

# JONE - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Apr 30 2026 07:53:58 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2026

## January 2026

### S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 0.00                                      | 0.00                                         | 0.00                                                       | 0.00                                                           | 0.00                                        |

Venues

| Venue -<br>Non-directed Order Flow | Non-<br>Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-----------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
|------------------------------------|-----------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|

Material Aspects:

## January 2026

### Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 0.00                                      | 0.00                                         | 0.00                                                       | 0.00                                                           | 0.00                                        |

Venues

| Venue -<br>Non-directed Order Flow | Non-<br>Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-----------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
|------------------------------------|-----------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|

Material Aspects:

## January 2026

## Options

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 0.29                                      | 0.00                                                | 3.75                                                    | 95.95                                    |

### Venues

| Venue - Non-directed Order Flow  | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|----------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
| CBOE Exchange (XCBO)             | 16.05                   | 0.00              | 0.00                        | 0.00                            | 16.72            | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | -14,539.5911                                    | -10.2201                                                             |
| Nasdaq PHLX (XPHO)               | 15.24                   | 0.00              | 0.00                        | 0.00                            | 15.88            | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 1,822.8575                                      | 5.8650                                                               |
| Nasdaq ISE (XISX)                | 14.86                   | 0.00              | 0.00                        | 0.00                            | 15.49            | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | -4,982.5100                                     | -7.8846                                                              |
| NYSE ARCA Options (ARCO)         | 7.30                    | 0.00              | 0.00                        | 0.00                            | 7.61             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 10,032.8900                                     | 33.2336                                                              |
| C2 Options Exchange, Inc. (C2OX) | 6.11                    | 0.00              | 0.00                        | 0.00                            | 6.37             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 111.4700                                        | 1.0116                                                               |
| BOX Exchange (XBOX)              | 5.37                    | 0.00              | 0.00                        | 0.00                            | 5.60             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 568.2000                                        | 1.8698                                                               |
| MIAX Emerald, LLC. (EMLD)        | 4.79                    | 0.00              | 0.00                        | 0.00                            | 4.99             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 2,592.9500                                      | 15.7953                                                              |
| NYSE American Options (AMXO)     | 4.72                    | 0.00              | 0.00                        | 0.00                            | 4.91             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | -16,572.3800                                    | -39.7724                                                             |
| Miami Intl Exchange (XMIO)       | 4.52                    | 0.00              | 0.00                        | 0.00                            | 4.71             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 704.8475                                        | 1.5861                                                               |
| Cboe EDGX Options (EDGO)         | 4.41                    | 0.00              | 0.00                        | 0.00                            | 4.60             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 12,074.7815                                     | 6.6716                                                               |
| MIAX Pearl, LLC (MPRL)           | 3.38                    | 0.00              | 0.00                        | 0.00                            | 3.52             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 1,200.1600                                      | 9.9665                                                               |
| Nasdaq Options (XNDQ)            | 2.74                    | 0.00              | 0.00                        | 0.00                            | 2.85             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | -2,854.4200                                     | -27.1178                                                             |
| RBC CAPITAL MARKETS, LLC (GELP)  | 2.22                    | 38.80             | 0.00                        | 50.78                           | 0.21             | 0.0000                                           | 0.0000                                                                | 0.0000                                                     | 0.0000                                                                          | 0.0000                                                         | 0.0000                                                                              | 0.0000                                          | 0.0000                                                               |

### Material Aspects:

#### CBOE Exchange (XCBO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - [https://cdn.cboe.com/resources/membership/Cboe\\_FeeSchedule.pdf](https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf)

#### Nasdaq PHLX (XPHO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>

#### Nasdaq ISE (XISX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

#### NYSE ARCA Options (ARCO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\\_Arca\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf)

**C2 Options Exchange, Inc. (C2OX):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/ctwo/](https://www.cboe.com/us/options/membership/fee_schedule/ctwo/)

**BOX Exchange (XBOX):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://boxoptions.com/regulatory/fee-schedule/>

**MIAX Emerald, LLC. (EMLD):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.miaxglobal.com/markets/us-options/emerald-options/fees>

**NYSE American Options (AMXO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - [https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE\\_American\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf)

**Miami Intl Exchange (XMIO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - <https://www.miaxglobal.com/markets/us-options/miax-options/fees>

**Cboe EDGX Options (EDGO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/edgx/](https://www.cboe.com/us/options/membership/fee_schedule/edgx/)

