

Hilltop Securities - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.89	91.11	1.12	5.70	2.07

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.22	24.45	31.15	22.16	64.24	327.43	5.0000	44.68	5.0000	35.89	5.0000	8.55	1.6913
StoneX Financial, Inc.	20.24	20.87	13.08	17.40	4.57	226.82	4.8778	8.70	5.0000	40.46	4.9269	1.75	5.0000
G1 Execution Services, LLC	15.85	16.58	11.54	8.40	6.86	180.53	5.0000	6.66	5.0004	13.78	5.0015	0.35	4.9435
GTS SECURITIES LLC	15.61	15.60	16.15	18.23	8.52	185.84	5.0000	12.90	5.0000	39.98	5.0000	4.18	5.0000
CITADEL SECURITIES LLC	15.02	14.70	13.85	21.86	10.81	154.51	4.9981	3.78	2.3989	16.46	4.6963	4.79	5.0000
UBS Securities, LLC	6.26	5.84	13.85	11.95	4.99	79.39	5.0000	14.57	5.0000	17.43	5.0000	3.67	5.0000

Material Aspects:

Virtu Americas, LLC:

Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005 for shares priced above \$3. No payment is received for odd lots. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

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July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.60	81.95	3.24	9.73	5.09

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	23.66	23.47	23.75	23.15	27.74	1,029.26	4.5201	259.97	3.3921	173.10	3.2019	54.98	1.5689
StoneX Financial, Inc.	18.45	19.25	13.10	15.28	15.17	783.66	4.6632	171.30	4.7112	151.35	4.6651	65.91	4.9253
GTS SECURITIES LLC	17.79	17.63	20.92	17.27	19.40	688.88	5.0000	348.72	5.0000	188.72	5.0000	80.01	5.0000
CITADEL SECURITIES LLC	16.68	16.13	13.88	23.87	13.56	662.90	4.9100	72.35	3.6328	169.58	4.5968	39.98	4.8484
G1 Execution Services, LLC	13.54	14.00	14.57	8.62	14.99	584.22	4.6753	204.97	4.7988	79.05	4.9845	66.25	4.7836
UBS Securities, LLC	8.21	7.70	12.02	10.76	9.08	245.09	4.7116	199.29	4.9565	134.94	4.8683	40.04	4.9383

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July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.11	8.03	73.60	10.26

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	36.81	18.46	29.53	39.33	38.95	469.60	37.3885	4,182.00	42.6735	8,090.10	49.9574	196.80	38.2136
Global Execution Brokers LP	28.32	31.79	18.65	29.35	25.76	603.99	42.0606	3,015.00	48.8576	3,384.15	51.6822	86.70	37.8603
Matrix Executions, LLC / Simplex Trading, LLC	18.06	33.33	29.79	14.25	24.14	43.35	42.9208	1,505.25	40.2043	1,172.70	42.6747	2,622.15	66.7723
Wolverine Execution Services, LLC	16.79	16.15	22.02	17.08	11.16	328.20	40.2699	550.05	34.7473	1,739.10	44.0725	220.35	62.5994

Material Aspects:

CITADEL SECURITIES LLC:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held option orders is based on the following rates per contract: Penny, 0.30; Non-Penny, 0.75. Rates are for non-professional customer orders only. No payment is received for subpenny contracts, index options or directed orders. Fees incurred in the execution of index option orders are passed through to Hilltop. Other execution costs incurred are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per contract are not adjusted for increases or decreases in quantities or percentages of contracts routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

Global Execution Brokers LP:
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Matrix Executions, LLC / Simplex Trading, LLC:
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Wolverine Execution Services, LLC:
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August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.62	91.29	1.30	5.94	1.47

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	25.18	24.69	25.10	20.84	73.38	366.36	5.0000	23.30	5.0000	20.30	5.0000	21.78	2.0716
StoneX Financial, Inc.	20.08	20.66	11.97	16.79	4.10	296.60	4.9257	27.58	5.0000	26.16	4.9810	1.87	4.8138
G1 Execution Services, LLC	15.88	16.50	14.67	9.54	4.10	242.19	5.0000	20.52	4.9991	18.25	5.0007	0.90	5.0251
GTS SECURITIES LLC	15.56	15.48	22.01	18.06	5.12	178.94	5.0000	15.13	5.0000	40.15	5.0000	2.88	5.0000
CITADEL SECURITIES LLC	15.35	14.97	16.22	22.28	10.58	213.93	4.9961	7.15	3.7628	44.91	4.4144	0.05	2.5610

