

# ANDPARTNERS - Held NMS Stocks and Options Order Routing Public Report

Generated on Tue Apr 14 2026 13:42:18 GMT-0500 (Central Daylight Time)

1st Quarter, 2026

January 2026

## S&P 500 Stocks

### Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 99.27                                        | 0.05                                                       | 0.66                                                           | 0.03                                        |

### Venues

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC<br>(ETMM) | 21.14                          | 21.98                   | 12.24                             | 2.02                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| VIRTU<br>Americas, LLC<br>(NITE)        | 17.87                          | 18.55                   | 16.33                             | 1.96                                      | 45.00                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Citadel<br>Securities LLC<br>(CDRG)     | 17.17                          | 17.74                   | 10.20                             | 3.98                                      | 45.00                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)   | 15.24                          | 15.83                   | 6.12                              | 1.89                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| HRT<br>FINANCIAL LP<br>(HRTF)           | 15.01                          | 15.59                   | 10.20                             | 1.76                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| UBS<br>Securities, LLC<br>(UBSS)        | 3.25                           | 3.39                    | 0.00                              | 0.23                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Two Sigma<br>Securities, LLC<br>(SOHO)  | 3.20                           | 3.33                    | 2.04                              | 0.16                                      | 10.00                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Goldman,<br>Sachs & Co.<br>LLC (GSCO)   | 2.85                           | 2.95                    | 4.08                              | 0.39                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| New York<br>Stock<br>Exchange<br>(NYSE) | 2.42                           | 0.00                    | 12.24                             | 57.97                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| NASDAQ Stock Market (NSDQ)      | 1.17                    | 0.00              | 18.37                       | 27.96                           | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |

Material Aspects:

G1 Execution Services, LLC (ETMM):  
[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):  
[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

January 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 98.99                                     | 0.26                                                | 0.68                                                    | 0.07                                     |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC<br>(ETMM) | 21.41                          | 22.07                   | 15.76                             | 2.54                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| VIRTU<br>Americas, LLC<br>(NITE)        | 17.92                          | 18.41                   | 16.26                             | 2.78                                      | 39.13                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Citadel<br>Securities LLC<br>(CDRG)     | 17.20                          | 17.59                   | 18.23                             | 4.35                                      | 60.87                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)   | 15.52                          | 16.02                   | 10.34                             | 1.66                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| HRT<br>FINANCIAL LP<br>(HRTF)           | 14.89                          | 15.35                   | 12.81                             | 1.61                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| UBS<br>Securities, LLC<br>(UBSS)        | 3.26                           | 3.37                    | 0.99                              | 0.39                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Two Sigma<br>Securities, LLC<br>(SOHO)  | 3.06                           | 3.16                    | 2.46                              | 0.15                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Goldman,<br>Sachs & Co.<br>LLC (GSCO)   | 2.81                           | 2.90                    | 1.97                              | 0.34                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| New York<br>Stock<br>Exchange<br>(NYSE) | 1.51                           | 0.00                    | 0.00                              | 46.85                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| NASDAQ<br>Stock Market<br>(NSDQ)        | 0.98                           | 0.00                    | 1.48                              | 30.14                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

#### Material Aspects:

G1 Execution Services, LLC (ETMM):  
[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):

[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

January 2026

Options

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 43.69                                        | 14.16                                                      | 35.48                                                          | 6.67                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow            | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|--------------------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| Citadel Securities<br>LLC (CDRG)                 | 33.86                          | 35.79                   | 34.36                             | 34.14                                     | 18.03                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)            | 20.78                          | 24.91                   | 25.43                             | 16.03                                     | 16.39                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Dash Financial<br>Technologies<br>LLC (DASH)     | 15.92                          | 13.64                   | 8.93                              | 18.45                                     | 29.51                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| GLOBAL<br>EXECUTION<br>BROKERS, LP<br>(GEBB)     | 13.95                          | 21.40                   | 20.96                             | 5.42                                      | 9.02                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Wolverine<br>Execution<br>Services LLC<br>(WEXX) | 12.89                          | 4.01                    | 3.78                              | 22.26                                     | 26.23                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Interactive<br>Brokers LLC<br>(IBKR)             | 2.60                           | 0.25                    | 6.53                              | 3.69                                      | 0.82                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

Material Aspects:

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

Dash Financial Technologies LLC (DASH):  
[No material aspects to disclose.]

GLOBAL EXECUTION BROKERS, LP (GEBB):  
[No material aspects to disclose.]

Wolverine Execution Services LLC (WEXX):  
[No material aspects to disclose.]

Interactive Brokers LLC (IBKR):  
[No material aspects to disclose.]

