

Guggenheim Securities, LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.01	0.20	5.33	94.46

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX	24.80	1.96	8.86	1.26	26.16	-1.53	-3.0000	1,096.68	8.0555	405.16	17.0235	2,896.10	28.6572
MIAX OPTIONS	10.64	0.00	4.00	0.34	11.24	0.00	0.0000	-56.28	-4.8643	-0.36	-4.0000	-103.43	-3.5276
Cboe Exchange, Inc.	10.10	3.92	6.55	1.67	10.58	-2.17	-4.2549	-52.22	-14.5056	-7.71	-10.4189	-446.30	-12.4700
Nasdaq PHLX LLC	9.97	3.92	4.49	0.34	10.53	-328.50	-45.0000	-50.11	-32.9671	-0.04	-4.0000	571.90	13.7608
Boston Options Exchange	8.88	5.88	5.95	0.34	9.36	-68.04	-18.7438	-359.65	-9.2885	0.00	0.0000	474.05	28.3523
NYSE AMER	6.46	1.96	7.65	2.91	6.66	-0.04	-4.0000	-53.07	-9.3433	-1.16	-4.0000	-345.60	-20.4376
Nasdaq ISE, LLC	6.10	7.84	3.88	20.58	5.28	-1,020.82	-43.0000	-658.82	-74.0247	2,502.88	94.3415	351.00	34.8214
MIAX SAPPHIRE LLC	5.77	3.92	4.37	0.02	6.10	19.66	7.6202	189.27	18.3046	0.00	0.0000	288.45	30.7188
CBOE BZX Options Exchange	5.31	5.88	4.61	23.05	4.31	-1,424.58	-52.0110	-337.87	-49.3962	3,714.87	46.8458	548.85	29.9264
CBOE C2	4.86	5.88	1.94	20.06	4.01	-2,683.12	-44.8682	-474.49	-46.1566	2,619.23	50.6719	499.60	33.0861

Material Aspects:

CBOE EDGX:
Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
For additional information regarding Matrix's routing statistics, please refer to the relevant quarterly disclosure available at <https://matrixexecutions.com/rule-606/>
For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE EDGX Options Exchange Options Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/edgx/

MIAX OPTIONS:
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Additionally, please refer to MIAX Options Pricing Schedule available at <https://www.miaxglobal.com/markets/us-options/all-options-exchanges/fees>

Cboe Exchange, Inc.:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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Additionally, please refer to CBOE Pricing Schedule available at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Nasdaq PHLX LLC:

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Additionally, please refer to Nasdaq PHLX, LLC Pricing Schedule available at <https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-options-7>

Boston Options Exchange:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to Boston Options Exchange, LLC Fee Schedule available at <https://boxexchange.com/regulatory/fees/>

NYSE AMER:

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Additionally, please refer to NYSE American Options Pricing Schedule available at https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf

Nasdaq ISE, LLC:

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Additionally, please refer to Nasdaq ISE, LLC Pricing Schedule available at <https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7>

MIAX SAPPHIRE LLC:

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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>

For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to MIAX Sapphire LLC [EMLD] Pricing Schedule available at <https://www.miaxglobal.com/markets/us-options/all-options-exchanges/fees>

CBOE BZX Options Exchange:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE BZX Options Exchange Options Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/bzx/

CBOE C2:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE C2 Options Exchange [C2OX] Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

May 2026

S&P 500 Stocks

Summary

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-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

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May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.20	0.23	8.46	91.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX	25.55	3.01	11.93	1.05	27.91	-123.84	-9.6000	464.24	9.4473	936.16	20.6384	847.10	30.3838
CBOE BZX Options Exchange	10.17	4.10	4.82	26.02	8.72	-500.59	-51.6072	-148.53	-56.2614	3,803.53	69.9693	404.80	25.9321
MIAX OPTIONS	9.31	8.74	2.06	0.00	10.20	-79.83	-4.0482	-248.32	-67.2954	0.00	0.0000	298.00	34.6915
Cboe Exchange, Inc.	9.04	1.37	8.03	0.95	9.81	-12.62	-19.7188	-42.40	-24.5087	-0.47	-4.2727	238.33	34.5406
Boston Options Exchange	8.46	2.19	3.21	0.11	9.26	-33.42	-11.4061	-110.96	-39.0704	-56.45	-7.2187	545.55	29.0495
Nasdaq PHLX LLC	7.95	7.92	1.61	0.00	8.71	-113.78	-9.0302	-22.80	-43.0189	0.00	0.0000	513.61	29.4501
Nasdaq ISE, LLC	6.12	6.56	8.03	19.96	4.83	-693.81	-16.9553	-110.40	-45.4321	2,388.16	92.8884	162.20	35.8057
NYSE AMER	5.99	4.10	10.09	0.67	6.48	-40.00	-4.0000	-373.61	-27.5931	-6.48	-4.4384	239.00	39.1162
CBOE C2	5.69	2.73	3.67	23.32	4.06	-335.72	-44.0000	-305.50	-65.8405	1,776.78	46.8560	157.60	32.9707
NYSE ARCA	4.42	4.92	5.73	5.93	4.28	-531.06	-53.0000	-203.67	-45.3608	657.78	23.2349	229.25	31.1058