**MIAX Pearl, LLC (MPRL):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

**Nasdaq Options (XNDQ):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/Nasdaq%20Options%207>

**RBC CAPITAL MARKETS, LLC (GELP):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.rbcwealthmanagement.com/assets/wp-content/uploads/documents/legal/schedule-of-fees-updated.pdf>

## February 2026

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 0.00                                   | 0.00                                      | 0.00                                                | 0.00                                                    | 0.00                                     |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
|                                 |                         |                   |                             |                                 |                  |                                                  |                                                                       |                                                            |                                                                                 |                                                                |                                                                                     |                                                 |                                                                      |

Material Aspects:

February 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 0.00                                   | 0.00                                      | 0.00                                                | 0.00                                                    | 0.00                                     |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------|
|                                 |                         |                   |                             |                                 |                  |                                                  |                                                                       |                                                            |                                                                                 |                                                                |                                                                                     |                                                 |                                                                      |

Material Aspects:

February 2026

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|----------------------------------------|-------------------------------------------|-----------------------------------------------------|---------------------------------------------------------|------------------------------------------|
| 100.00                                 | 0.90                                      | 0.00                                                | 6.66                                                    | 92.44                                    |

## Venues

| Venue -<br>Non-directed Order Flow | Non-Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-<br>Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-------------------------------|-------------------------|-----------------------------------|-------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
| CBOE Exchange (XCBO)               | 11.46                         | 0.00                    | 0.00                              | 0.00                                      | 12.40                  | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 5,007.4284                                               | 3.6244                                                                           |
| NYSE ARCA Options (ARCO)           | 10.26                         | 0.00                    | 0.00                              | 0.00                                      | 11.10                  | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 18,711.9200                                              | 44.7033                                                                          |
| BOX Exchange (XBOX)                | 8.54                          | 0.00                    | 0.00                              | 0.00                                      | 9.24                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 703.2000                                                 | 2.2620                                                                           |
| C2 Options Exchange, Inc. (C2OX)   | 8.28                          | 0.00                    | 0.00                              | 0.00                                      | 8.96                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 1,068.4100                                               | 11.1864                                                                          |
| MIAX Emerald, LLC. (EMLD)          | 7.81                          | 0.00                    | 0.00                              | 0.00                                      | 8.45                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 3,592.2400                                               | 27.4636                                                                          |
| Nasdaq PHLX (XPHO)                 | 7.70                          | 0.00                    | 0.00                              | 0.00                                      | 8.33                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 2,199.9875                                               | 14.4375                                                                          |
| Miami Intl Exchange (XMIO)         | 6.94                          | 0.00                    | 0.00                              | 0.00                                      | 7.50                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 1,024.3750                                               | 2.5278                                                                           |
| MIAX Pearl, LLC (MPRL)             | 6.29                          | 0.00                    | 0.00                              | 0.00                                      | 6.80                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 1,238.9600                                               | 9.0541                                                                           |
| Nasdaq ISE (XISX)                  | 5.88                          | 0.00                    | 0.00                              | 0.00                                      | 6.36                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 4,324.9550                                               | 6.3346                                                                           |
| Nasdaq Options (XNDQ)              | 5.44                          | 0.00                    | 0.00                              | 0.00                                      | 5.88                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | -6,008.1100                                              | -41.6103                                                                         |
| RBC CAPITAL MARKETS, LLC (GELP)    | 3.51                          | 9.71                    | 0.00                              | 46.96                                     | 0.32                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 0.0000                                                   | 0.0000                                                                           |
| Cboe EDGX Options (EDGO)           | 3.21                          | 0.00                    | 0.00                              | 0.00                                      | 3.47                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 14,014.2884                                              | 12.4476                                                                          |
| Cboe BZX Options Exchange (BATO)   | 3.04                          | 0.00                    | 0.00                              | 0.00                                      | 3.29                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 2,808.6900                                               | 36.0736                                                                          |
| NYSE American Options (AMXO)       | 2.75                          | 0.00                    | 0.00                              | 0.00                                      | 2.98                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                      | 0.0000                                                                                            | 898.9375                                                 | 11.8750                                                                          |

### Material Aspects:

#### CBOE Exchange (XCBO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - [https://cdn.cboe.com/resources/membership/Cboe\\_FeeSchedule.pdf](https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf)

