.56	0.00	0.38	13.03	0.90	0.00	0.0000	-51.85	-30.0000	-0.30	-30.0000	-0.95	-30.0000
CBOE BZX Exchange (BATS)	2.31	0.00	5.89	0.04	0.93	0.00	0.0000	-485.10	-30.0000	-4.76	-30.0000	-6.89	-30.0000
NYSE Arca, Inc. (ARCX)	1.34	0.00	3.28	0.09	1.27	0.00	0.0000	-528.34	-29.9900	-4.12	-30.0000	-9.40	-30.0000
CBOE EDGX Exchange (EDGX)	0.66	0.00	1.61	0.04	0.92	0.00	0.0000	-343.50	-29.9900	-2.89	-30.0000	-5.56	-30.0000
Investors Exchange LLC. (IEXG)	0.55	0.00	1.32	0.04	0.78	0.00	0.0000	-141.55	-14.9100	-0.80	-6.2400	-3.89	-15.8300
CBOE BYX Exchange (BATY)	0.34	0.00	0.84	0.01	0.48	0.00	0.0000	5.80	1.8600	0.11	2.0000	0.12	1.8700
UBSA (UBSA)	0.32	0.00	0.76	0.02	0.56	0.00	0.0000	-130.18	-10.0000	-1.40	-10.0000	-1.09	-10.0000

Material Aspects:

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

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https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

UBSA (UBSA):
There are no facts or arrangements requiring disclosure under SEC Rule 606(a)(1)(iv).

June 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.01	1.92	33.98	60.47	3.61

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc. (ARCX)	30.98	17.13	6.57	46.41	9.66	-163.09	-14.9900	-2,354.74	-29.1100	602.47	19.6700	-71.81	-13.3600
The Nasdaq Stock Market LLC. (XNAS)	24.55	55.97	16.63	25.56	65.40	-175.05	-5.5400	-4,850.97	-27.5100	7,736.37	27.8200	-1,249.65	-9.6400
GSCO (GSCO)	13.71	0.00	39.83	0.00	4.91	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
New York Stock Exchange LLC. (XNYS)	12.38	22.33	2.79	17.25	15.76	-24.46	-1.9500	-1,528.81	-22.8900	840.27	10.4200	-12.88	-4.6000
CBOE BZX Exchange (BATS)	7.29	4.12	17.91	1.70	2.77	-40.09	-13.0000	-3,907.42	-29.4700	319.74	16.8100	-46.68	-16.7400
NASDAQ OMX PSX (XPSX)	5.38	0.00	0.48	8.63	0.05	0.00	0.0000	-149.90	-29.8700	-2.40	-29.9900	-0.77	-29.9900
CBOE BYX	1.62	0.00	4.72	0.02	0.07	0.00	0.0000	26.49	1.4100	0.36	1.6800	0.07	1.9900

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Exchange (BATY)													
CBOE EDGX Exchange (EDGX)	1.06	0.00	3.02	0.04	0.33	0.00	0.0000	-1,417.72	-29.8800	-14.56	-30.0000	-15.52	-30.0000
Investors Exchange LLC. (IEXG)	0.63	0.00	1.76	0.04	0.14	0.00	0.0000	-422.29	-13.3200	-3.96	-8.8600	-4.20	-14.8800
CBOE EDGA Exchange (EDGA)	0.61	0.00	1.75	0.02	0.11	0.00	0.0000	-613.69	-29.8300	-4.13	-30.0000	-6.28	-30.0000

Material Aspects:

NYSE Arca, Inc. (ARCX):

ARCA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Marketplace_Fees.pdf

Information reflecting ARCA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

The Nasdaq Stock Market LLC. (XNAS):

The Firm is a Market Maker on XNAS in equities. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

XNAS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/nasdaq-equity-7#section_10_membership_fees

Information reflecting XNAS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

GSCO (GSCO):
 Goldman Sachs & Co. LLC (GSCO) When trading as principal for the Firm's account, GSCO may realize a profit or incur a loss.

New York Stock Exchange LLC. (XNYS):

The Firm is a Supplemental Liquidity Market Maker in equities on the NYSE. Accordingly, the Firm may share directly in any profits generated from the execution of client orders by the Firm on the exchange, including those that are routed to the exchange.