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
UBS Securities, LLC	6.32	5.92	10.04	12.49	2.73	63.60	5.0000	21.41	5.0000	24.49	5.0000	0.58	5.0000

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August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.06	85.13	3.27	8.97	2.62

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	23.43	23.04	19.32	24.53	37.33	1,147.99	4.4367	147.93	3.6134	170.09	4.7110	56.77	1.0448
StoneX Financial, Inc.	18.53	18.96	15.69	16.63	14.80	933.20	4.6894	128.92	4.2084	199.24	4.9812	45.62	4.9263
GTS SECURITIES LLC	17.66	17.54	20.06	19.00	14.13	810.45	5.0000	225.53	5.0000	151.69	5.0000	43.44	5.0000
CITADEL SECURITIES LLC	16.01	15.60	17.40	19.98	14.00	750.98	4.9022	120.23	4.0571	137.14	4.6144	12.62	4.5922
G1 Execution Services, LLC	13.81	14.47	13.87	8.29	11.20	738.11	4.9769	153.47	4.5413	32.34	4.8944	36.67	4.9801
UBS Securities, LLC	8.24	7.95	11.10	10.48	6.40	290.28	4.9815	135.72	3.9063	87.22	4.8337	17.56	5.0000

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August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.52	9.22	75.20	8.05

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	35.13	20.32	32.26	35.69	47.04	173.30	32.3321	2,313.00	33.3189	6,818.10	35.7530	342.30	40.7015
Global Execution Brokers LP	29.06	31.66	25.59	29.62	25.37	446.85	38.6548	1,952.70	37.1589	2,471.95	38.3903	44.70	37.5630
Wolverine Execution Services, LLC	19.00	18.73	19.14	19.63	13.30	289.05	38.4375	564.90	34.6352	1,916.40	45.3586	158.55	57.0324
Matrix Executions, LLC / Simplex Trading, LLC	16.66	28.50	23.01	14.96	14.29	45.30	45.3000	1,101.15	36.0678	1,252.80	43.3495	1,924.50	64.8416

Material Aspects:

CITADEL SECURITIES LLC:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held option orders is based on the following rates per contract: Penny, 0.30; Non-Penny, 0.75. Rates are for non-professional customer orders only. No payment is received for subpenny contracts, index options or directed orders. Fees incurred in the execution of index option orders are passed through to Hilltop. Other execution costs incurred are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per contract are not adjusted for increases or decreases in quantities or percentages of contracts routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

Global Execution Brokers LP:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for option orders is based on the following rates per contract: Penny: 0.30; Non-Penny, 0.75. No payment for proprietary symbols and fees incurred in their execution are passed through to Hilltop. Other execution costs incurred are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per contract are not adjusted for increases or decreases in quantities or percentages of contracts routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

Wolverine Execution Services, LLC:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held option orders is based on the following rates per contract: Penny, 0.30; Non-Penny, 0.75. Rates are for non-professional customer orders only. No payment is received for subpenny contracts, index options or directed orders. Fees incurred in the execution of index option orders are passed through to Hilltop. Other execution costs incurred are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per contract are not adjusted for increases or decreases in quantities or percentages of contracts routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

Matrix Executions, LLC / Simplex Trading, LLC:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held option orders is based on the following rates per contract: Penny, 0.30; Non-Penny, 0.75. Rates are for non-professional customer orders only. No payment is received for subpenny contracts, index options or directed orders. Fees incurred in the execution of index option orders are passed through to Hilltop. Other execution costs incurred are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per contract are not adjusted for increases or decreases in quantities or percentages of contracts routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.95	91.91	1.14	4.86	2.08