#### NYSE ARCA Options (ARCO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\\_Arca\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf)

#### BOX Exchange (XBOX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://boxoptions.com/regulatory/fee-schedule/>

#### C2 Options Exchange, Inc. (C2OX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/ctwo/](https://www.cboe.com/us/options/membership/fee_schedule/ctwo/)

#### MIAX Emerald, LLC. (EMLD):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.miaxglobal.com/markets/us-options/emerald-options/fees>

#### Nasdaq PHLX (XPHO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>

#### Miami Intl Exchange (XMIO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - <https://www.miaxglobal.com/markets/us-options/miax-options/fees>

#### MIAX Pearl, LLC (MPRL):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.miaxglobal.com/markets/us-options/pearl-options/fees>

#### Nasdaq ISE (XISX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

#### Nasdaq Options (XNDQ):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/Nasdaq%20Options%207>

#### RBC CAPITAL MARKETS, LLC (GELP):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.rbcwealthmanagement.com/assets/wp-content/uploads/documents/legal/schedule-of-fees-updated.pdf>

#### Cboe EDGX Options (EDGO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/edgx/](https://www.cboe.com/us/options/membership/fee_schedule/edgx/)

#### Cboe BZX Options Exchange (BATO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://markets.cboe.com/us/options/membership/fee\\_schedule/bzx/](https://markets.cboe.com/us/options/membership/fee_schedule/bzx/)

#### NYSE American Options (AMXO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - [https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE\\_American\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf)

## March 2026

---

### S&P 500 Stocks

#### Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 0.00                                      | 0.00                                         | 0.00                                                       | 0.00                                                           | 0.00                                        |

Venues

| Venue -<br>Non-directed Order Flow | Non-<br>Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit<br>Orders<br>(%) | Non-<br>Marketable<br>Limit<br>Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|

Material Aspects:

-----

March 2026

Non-S&P 500 Stocks

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 0.00                                      | 0.00                                         | 0.00                                                       | 0.00                                                           | 0.00                                        |

Venues

| Venue -<br>Non-directed Order Flow | Non-<br>Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit<br>Orders<br>(%) | Non-<br>Marketable<br>Limit<br>Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|

Material Aspects:

-----

March 2026

Options

Summary

| Non-Directed Orders<br>as % of All Orders | Market Orders as % of<br>Non-Directed Orders | Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Non-Marketable Limit<br>Orders as % of Non-<br>Directed Orders | Other Orders as % of<br>Non-Directed Orders |
|-------------------------------------------|----------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 100.00                                    | 0.68                                         | 0.00                                                       | 4.87                                                           | 94.45                                       |

Venues

| Venue -<br>Non-directed Order Flow | Non-<br>Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit<br>Orders<br>(%) | Non-<br>Marketable<br>Limit<br>Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-<br>Marketable Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
|------------------------------------|-----------------------------------|-------------------------|--------------------------------------|----------------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|