NYSE has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.nyse.com/publicdocs/nyse/markets/nyse/NYSE_Price_List.pdf

Information reflecting the NYSE's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE BZX Exchange (BATS):

BATS has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/bzx/

Information reflecting the BATS's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

NASDAQ OMX PSX (XPSX):

PSX has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting PSX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE BYX Exchange (BATY):

BATY has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/byx/

Information reflecting the BATY's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE EDGX Exchange (EDGX):

EDGX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edgx/

Information reflecting the EDGX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

Investors Exchange LLC. (IEXG):

IEXG has a tiered pricing/payment schedule. For detailed information, please see the exchanges public website:
<https://www.iexexchange.io/resources/trading/fee-schedule#transaction-fees>

Information reflecting IEXG's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

CBOE EDGA Exchange (EDGA):

EDGA has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://markets.cboe.com/us/equities/membership/fee_schedule/edga/

Information reflecting the EDGA's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_equities_rate_card_202606.xlsx

June 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.79	0.00	2.73	88.25	9.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cent's per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cent's per hundred shares)
NYSE Arca, Inc . (ARCO)	66.11	13.08	3.56	74.38	4.09	-2,059.44	-17.7700	-24,980.91	-35.5900	290,588.02	39.8700	-9,100.64	-7.4600
Members Exchange (MXOP)	14.03	0.00	2.98	15.76	0.42	0.00	0.0000	-5,068.45	-3.1600	133,564.60	55.6300	4,931.24	36.4900
CBOE Options Exchange (XCBO)	4.88	18.64	68.44	2.06	13.26	-1,046.76	-10.1600	-86,868.49	-48.9200	-16,506.69	-15.8500	-43,772.48	-31.7000
BOX Options Exchange (XBOX)	2.98	22.33	1.32	0.25	30.23	2,965.30	44.5900	-6,345.01	-8.7800	1,440.72	3.9700	37,611.49	47.8800
CBOE EDGO Exchange (EDGO)	1.79	8.43	0.41	0.39	15.94	684.58	35.4700	-8,723.19	-19.3300	2,149.37	7.7200	9,546.87	37.5800
CBOE C2 Options Exchange, Inc. (C2OX)	1.73	0.00	0.63	1.94	0.01	0.00	0.0000	-33,700.72	-47.2200	49,704.74	28.4200	17.72	1.2400
MIAX PEARL LLC (MPRL)	1.55	0.00	0.55	1.74	0.00	0.00	0.0000	-21,375.92	-48.6300	11,986.25	11.1300	-487.65	-20.7100
Nasdaq ISE,	1.44	13.27	1.77	0.13	14.20	1,062.20	6.8100	-22,645.06	-46.5700	-1,797.16	-4.0300	10,384.91	15.1200

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
LLC. (XISX)													
Nasdaq PHLX, LLC (XPHO)	1.29	8.11	0.55	0.34	10.79	524.81	7.3400	-7,457.12	-29.2900	181.20	0.9800	14,425.44	17.6900
CBOE BZX Options Exchange (BATO)	1.08	0.00	2.74	1.14	0.03	0.00	0.0000	-27,874.30	-49.6800	-157.49	-0.2000	1,297.99	26.4500

Material Aspects:

NYSE Arca, Inc. (ARCO):

ARCO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Information reflecting the ARCO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

Members Exchange (MXOP):

An investment entity affiliate of GS&Co. has a minority, non-controlling ownership interest in MXOP. MXOP has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: <https://info.memxtrading.com/us-options-trading-resources/us-options-fee-schedule/>

Information reflecting the MXOP's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

CBOE Options Exchange (XCBO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

XCBO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/options/membership/fee_schedule/xcbo/

Information reflecting the XCBO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

BOX Options Exchange (XBOX):

XBOX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: <https://boxoptions.com/regulatory/fee-schedule/>

Information reflecting the XBOX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

CBOE EDGO Exchange (EDGO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

EDGO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://markets.cboe.com/us/options/membership/fee_schedule/edgo/

Information reflecting the EDGO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

CBOE C2 Options Exchange, Inc. (C2OX):

C2OX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

Information reflecting the C2OX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

MIAX PEARL LLC (MPRL):

MPRL has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website: <https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

Information reflecting the MPRL's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

Nasdaq ISE, LLC. (XISX):

XISX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Information reflecting the XISX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

Nasdaq PHLX, LLC (XPHO):

Pursuant to an exchange-sponsored marketing fee program, registered market-makers may receive payments from the exchange as a result of their designation as a "preferenced" market-maker by an exchange member. With respect to such exchange program, the Firm may receive payments from "preferenced" registered market makers for options orders the Firm sends to the exchange.

PHLX has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
<https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-equity-7>

Information reflecting the PHLX's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx

CBOE BZX Options Exchange (BATO):

BATO has a tiered pricing/payment schedule. For detailed information, please see the exchange's public website:
https://www.cboe.com/us/options/membership/fee_schedule/bzx/

Information reflecting the BATO's tiered pricing/payment schedule, as applicable to GSCO, can be found at : https://www.goldmansachs.com/compliance-rule606/docs/us_options_rate_card_202606.xlsx