

A.G.P./Alliance Global Partners - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	28.80	1.16	2.11	67.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	32.17	33.83	39.13	48.81	30.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	30.96	34.09	28.26	0.00	30.65	-134.2470	-10.0000	-9.8000	-10.0000	0.0000	0.0000	-29.6890	-10.0000
CODA MARKETS, INC. (CODA)	30.39	32.08	30.43	48.81	29.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	6.43	0.00	2.17	2.38	9.35	0.0000	0.0000	-7.5000	-500.0000	-5.7500	-500.0000	-83.4000	-500.0000
VIRTU Americas LLC (NITE)	0.05	0.00	0.00	0.00	0.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.1692	-12.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):

The Firm routes a portion of non-directed customer order flow to DFIN, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from DFIN when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

January 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	47.58	5.24	3.55	43.63

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	32.60	34.49	32.14	54.93	28.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	30.58	31.93	33.71	38.49	28.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	30.13	33.55	29.24	0.99	28.88	-1,191.5870	-10.0000	-228.0500	-10.0000	-11.2500	-10.0000	-888.6670	-10.0000
DASH FINANCIAL TECHNOLOGIES LL (DFIN)	6.52	0.02	4.91	5.26	13.90	-5.0000	-500.0000	-225.3000	-500.0000	-157.8000	-500.0000	-352.0000	-500.0000
VIRTU Americas LLC (NITE)	0.15	0.00	0.00	0.00	0.35	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-5.1516	-12.0000
A.G.P. / ALLIANCE GLOBAL PARTN (ALNC)	0.02	0.00	0.00	0.33	0.03	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CRDG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

DASH FINANCIAL TECHNOLOGIES LL (DFIN):

The Firm routes a portion of non-directed customer order flow to DFIN, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from DFIN when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

January 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.92	11.62	56.84	21.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA Options (ARCO)	21.65	0.00	0.00	37.96	0.31	0.0000	0.0000	0.0000	41.1675	5,708.7000	41.1675	-17.8200	-54.0000
Bofa Securities, Inc. (MLCO)	9.92	0.00	0.00	0.53	44.53	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MATRIX EXECUTIONS, LLC (REDG)	4.63	45.64	0.00	0.18	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
BOX Exchange (XBOX)	3.86	0.00	33.24	0.00	0.00	0.0000	0.0000	43.5000	5.9753	0.0000	5.9753	0.0000	0.0000
CBOE Exchange (XCBO)	3.66	0.00	31.52	0.00	0.00	0.0000	0.0000	-60.4275	-5.2500	0.0000	-5.2500	0.0000	0.0000
Miami Intl Exchange (XMIO)	2.23	0.00	18.34	0.00	0.46	0.0000	0.0000	-20.8500	-5.0000	0.0000	-5.0000	-0.7500	-5.0000
MIAX Sapphire (SPHR)	1.63	0.00	0.00	0.00	7.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	689.5300	49.1468
Cboe EDGX Options (EDGO)	1.23	0.00	8.02	0.00	1.39	0.0000	0.0000	-4.4000	-4.0000	0.0000	-4.0000	-7.0000	-4.0000
ISE Mercury Options (MCRY)	0.83	0.00	7.16	0.00	0.00	0.0000	0.0000	85.2100	24.9883	0.0000	24.9883	0.0000	0.0000
Nasdaq OMX (XBXO)	0.43	0.00	0.00	0.00	2.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-83.6100	-50.6727
Nasdaq Options (XNDQ)	0.33	0.00	0.00	0.12	1.23	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-65.8800	-61.0000
MEMX Options (MXOP)	0.30	0.00	0.00	0.00	1.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-33.3300	-55.5500
NYSE American Options (AMXO)	0.17	0.00	0.00	0.00	0.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.6500	-5.0000
MIAX Pearl, LLC (MPRL)	0.13	0.00	0.00	0.00	0.62	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-5.8800	-65.3333
Nasdaq ISE (XISX)	0.13	0.00	1.15	0.00	0.00	0.0000	0.0000	6.3500	48.8462	0.0000	48.8462	0.0000	0.0000
C2 Options Exchange, Inc. (C2OX)	0.07	0.00	0.00	0.00	0.31	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-24.4800	-48.0000
Nasdaq GEMX, LLC (GMNI)	0.07	0.00	0.57	0.00	0.00	0.0000	0.0000	3.8200	42.4444	0.0000	42.4444	0.0000	0.0000
Nasdaq PHLX (XPHO)	0.03	0.00	0.00	0.00	0.15	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.0500	-5.0000