February 2026

S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 99.23                                        | 0.06                                                       | 0.64                                                           | 0.07                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC<br>(ETMM) | 21.94                          | 22.81                   | 19.61                             | 1.79                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Citadel<br>Securities LLC<br>(CDRG)     | 18.37                          | 18.96                   | 17.65                             | 3.89                                      | 40.82                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| VIRTU<br>Americas, LLC<br>(NITE)        | 17.96                          | 18.61                   | 13.73                             | 2.11                                      | 51.02                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)   | 16.85                          | 17.51                   | 13.73                             | 1.64                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| HRT<br>FINANCIAL LP<br>(HRTF)           | 12.43                          | 12.91                   | 5.88                              | 1.25                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Goldman,<br>Sachs & Co.<br>LLC (GSCO)   | 3.31                           | 3.44                    | 1.96                              | 0.43                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| UBS<br>Securities, LLC<br>(UBSS)        | 2.85                           | 2.96                    | 1.96                              | 0.25                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Two Sigma<br>Securities, LLC<br>(SOHO)  | 2.19                           | 2.27                    | 0.00                              | 0.07                                      | 8.16                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| New York<br>Stock<br>Exchange<br>(NYSE) | 1.79                           | 0.00                    | 1.96                              | 44.54                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| NASDAQ<br>Stock Market<br>(NSDQ)        | 1.72                           | 0.00                    | 5.88                              | 42.57                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

Material Aspects:

G1 Execution Services, LLC (ETMM):  
[No material aspects to disclose.]

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):  
[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

February 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 98.88                                        | 0.25                                                       | 0.82                                                           | 0.05                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| G1 Execution<br>Services, LLC<br>(ETMM) | 21.50                          | 22.56                   | 16.02                             | 1.88                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Citadel<br>Securities LLC<br>(CDRG)     | 18.38                          | 19.11                   | 16.45                             | 4.10                                      | 53.33                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

| Venue - Non-directed Order Flow  | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|----------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| VIRTU Americas, LLC (NITE)       | 17.51                   | 18.36             | 11.26                       | 1.60                            | 46.67            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Jane Street Capital, LLC (JNST)  | 16.60                   | 17.42             | 11.69                       | 1.50                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| HRT FINANCIAL LP (HRTF)          | 12.26                   | 12.90             | 4.76                        | 0.75                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Goldman, Sachs & Co. LLC (GSCO)  | 3.34                    | 3.50              | 2.60                        | 0.38                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| UBS Securities, LLC (UBSS)       | 2.71                    | 2.84              | 1.30                        | 0.41                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Two Sigma Securities, LLC (SOHO) | 2.16                    | 2.27              | 0.87                        | 0.22                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| New York Stock Exchange (NYSE)   | 2.10                    | 0.00              | 2.16                        | 42.29                           | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| NASDAQ Stock Market (NSDQ)       | 1.52                    | 0.00              | 0.43                        | 30.65                           | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |

Material Aspects:

G1 Execution Services, LLC (ETMM):  
[No material aspects to disclose.]

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):  
[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

-----

February 2026

Options

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 49.97                                        | 11.34                                                      | 32.10                                                          | 6.60                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow            | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|--------------------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| Citadel Securities<br>LLC (CDRG)                 | 32.88                          | 36.86                   | 31.15                             | 30.05                                     | 24.79                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)            | 20.56                          | 23.38                   | 21.31                             | 18.45                                     | 12.40                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| GLOBAL<br>EXECUTION<br>BROKERS, LP<br>(GEBB)     | 15.81                          | 24.21                   | 16.94                             | 6.68                                      | 6.61                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Dash Financial<br>Technologies<br>LLC (DASH)     | 14.25                          | 11.14                   | 13.11                             | 16.52                                     | 23.97                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Wolverine<br>Execution<br>Services LLC<br>(WEXX) | 13.19                          | 4.40                    | 4.37                              | 23.20                                     | 32.23                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Interactive<br>Brokers LLC<br>(IBKR)             | 3.31                           | 0.00                    | 13.11                             | 5.10                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

Material Aspects:

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

GLOBAL EXECUTION BROKERS, LP (GEBB):  
[No material aspects to disclose.]

Dash Financial Technologies LLC (DASH):  
[No material aspects to disclose.]

Wolverine Execution Services LLC (WEXX):  
[No material aspects to disclose.]

Interactive Brokers LLC (IBKR):  
[No material aspects to disclose.]



March 2026

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 98.52                                     | 0.05                                                | 1.39                                                    | 0.04                                     |

Venues

| Venue - Non-directed Order Flow   | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Citadel Securities LLC (CDRG)     | 19.27                   | 21.18             | 14.55                       | 2.29                            | 61.54            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| G1 Execution Services, LLC (ETMM) | 19.11                   | 21.08             | 10.91                       | 1.88                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| VIRTU Americas, LLC (NITE)        | 18.67                   | 20.59             | 3.64                        | 1.81                            | 38.46            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Jane Street Capital, LLC (JNST)   | 18.11                   | 19.96             | 18.18                       | 1.89                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| HRT FINANCIAL LP (HRTF)           | 8.02                    | 8.86              | 5.45                        | 0.69                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| New York Stock Exchange (NYSE)    | 6.23                    | 0.00              | 29.09                       | 60.87                           | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Goldman, Sachs & Co. LLC (GSCO)   | 3.19                    | 3.54              | 1.82                        | 0.19                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| NASDAQ Stock Market (NSDQ)        | 2.96                    | 0.00              | 5.45                        | 28.98                           | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| UBS Securities, LLC (UBSS)        | 2.00                    | 2.20              | 1.82                        | 0.20                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Two Sigma Securities, LLC (SOHO)  | 1.51                    | 1.67              | 1.82                        | 0.15                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |

Material Aspects:

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

G1 Execution Services, LLC (ETMM):

[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

-----

March 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 98.19                                        | 0.29                                                       | 1.48                                                           | 0.04                                        |

Venues

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| Citadel<br>Securities LLC<br>(CDRG)     | 19.45                          | 20.76                   | 13.04                             | 4.06                                      | 62.07                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| VIRTU<br>Americas, LLC<br>(NITE)        | 19.45                          | 20.92                   | 19.13                             | 1.99                                      | 37.93                  | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| G1 Execution<br>Services, LLC<br>(ETMM) | 19.29                          | 20.75                   | 18.70                             | 2.21                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Jane Street<br>Capital, LLC<br>(JNST)   | 18.26                          | 19.68                   | 14.78                             | 1.79                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

| Venue -<br>Non-directed<br>Order Flow   | Non-<br>Directed<br>Orders (%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received for<br>Market<br>Orders(USD) | Net Payment Paid/<br>Received for Market<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received for<br>Other<br>Orders(USD) | Net Payment Paid/<br>Received for Other<br>Orders(cents per<br>hundred shares) |
|-----------------------------------------|--------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------|
| HRT<br>FINANCIAL LP<br>(HRTF)           | 8.49                           | 9.13                    | 6.52                              | 1.01                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| New York<br>Stock<br>Exchange<br>(NYSE) | 3.64                           | 0.00                    | 3.48                              | 46.60                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Goldman,<br>Sachs & Co.<br>LLC (GSCO)   | 3.18                           | 3.42                    | 2.61                              | 0.41                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| NASDAQ<br>Stock Market<br>(NSDQ)        | 2.32                           | 0.00                    | 1.30                              | 29.66                                     | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| UBS<br>Securities, LLC<br>(UBSS)        | 2.05                           | 2.21                    | 2.17                              | 0.24                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |
| Two Sigma<br>Securities, LLC<br>(SOHO)  | 1.57                           | 1.68                    | 2.61                              | 0.20                                      | 0.00                   | 0                                                         | 0.0000                                                                          | 0                                                                    | 0.0000                                                                                       | 0                                                                         | 0.0000                                                                                            | 0                                                        | 0.0000                                                                         |

Material Aspects:

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

VIRTU Americas, LLC (NITE):  
[No material aspects to disclose.]

G1 Execution Services, LLC (ETMM):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

HRT FINANCIAL LP (HRTF):  
[No material aspects to disclose.]

New York Stock Exchange (NYSE):  
[No material aspects to disclose.]

Goldman, Sachs & Co. LLC (GSCO):  
[No material aspects to disclose.]

NASDAQ Stock Market (NSDQ):  
[No material aspects to disclose.]

UBS Securities, LLC (UBSS):  
[No material aspects to disclose.]

Two Sigma Securities, LLC (SOHO):  
[No material aspects to disclose.]

-----

March 2026

Options

## Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 47.45                                     | 11.94                                               | 30.78                                                   | 9.83                                     |

## Venues

| Venue - Non-directed Order Flow         | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| Citadel Securities LLC (CDRG)           | 33.79                   | 37.24             | 35.37                       | 30.10                           | 30.34            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Jane Street Capital, LLC (JNST)         | 21.84                   | 25.89             | 20.52                       | 19.54                           | 14.04            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Dash Financial Technologies LLC (DASH)  | 17.60                   | 17.02             | 14.41                       | 18.23                           | 21.91            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| GLOBAL EXECUTION BROKERS, LP (GEBB)     | 13.04                   | 16.89             | 12.66                       | 8.97                            | 11.80            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Wolverine Execution Services LLC (WEXX) | 10.27                   | 2.96              | 4.80                        | 17.66                           | 21.91            | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |
| Interactive Brokers LLC (IBKR)          | 3.46                    | 0.00              | 12.23                       | 5.50                            | 0.00             | 0                                                | 0.0000                                                                | 0                                                          | 0.0000                                                                          | 0                                                              | 0.0000                                                                              | 0                                               | 0.0000                                                               |

## Material Aspects:

Citadel Securities LLC (CDRG):  
[No material aspects to disclose.]

Jane Street Capital, LLC (JNST):  
[No material aspects to disclose.]

Dash Financial Technologies LLC (DASH):  
[No material aspects to disclose.]

GLOBAL EXECUTION BROKERS, LP (GEBB):  
[No material aspects to disclose.]

Wolverine Execution Services LLC (WEXX):  
[No material aspects to disclose.]

Interactive Brokers LLC (IBKR):  
[No material aspects to disclose.]