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	24.32	23.44	19.75	20.39	74.88	310.17	5.0000	14.28	5.0000	18.85	5.0000	20.62	4.1469
StoneX Financial, Inc.	19.90	20.43	14.71	17.04	5.99	215.35	4.7651	8.62	5.0000	25.26	4.9852	1.93	5.0000
G1 Execution Services, LLC	18.14	18.98	18.91	8.08	4.15	239.42	5.0000	7.13	5.0018	9.89	4.9990	1.02	4.9805
CITADEL SECURITIES LLC	16.78	16.60	17.23	24.43	6.68	191.53	4.9999	3.44	4.2198	28.10	4.6265	0.21	5.0000
GTS SECURITIES LLC	13.08	12.92	19.33	17.73	6.22	137.28	5.0000	12.96	5.0000	25.47	5.0000	2.74	5.0000
UBS Securities, LLC	6.30	6.03	10.08	12.32	2.07	68.64	5.0000	17.67	5.0000	11.69	5.0000	1.30	5.0000

Material Aspects:

Virtu Americas, LLC:

Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005 for shares priced above \$3. No payment is received for odd lots. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

StoneX Financial, Inc.:

Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005. No payment is received for subdollar shares. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

G1 Execution Services, LLC:

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CITADEL SECURITIES LLC:

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GTS SECURITIES LLC:

Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005. No payment is received for subdollar shares. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

UBS Securities, LLC:

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September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.78	86.78	2.93	7.92	2.37

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	21.79	21.23	21.87	23.05	37.91	811.10	4.3890	125.20	2.5764	154.37	4.2185	60.26	2.3595
StoneX Financial, Inc.	17.59	18.08	12.11	15.61	13.10	712.90	4.6091	69.70	3.7778	105.01	4.6936	36.88	4.3004
GTS SECURITIES LLC	16.41	16.11	20.23	19.37	12.72	642.93	5.0000	187.09	5.0000	126.40	5.0000	36.51	5.0000
CITADEL SECURITIES LLC	15.47	15.07	13.35	21.12	13.74	607.44	4.8323	55.46	3.2750	96.37	4.1360	27.69	4.5113
G1 Execution Services, LLC	14.30	14.52	20.33	9.61	14.38	683.23	4.8321	181.32	4.7951	55.92	4.5674	35.92	4.1850
UBS Securities, LLC	7.20	6.85	9.86	10.29	6.62	209.52	4.8732	122.04	4.9674	92.06	4.8678	17.62	4.2726
Hilltop Securities	6.37	7.34	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005 for shares priced above \$3. No payment is received for odd lots. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

StoneX Financial, Inc.:
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GTS SECURITIES LLC:
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CITADEL SECURITIES LLC:
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G1 Execution Services, LLC:

Hilltop Securities receives Payment for Order Flow as Indicated in the Net Payment Paid/Received disclosure for this Venue. Payment received by Hilltop for Held NMS orders is based on a rate per share of 0.0005. No payment is received for subdollar shares. Execution costs incurred in the execution of these orders are not passed through to Hilltop. No agreement exists specifying any minimum quantities or percentages required to be routed to any venue and rates paid per share are not adjusted for increases or decreases in quantities or percentages of shares routed. These rates are subject to change at any time. Please contact compliance-equity@hilltopsecurities.com with any questions.

UBS Securities, LLC:
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Hilltop Securities:
Hilltop Securities executes as principal orders for Fractional Share positions remaining in customer accounts following transfers or other disposition of whole shares. No payment is received or provided for these orders.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.60	11.09	72.77	7.54

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	32.84	16.37	24.41	34.35	49.43	222.15	37.1488	2,296.35	31.6694	6,371.30	34.2469	158.25	48.6923
Global Execution Brokers LP	26.90	26.70	26.56	27.05	26.15	451.95	35.0621	2,449.50	33.0611	2,130.00	42.9089	31.20	42.1622
Matrix Executions, LLC / Simplex Trading, LLC	20.27	22.67	28.32	20.33	5.17	0.30	30.0000	1,518.22	43.9173	1,591.69	38.6520	2,371.60	61.1080
Wolverine Execution Services, LLC	19.99	34.26	20.70	18.27	19.25	467.25	37.8340	1,208.40	41.1580	1,367.70	44.1478	202.50	48.2143

Material Aspects:

CITADEL SECURITIES LLC:
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Global Execution Brokers LP:
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Matrix Executions, LLC / Simplex Trading, LLC:

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Wolverine Execution Services, LLC:

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