Material Aspects:

NYSE ARCA Options (ARCO):

The Firm routes non-directed customer options orders to NYSE ARCA Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from ARCO and has no profit-sharing relationship with this venue.

Bofa Securities, Inc. (MLCO):

MLCO is an execution venue utilized by AGP to execute orders. AGP may receive payment from BofA Securities Incorporated for directing order flow to this venue as passed thru by the equity exchange or a percentage of exchange rebate for options.

BOX Exchange (XBOX):

The Firm routes non-directed customer options orders to BOX Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XBOX and has no profit-sharing relationship with this venue.

CBOE Exchange (XCBO):

The Firm routes non-directed customer options orders to CBOE Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XCBO and has no profit-sharing relationship with this venue.

Miami Intl Exchange (XMIO):

The Firm routes non-directed customer options orders to Miami International Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XMIO and has no profit-sharing relationship with this venue.

MIAX Sapphire (SPHR):

The Firm routes non-directed customer options orders to MIAX Sapphire via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from SPHR and has no profit-sharing relationship with this venue.

Cboe EDGX Options (EDGO):

The Firm routes non-directed customer options orders to Cboe EDGX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from EDGO and has no profit-sharing relationship with this venue.

ISE Mercury Options (MCRY):

The Firm routes non-directed customer options orders to ISE Mercury Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from MCRY and has no profit-sharing relationship with this venue.

Nasdaq OMX (XBXO):

The Firm routes non-directed customer options orders to Nasdaq OMX BX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XBXO and has no profit-sharing relationship with this venue.

Nasdaq Options (XNDQ):

The Firm routes non-directed customer options orders to Nasdaq Options Market via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XNDQ and has no profit-sharing relationship with this venue.

MEMX Options (MXOP):

The Firm routes non-directed customer options orders to MEMX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from MXOP and has no profit-sharing relationship with this venue.

NYSE American Options (AMXO):

The Firm routes non-directed customer options orders to NYSE American Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from AMXO and has no profit-sharing relationship with this venue.

MIAX Pearl, LLC (MPRL):

The Firm routes non-directed customer options orders to MIAX Pearl. The Firm does not receive payment for order flow or any other form of consideration from MPRL and has no profit-sharing relationship with this venue.

Nasdaq ISE (XISX):

The Firm routes non-directed customer options orders to Nasdaq ISE via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XISX and has no profit-sharing relationship with this venue.

C2 Options Exchange, Inc. (C2OX):

The Firm routes non-directed customer options orders to C2 Options Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from C2OX and has no profit-sharing relationship with this venue.

Nasdaq GEMX, LLC (GMNI):

The Firm routes non-directed customer options orders to Nasdaq GEMX via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from GMNI and has no profit-sharing relationship with this venue.

Nasdaq PHLX (XPHO):

The Firm routes non-directed customer options orders to Nasdaq PHLX via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XPHO and has no profit-sharing relationship with this venue.

February 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	31.31	1.17	1.90	65.62

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	34.28	31.98	37.84	51.67	34.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	33.14	35.22	37.84	1.67	32.98	-102.4360	-10.0000	-16.2830	-10.0000	-0.3020	-10.0000	-25.6400	-10.0000
CODA MARKETS, INC. (CODA)	32.54	32.79	24.32	46.67	32.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.03	0.00	0.00	0.00	0.05	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.0120	-12.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