| Venue -<br>Non-directed Order Flow | Non-Directed<br>Orders<br>(%) | Market<br>Orders<br>(%) | Marketable<br>Limit Orders<br>(%) | Non-Marketable<br>Limit Orders<br>(%) | Other<br>Orders<br>(%) | Net Payment<br>Paid/Received<br>for Market<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Market<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for<br>Marketable Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Non-Marketable<br>Limit<br>Orders(USD) | Net Payment Paid/<br>Received for Non-Marketable<br>Limit<br>Orders(cents per<br>hundred shares) | Net Payment<br>Paid/Received<br>for Other<br>Orders(USD) | Net Payment<br>Paid/Received for<br>Other<br>Orders(cents per<br>hundred shares) |
|------------------------------------|-------------------------------|-------------------------|-----------------------------------|---------------------------------------|------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------|
| NYSE ARCA Options (ARCO)           | 12.64                         | 0.00                    | 0.00                              | 0.00                                  | 13.38                  | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 12,176.7400                                              | 40.6813                                                                          |
| CBOE Exchange (XCBO)               | 11.56                         | 0.00                    | 0.00                              | 0.00                                  | 12.24                  | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | -5,534.8612                                              | -8.0169                                                                          |
| C2 Options Exchange, Inc. (C2OX)   | 8.84                          | 0.00                    | 0.00                              | 0.00                                  | 9.36                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 1,133.7000                                               | 11.6313                                                                          |
| Nasdaq PHLX (XPHO)                 | 8.41                          | 0.00                    | 0.00                              | 0.00                                  | 8.91                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 2,240.5812                                               | 15.7399                                                                          |
| BOX Exchange (XBOX)                | 7.50                          | 0.00                    | 0.00                              | 0.00                                  | 7.94                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 1,211.7500                                               | 3.9332                                                                           |
| MIAX Emerald, LLC. (EMLD)          | 7.12                          | 0.00                    | 0.00                              | 0.00                                  | 7.54                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 3,563.3700                                               | 35.8849                                                                          |
| Miami Intl Exchange (XMIO)         | 7.08                          | 0.00                    | 0.00                              | 0.00                                  | 7.49                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 951.0500                                                 | 2.0199                                                                           |
| Nasdaq ISE (XISX)                  | 6.92                          | 0.00                    | 0.00                              | 0.00                                  | 7.32                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 4,686.9300                                               | 10.3362                                                                          |
| Cboe EDGX Options (EDGO)           | 5.79                          | 0.00                    | 0.00                              | 0.00                                  | 6.13                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 29,564.8502                                              | 30.2788                                                                          |
| ISE Mercury Options (MCRY)         | 5.60                          | 0.00                    | 0.00                              | 0.00                                  | 5.93                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 283.6367                                                 | 1.9935                                                                           |
| Nasdaq Options (XNDQ)              | 3.73                          | 0.00                    | 0.00                              | 0.00                                  | 3.95                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | -3,600.6000                                              | -35.2792                                                                         |
| RBC CAPITAL MARKETS, LLC (GELP)    | 2.77                          | 23.74                   | 0.00                              | 48.57                                 | 0.26                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 0.0000                                                   | 0.0000                                                                           |
| NYSE American Options (AMXO)       | 2.12                          | 0.00                    | 0.00                              | 0.00                                  | 2.24                   | 0.0000                                                    | 0.0000                                                                            | 0.0000                                                                 | 0.0000                                                                                       | 0.0000                                                                     | 0.0000                                                                                           | 695.9750                                                 | 15.2259                                                                          |

#### Material Aspects:

##### NYSE ARCA Options (ARCO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\\_Arca\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf)

##### CBOE Exchange (XCBO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://cdn.cboe.com/resources/membership/Cboe\\_FeeSchedule.pdf](https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf)

##### C2 Options Exchange, Inc. (C2OX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/ctwo/](https://www.cboe.com/us/options/membership/fee_schedule/ctwo/)

##### Nasdaq PHLX (XPHO):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>

##### BOX Exchange (XBOX):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.

Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://boxoptions.com/regulatory/fee-schedule/>

##### MIAX Emerald, LLC. (EMLD):

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.miaxglobal.com/markets/us-options/emerald-options/fees>

**Miami Intl Exchange (XMIO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - <https://www.miaxglobal.com/markets/us-options/miax-options/fees>

**Nasdaq ISE (XISX):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

**Cboe EDGX Options (EDGO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: [https://www.cboe.com/us/options/membership/fee\\_schedule/edgx/](https://www.cboe.com/us/options/membership/fee_schedule/edgx/)

**ISE Mercury Options (MCRY):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/mrx/rules/MRX%20Options%207>

**Nasdaq Options (XNDQ):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://listingcenter.nasdaq.com/rulebook/nasdaq/rules/Nasdaq%20Options%207>

**RBC CAPITAL MARKETS, LLC (GELP):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: <https://www.rbcwealthmanagement.com/assets/wp-content/uploads/documents/legal/schedule-of-fees-updated.pdf>

**NYSE American Options (AMXO):**

JonesTrading does not have a profit-sharing arrangement with or receive payment for order flow from this venue/market center. The executions received from this venue/market were for orders routed through Dash to US listed options exchanges. Dash charges the Firm an execution fee and passes through the net fees or rebates incurred when executing client orders on connected venues. The pass-through rebates, credits, or adjustments from Dash may reduce the overall costs of the execution service provided by Dash. When routing to Dash, the Firm may at times receive an indirect benefit by receiving enhanced exchange tiers or rates and those are reflected in our Net Payments in the 606 reports.  
Please see Dash's 606 reports located here: <https://dashfinancial.com/rule-606/> and a detailed fee schedule for the venue/market center available here: - [https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE\\_American\\_Options\\_Fee\\_Schedule.pdf](https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE_American_Options_Fee_Schedule.pdf)