Material Aspects:

CBOE EDGX:
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NYSE AMER:
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CBOE C2:
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Additionally, please refer to CBOE C2 Options Exchange [C2OX] Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

NYSE ARCA:
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Additionally, please refer to NYSE Arca [PSE], LLC Pricing Schedule available at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

June 2026

S&P 500 Stocks

Summary

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Venues

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June 2026

Non-S&P 500 Stocks

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-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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June 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.06	0.21	14.66	85.07

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX	21.11	6.36	11.54	1.99	24.44	-57.94	-6.0480	503.41	12.1158	1,055.45	19.4374	3,533.39	34.1357
NYSE ARCA	8.29	2.73	5.64	16.01	6.97	-118.72	-53.0000	-249.76	-62.7538	728.55	22.3962	1,070.80	36.1147
CBOE BZX Options Exchange	8.02	3.64	5.38	16.43	6.58	-161.62	-50.9842	-173.18	-74.6466	4,212.74	78.0426	391.80	35.7808
MIAX OPTIONS	7.95	5.45	3.85	0.05	9.33	-19.12	-4.0000	-20.72	-14.0000	0.00	0.0000	908.56	30.9877
Nasdaq PHLX LLC	7.87	4.55	3.85	0.09	9.23	47.52	10.2857	-32.25	-26.4344	0.00	0.0000	1,358.26	31.0318
CBOE C2	7.65	4.55	2.82	16.39	6.16	-128.04	-44.0000	-164.54	-74.7909	2,278.38	59.0713	487.50	37.7907
NYSE AMER	7.40	3.64	5.13	12.39	6.55	-14.32	-4.0000	-18.91	-4.1652	-8.32	-4.0000	406.20	36.9945
Cboe Exchange, Inc.	7.39	3.64	3.59	1.99	8.33	-0.59	-29.5000	-111.35	-23.9978	-286.25	-25.3543	273.31	9.6033
Nasdaq ISE, LLC	7.31	7.27	4.10	14.55	6.07	-377.27	-31.6236	-34.37	-27.4960	3,250.94	88.6298	880.73	37.1773
Boston Options Exchange	6.94	3.64	5.13	0.04	8.13	-13.04	-6.4876	81.93	9.7652	0.00	0.0000	1,069.50	32.7064
MIAX SAPPHIRE LLC	5.80	2.73	4.87	0.06	6.79	-114.23	-51.2242	281.05	69.0541	0.00	0.0000	320.43	35.6033

Material Aspects:

CBOE EDGX:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

For additional information regarding Matrix's routing statistics, please refer to the relevant quarterly disclosure available at <https://matrixexecutions.com/rule-606/>

For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>

For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE EDGX Options Exchange Options Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/edgx/

NYSE ARCA:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to NYSE Arca [PSE], LLC Pricing Schedule available at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

CBOE BZX Options Exchange:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.

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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>

For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE BZX Options Exchange Options Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/bzx/

MIAX OPTIONS:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to MIAX Options Pricing Schedule available at <https://www.miaxglobal.com/markets/us-options/all-options-exchanges/fees>

Nasdaq PHLX LLC:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to Nasdaq PHLX, LLC Pricing Schedule available at <https://listingcenter.nasdaq.com/rulebook/phlx/rules/phlx-options-7>

CBOE C2:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
For additional information regarding Matrix's routing statistics, please refer to the relevant quarterly disclosure available at <https://matrixexecutions.com/rule-606/>
For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE C2 Options Exchange [C2OX] Pricing Schedule available at https://www.cboe.com/us/options/membership/fee_schedule/ctwo/

NYSE AMER:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to NYSE American Options Pricing Schedule available at https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf

Cboe Exchange, Inc.:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to CBOE Pricing Schedule available at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Nasdaq ISE, LLC:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to Nasdaq ISE, LLC Pricing Schedule available at <https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7>

Boston Options Exchange:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
For additional information regarding Matrix's routing statistics, please refer to the relevant quarterly disclosure available at <https://matrixexecutions.com/rule-606/>
For additional information regarding WEX's routing statistics, please refer to the relevant quarterly disclosure available at <https://www.tradewex.com/Home/Rule606>
For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to Boston Options Exchange, LLC Fee Schedule available at <https://boxexchange.com/regulatory/fees/>

MIAX SAPPHIRE LLC:

Guggenheim Securities, LLC accesses liquidity through Matrix Executions ("Matrix"), Wolverine Execution Services, LLC ("WEX"), and Dash/IMC Financial Markets("Dash") and they make all routing decisions. Guggenheim Securities, LLC pays exchange, regulatory, market data and clearing fees and may receive exchange rebates where applicable.
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For additional information regarding Dash's routing statistics, please refer to the relevant quarterly disclosure available at <https://dashfinancial.com/rule-606/>

Additionally, please refer to MIAX Sapphire LLC [EMLD] Pricing Schedule available at <https://www.miaxglobal.com/markets/us-options/all-options-exchanges/fees>