February 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	45.81	5.68	3.97	44.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	34.29	32.04	29.75	58.82	35.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	33.25	34.08	38.92	3.17	34.35	-736.6700	-10.0000	-292.3460	-10.0000	-20.6250	-10.0000	-1,345.2320	-10.0000
CODA MARKETS, INC. (CODA)	32.32	33.84	31.33	38.01	30.36	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.11	0.00	0.00	0.00	0.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-6.2280	-12.0000
A.G.P. / ALLIANCE GLOBAL PARTN (ALNC)	0.04	0.04	0.00	0.00	0.04	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

February 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	14.70	7.39	54.98	22.94

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Bofa Securities, Inc. (MLCO)	11.73	0.00	0.00	3.17	43.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NYSE ARCA Options (ARCO)	9.93	0.00	0.00	17.65	1.00	0.0000	0.0000	0.0000	40.3851	4,446.8000	40.3851	-72.3600	-55.6615

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
MATRIX EXECUTIONS, LLC (REDC)	5.55	31.51	0.26	1.64	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	2.07	0.00	27.98	0.00	0.00	0.0000	0.0000	-89.6700	-5.2500	0.0000	-5.2500	0.0000	0.0000
BOX Exchange (XBOX)	1.89	0.00	25.65	0.00	0.00	0.0000	0.0000	73.4000	7.5826	0.0000	7.5826	0.0000	0.0000
Miami Intl Exchange (XMIO)	1.36	0.00	16.32	0.00	0.67	0.0000	0.0000	-26.4500	-5.0000	0.0000	-5.0000	-1.8000	-5.0000
MIAX Sapphire (SPHR)	1.09	0.00	0.00	0.00	4.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	893.2700	52.6382
Cboe EDGX Options (EDGO)	1.01	0.00	10.10	0.00	1.17	0.0000	0.0000	-11.1200	-4.0000	0.0000	-4.0000	-6.2000	-4.0000
ISE Mercury Options (MCRY)	0.61	0.00	8.29	0.00	0.00	0.0000	0.0000	96.8500	34.8381	0.0000	34.8381	0.0000	0.0000
Cboe BZX Options Exchange (BATO)	0.23	0.00	0.00	0.00	1.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-59.3600	-53.0000
C2 Options Exchange, Inc. (C2OX)	0.19	0.00	0.00	0.00	0.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-62.8800	-48.0000
MEMX Options (MXOP)	0.19	0.00	0.00	0.00	0.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-95.6400	-65.0612
Nasdaq PHLX (XPHO)	0.17	0.00	0.00	0.00	0.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-2.4000	-5.0000
Nasdaq Options (XNDQ)	0.13	0.00	0.00	0.07	0.42	0.0000	0.0000	0.0000	75.0000	0.7500	75.0000	-3.9600	-66.0000
MIAX Pearl, LLC (MPRL)	0.11	0.00	0.00	0.00	0.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-57.6600	-83.5652
NYSE American Options (AMXO)	0.10	0.00	0.00	0.00	0.42	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.8000	-5.0000
Nasdaq ISE (XISX)	0.08	0.00	1.04	0.00	0.00	0.0000	0.0000	-4.8900	-40.7500	0.0000	-40.7500	0.0000	0.0000
Nasdaq GEMX, LLC (GMNI)	0.04	0.00	0.52	0.00	0.00	0.0000	0.0000	-6.8900	-53.0000	0.0000	-53.0000	0.0000	0.0000
National Financial Services LL (NFSC)	0.04	0.00	0.00	0.00	0.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
MIAX Emerald, LLC. (EMLD)	0.02	0.00	0.00	0.00	0.08	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-27.5000	-55.0000

Material Aspects:

Bofa Securities, Inc. (MLCO):

MLCO is an execution venue utilized by AGP to execute orders. AGP may receive payment from BoFA Securities Incorporated for directing order flow to this venue as passed thru by the equity exchange or a percentage of exchange rebate for options.

NYSE ARCA Options (ARCO):

The Firm routes non-directed customer options orders to NYSE ARCA Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from ARCO and has no profit-sharing relationship with this venue.

CBOE Exchange (XCBO):

The Firm routes non-directed customer options orders to CBOE Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XCBO and has no profit-sharing relationship with this venue.

BOX Exchange (XBOX):

The Firm routes non-directed customer options orders to BOX Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XBOX and has no profit-sharing relationship with this venue.

