

Citigroup Global Markets Inc. ("CGMI") Wealth - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	61.95	3.82	30.68	3.54

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	26.18	25.48	25.33	26.89	33.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	16.73	16.99	16.79	16.96	10.02	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	13.45	13.25	14.40	13.45	15.88	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	11.96	11.67	11.84	12.32	13.96	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	11.89	11.89	12.08	11.86	12.04	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	6.91	7.73	6.70	5.71	3.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	6.34	6.11	6.64	6.51	8.56	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	6.06	6.11	6.22	6.29	2.93	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Citadel Securities LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Citadel Securities LLC.

Jane Street Capital:

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G1 Execution Services, LLC:

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Virtu Americas, LLC:

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Two Sigma Securities, LLC:

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UBS Securities, LLC:

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January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	65.64	5.12	26.19	3.05

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	23.25	23.33	22.44	22.97	25.22	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	19.04	18.80	19.05	20.10	15.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	18.39	18.02	18.80	18.65	23.30	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	12.15	11.98	12.15	12.38	13.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	10.12	10.39	10.33	9.60	8.52	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC	5.84	5.71	6.25	6.01	6.64	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	5.80	5.57	6.45	6.42	4.59	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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G1 Execution Services, LLC:

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Virtu Americas, LLC:

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Two Sigma Securities, LLC:

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UBS Securities, LLC:

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January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	23.83	4.23	67.38	4.56

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	33.36	33.77	33.07	34.68	11.82	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Wolverine Execution Services, LLC	22.67	19.42	19.81	23.03	36.98	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Global Execution Brokers LP	22.25	20.23	21.10	21.40	46.49	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
DASH Financial Option ATS	17.27	19.42	18.95	17.30	4.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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Wolverine Execution Services, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Wolverine Execution Services, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Wolverine Execution Services, LLC.

Global Execution Brokers LP:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Global Execution Brokers LP. Nor does CGMI Wealth receive any services or technologies subsidized by Global Execution Brokers LP.

DASH Financial Option ATS:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from DASH Financial Option ATS. Nor does CGMI Wealth receive any services or technologies subsidized by DASH Financial Option ATS.

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	62.28	3.61	30.06	4.05

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	25.85	24.93	26.09	27.23	29.58	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	16.74	16.84	17.06	17.11	12.20	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	13.29	13.12	12.88	13.35	16.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	12.18	12.34	12.26	11.94	11.39	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	11.97	11.95	12.33	11.70	14.03	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	7.25	8.00	7.05	6.21	3.72	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	6.21	6.34	6.69	6.17	4.18	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	6.07	5.81	5.64	6.28	8.89	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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100.00	64.81	4.75	27.07	3.36

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	23.29	23.38	22.94	22.92	25.03	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	19.05	18.95	18.31	19.70	16.91	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	18.26	18.05	18.24	18.45	20.67	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	12.23	11.98	13.05	12.48	13.81	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	9.78	10.03	10.39	9.54	5.99	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	6.00	5.89	5.57	6.15	7.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	5.84	5.68	6.63	6.32	3.93	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Citadel Securities LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Citadel Securities LLC.

Jane Street Capital:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Jane Street Capital. Nor does CGMI Wealth receive any services or technologies subsidized by Jane Street Capital.

G1 Execution Services, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from G1 Execution Services, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by G1 Execution Services, LLC.

Virtu Americas, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Virtu Americas, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Virtu Americas, LLC.

Two Sigma Securities, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Two Sigma Securities, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Two Sigma Securities, LLC.

UBS Securities, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from UBS Securities, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by UBS Securities, LLC.

February 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	27.83	4.17	64.76	3.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	32.07	31.40	32.68	33.35	11.39	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Global Execution Brokers LP	21.56	19.27	19.26	21.50	45.43	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Wolverine Execution Services, LLC	20.52	19.67	18.68	19.95	41.43	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
DASH Financial Option ATS	18.01	19.59	17.90	18.15	1.75	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Execution Services, LLC	7.18	8.51	10.60	6.75	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Citadel Securities LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Citadel Securities LLC.

Global Execution Brokers LP:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Global Execution Brokers LP. Nor does CGMI Wealth receive any services or technologies subsidized by Global Execution Brokers LP.

Wolverine Execution Services, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Wolverine Execution Services, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Wolverine Execution Services, LLC.

DASH Financial Option ATS:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from DASH Financial Option ATS. Nor does CGMI Wealth receive any services or technologies subsidized by DASH Financial Option ATS.

March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	62.12	3.41	30.48	3.99

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	26.32	25.70	26.92	26.81	31.77	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	16.72	16.75	17.03	17.59	9.17	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	14.12	13.86	14.28	14.43	15.67	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	11.91	11.84	12.18	11.73	14.10	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	11.40	11.25	10.58	11.43	14.17	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	6.81	7.70	6.83	5.44	3.49	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	6.67	6.34	6.38	7.01	9.47	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	5.82	6.19	5.80	5.55	2.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Citadel Securities LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Citadel Securities LLC.

Jane Street Capital:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Jane Street Capital. Nor does CGMI Wealth receive any services or technologies subsidized by Jane Street Capital.

G1 Execution Services, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from G1 Execution Services, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by G1 Execution Services, LLC.

Virtu Americas, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Virtu Americas, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Virtu Americas, LLC.

Two Sigma Securities, LLC:

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UBS Securities, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from UBS Securities, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by UBS Securities, LLC.

March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	62.84	4.64	29.45	3.07

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	23.80	24.06	22.53	23.10	27.14	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	19.62	19.55	20.11	19.52	21.42	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	19.26	19.17	19.89	19.76	15.21	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	11.84	11.69	12.10	12.03	12.77	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	9.31	9.35	9.12	9.54	6.62	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	5.57	5.40	5.88	5.69	7.32	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
UBS Securities, LLC	5.38	5.21	5.91	5.88	3.30	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

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G1 Execution Services, LLC:

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Jane Street Capital:

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Virtu Americas, LLC:

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Two Sigma Securities, LLC:

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UBS Securities, LLC:

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March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	28.02	3.52	65.69	2.76

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	30.49	30.49	31.85	31.44	6.30	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Wolverine Execution Services, LLC	20.76	20.16	19.25	20.35	38.69	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Global Execution Brokers LP	20.38	18.07	19.35	19.96	55.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
DASH Financial Option ATS	18.46	19.46	18.15	18.82	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Execution Services, LLC	9.52	11.27	9.78	9.17	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

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Wolverine Execution Services, LLC:

CGMI has entered into a Fully Disclosed Clearing Agreement with Pershing LLC pursuant to which Pershing provides clearing and custody services for the accounts of the wealth line of business that offer investment products through CGMI ("CGMI Wealth"). There are multiple lines of business under the CGMI broker dealer and this particular disclosure pertains to the CGMI Wealth line of business, identified as market participant CGWM. CGMI Wealth routes customer orders in equity securities and/or exchange-traded options to Pershing for order handling. The CGMI Wealth business does not receive or solicit payment for order flow for these orders, nor does CGMI Wealth share in any profits derived by Pershing for the execution of these orders. In addition, CGMI Wealth does not receive any sort of consideration from Wolverine Execution Services, LLC. Nor does CGMI Wealth receive any services or technologies subsidized by Wolverine Execution Services, LLC.

Global Execution Brokers LP:

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DASH Financial Option ATS:

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