Miami Intl Exchange (XMIO):

The Firm routes non-directed customer options orders to Miami International Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XMIO and has no profit-sharing relationship with this venue.

MIAX Sapphire (SPHR):

The Firm routes non-directed customer options orders to MIAX Sapphire via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from SPHR and has no profit-sharing relationship with this venue.

Cboe EDGX Options (EDGO):

The Firm routes non-directed customer options orders to Cboe EDGX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from EDGO and has no profit-sharing relationship with this venue.

ISE Mercury Options (MCRY):

The Firm routes non-directed customer options orders to ISE Mercury Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from MCRY and has no profit-sharing relationship with this venue.

Cboe BZX Options Exchange (BATO):

The Firm routes non-directed customer options orders to Cboe BZX Options Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from BATO and has no profit-sharing relationship with this venue.

C2 Options Exchange, Inc. (C2OX):

The Firm routes non-directed customer options orders to C2 Options Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from C2OX and has no profit-sharing relationship with this venue.

MEMX Options (MXOP):

The Firm routes non-directed customer options orders to MEMX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from MXOP and has no profit-sharing relationship with this venue.

Nasdaq PHLX (XPHO):

The Firm routes non-directed customer options orders to Nasdaq PHLX via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XPHO and has no profit-sharing relationship with this venue.

Nasdaq Options (XNDQ):

The Firm routes non-directed customer options orders to Nasdaq Options Market via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XNDQ and has no profit-sharing relationship with this venue.

MIAX Pearl, LLC (MPRL):

The Firm routes non-directed customer options orders to MIAX Pearl. The Firm does not receive payment for order flow or any other form of consideration from MPRL and has no profit-sharing relationship with this venue.

NYSE American Options (AMXO):

The Firm routes non-directed customer options orders to NYSE American Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from AMXO and has no profit-sharing relationship with this venue.

Nasdaq ISE (XISX):

The Firm routes non-directed customer options orders to Nasdaq ISE via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XISX and has no profit-sharing relationship with this venue.

Nasdaq GEMX, LLC (GMNI):

The Firm routes non-directed customer options orders to Nasdaq GEMX via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from GMNI and has no profit-sharing relationship with this venue.

National Financial Services LL (NFSC):

The Firm routes non-directed customer options orders to NFSC through one of the Firm's order management systems. In this context, NFSC is acting as an execution venue and not in its capacity as the Firm's clearing firm. NFSC selects the downstream execution venues to which customer options orders are routed on behalf of the Firm's customers. The Firm does not receive payment for order flow or any other form of consideration from NFSC with respect to options orders routed in this manner.

MIAX Emerald, LLC. (EMLD):

The Firm routes non-directed customer options orders to MIAX Emerald via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from EMLD and has no profit-sharing relationship with this venue.

March 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	33.75	0.49	1.37	64.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	34.74	36.03	47.06	2.13	34.66	-124.2220	-10.0000	-12.6000	-10.0000	-0.5000	-10.0000	-30.3010	-10.0000
StoneX Financial Inc. (INTL)	33.66	31.72	23.53	53.19	34.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CODA MARKETS, INC. (CODA)	31.57	32.24	29.41	44.68	30.95	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.03	0.00	0.00	0.00	0.05	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-0.3000	-12.0000

Material Aspects:

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	54.25	5.14	4.21	36.40

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX Financial Inc. (INTL)	34.77	33.15	37.67	51.63	34.82	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Citadel Securities LLC (CDRG)	33.21	35.24	33.00	3.25	33.69	-1,021.5010	-10.0000	-224.9350	-10.0000	-16.5920	-10.0000	-724.5040	-10.0000
CODA MARKETS, INC. (CODA)	31.84	31.61	29.33	45.12	31.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas LLC (NITE)	0.17	0.00	0.00	0.00	0.47	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-6.6480	-12.0000

Material Aspects:

StoneX Financial Inc. (INTL):

The Firm routes a portion of non-directed customer order flow to INTL, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from INTL when its clearing firms route order flow to this venue.

Citadel Securities LLC (CDRG):

The Firm routes a portion of non-directed customer order flow to CDRG, from which it receives payment for order flow in the amount of up to \$0.001 per share. The Firm does not receive payment from CDRG when its clearing firms route order flow to this venue.

CODA MARKETS, INC. (CODA):

The Firm routes a portion of non-directed customer order flow to CODA, from which it receives payment for order flow in the amount of up to \$0.003 per share. The Firm does not receive payment from CODA when its clearing firms route order flow to this venue.

VIRTU Americas LLC (NITE):

The Firm does not receive payment or any form of consideration for order flow from NITE.

March 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.54	0.26	2.57	0.63

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LL (NFSC)	96.14	99.54	0.27	1.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities, Inc. (MLCO)	0.38	0.00	4.58	4.23	41.55	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
NYSE ARCA Options (ARCO)	0.33	0.00	0.00	12.75	0.76	0.0000	0.0000	0.0000	36.9021	3,981.0000	36.9021	-9.1800	-54.0000
MATRIX EXECUTIONS, LLC (REDG)	0.25	0.20	1.35	2.33	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CBOE Exchange (XCBO)	0.06	0.00	24.53	0.00	0.00	0.0000	0.0000	-99.6975	-5.2500	0.0000	-5.2500	0.0000	0.0000
BOX Exchange (XBOX)	0.06	0.00	22.91	0.00	0.00	0.0000	0.0000	48.4000	5.9606	0.0000	5.9606	0.0000	0.0000
MIAX Sapphire (SPHR)	0.05	0.00	0.00	0.00	7.74	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	882.5300	47.4734
Cboe EDGX Options (EDGO)	0.04	0.00	6.20	0.00	3.05	0.0000	0.0000	-5.0000	-4.0000	0.0000	-4.0000	-9.3200	-4.0000
Miami Intl Exchange (XMIO)	0.03	0.00	9.97	0.00	0.76	0.0000	0.0000	-17.9000	-5.0000	0.0000	-5.0000	-1.5000	-5.0000
ISE Mercury Options (MCRY)	0.03	0.00	9.97	0.00	0.00	0.0000	0.0000	96.1800	32.0600	0.0000	32.0600	0.0000	0.0000

Material Aspects:

National Financial Services LL (NFSC):

The Firm routes non-directed customer options orders to NFSC through one of the Firm's order management systems. In this context, NFSC is acting as an execution venue and not in its capacity as the Firm's clearing firm. NFSC selects the downstream execution venues to which customer options orders are routed on behalf of the Firm's customers. The Firm does not receive payment for order flow or any other form of consideration from NFSC with respect to options orders routed in this manner.

Bofa Securities, Inc. (MLCO):

MLCO is an execution venue utilized by AGP to execute orders. AGP may receive payment from BofA Securities Incorporated for directing order flow to this venue as passed thru by the equity exchange or a percentage of exchange rebate for options.

NYSE ARCA Options (ARCO):

The Firm routes non-directed customer options orders to NYSE ARCA Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from ARCO and has no profit-sharing relationship with this venue.

CBOE Exchange (XCBO):

The Firm routes non-directed customer options orders to CBOE Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XCBO and has no profit-sharing relationship with this venue.

BOX Exchange (XBOX):

The Firm routes non-directed customer options orders to BOX Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XBOX and has no profit-sharing relationship with this venue.

MIAX Sapphire (SPHR):

The Firm routes non-directed customer options orders to MIAX Sapphire via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from SPHR and has no profit-sharing relationship with this venue.

Cboe EDGX Options (EDGO):

The Firm routes non-directed customer options orders to Cboe EDGX Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from EDGO and has no profit-sharing relationship with this venue.

Miami Intl Exchange (XMIO):

The Firm routes non-directed customer options orders to Miami International Exchange via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from XMIO and has no profit-sharing relationship with this venue.

ISE Mercury Options (MCRY):

The Firm routes non-directed customer options orders to ISE Mercury Options via DASH Financial Technologies LLC. The Firm does not receive payment for order flow or any other form of consideration from MCRY and has no profit-sharing relationship with this venue.