

A.G.P./Alliance Global Partners - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Oct 29 2026 19:42:31 (Eastern Time)

3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
98.18	67.01	0.84	29.81	2.34

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	47.17	35.06	34.74	70.96	95.45	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	26.15	32.56	8.32	14.31	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	25.14	32.07	47.46	10.90	0.00	-134.24	-3.7126	-2.01	-3.7126	-7.52	-3.7126	0.00	-3.7126
CLEARPOOL EXECUTION SERVICES (CPEM)	0.77	0.00	0.00	2.59	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (NITE)	0.40	0.24	3.16	0.36	4.55	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (VALR)	0.27	0.00	6.32	0.71	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES (GTSM)	0.11	0.08	0.00	0.18	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES (CDRG):

A.G.P. does not receive payment for order flow from Citadel Securities LLC for non-directed orders. For orders routed to Citadel algorithmic strategies, A.G.P. pays or receives per-share rates that vary by strategy, ranging from approximately \$0.0010 per share paid to A.G.P. to \$0.0012 per share charged to A.G.P.

STONEX FINANCIAL (INTL):

A.G.P. routes orders to StoneX Financial Inc. Orders may be executed by StoneX or routed by the StoneX platform to Fidessa (FDSA) for execution. A.G.P. receives per-share rebates from StoneX on certain orders executed by StoneX and pays StoneX a commission of \$0.0005 per share. A.G.P. does not receive payment for order flow on orders routed through the StoneX platform to Fidessa and has no financial arrangement with Fidessa.

CODA MARKETS (PDQM):

A.G.P./Alliance Global Partners receives per-share rebates from CODA Markets, Inc. on executed orders. Rebates vary by route: \$0.0025 per share on the VWAP route for shares priced \$1.00 and over (\$0.00 below \$1.00), approximately \$0.0015 per share on CODAAGP (\$0.0005 below \$1.00), and \$0.0030 per share for adding liquidity or \$0.0015 per share for removing liquidity on CODAAGPPOST.

CLEARPOOL EXECUTION SERVICES (CPEM):
A.G.P. pays Clearpool Execution Services, LLC a fee of \$0.0003 to \$0.0005 per share depending on strategy. Exchange fees and rebates are passed through to A.G.P. at cost. A.G.P. does not receive payment for order flow from Clearpool.

VIRTU AMERICAS (NITE):
A.G.P. pays Virtu Americas LLC a commission of \$0.00075 per share for executions, with exchange and venue fees and rebates passed through at cost. A.G.P. does not receive payment for order flow from Virtu.

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GTS SECURITIES (GTSM):
A.G.P. does not receive payment for order flow from GTS Securities LLC.

July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
97.35	15.04	2.97	81.33	0.67

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	81.61	41.77	41.25	90.30	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	7.09	26.50	15.24	3.27	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	5.54	26.61	17.96	1.24	0.00	-1,673.37	-3.7126	-231.81	-3.7126	-3,753.21	-3.7126	0.00	-3.7126
VIRTU AMERICAS (VALR)	1.72	0.57	18.50	1.33	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GOLDMAN SACHS & CO. (GSCO)	1.68	0.00	4.17	1.91	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES (GTSM)	1.10	3.92	2.50	0.54	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CLEARPOOL EXECUTION SERVICES (CPEM)	1.08	0.00	0.00	1.33	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (NITE)	0.14	0.54	0.38	0.06	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE (NYSE)	0.02	0.09	0.00	0.01	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES (CDRG):
A.G.P. does not receive payment for order flow from Citadel Securities LLC for non-directed orders. For orders routed to Citadel algorithmic strategies, A.G.P. pays or receives per-share rates that vary by strategy, ranging from approximately \$0.0010 per share paid to A.G.P. to \$0.0012 per share charged to A.G.P.

STONEX FINANCIAL (INTL):
A.G.P. routes orders to StoneX Financial Inc. Orders may be executed by StoneX or routed by the StoneX platform to Fidessa (FDSA) for execution. A.G.P. receives per-share rebates from StoneX on certain orders executed by StoneX and pays StoneX a commission of \$0.0005 per share. A.G.P. does not receive payment for order flow on orders routed through the StoneX platform to Fidessa and has no financial arrangement with Fidessa.

CODA MARKETS (PDQM):
A.G.P./Alliance Global Partners receives per-share rebates from CODA Markets, Inc. on executed orders. Rebates vary by route: \$0.0025 per share on the VWAP route for shares priced \$1.00 and over (\$0.00 below \$1.00), approximately \$0.0015 per share on CODAAGP (\$0.0005 below \$1.00), and \$0.0030 per share for adding liquidity or \$0.0015 per share for removing liquidity on CODAAGPPOST.

VIRTU AMERICAS (VALR):
A.G.P. pays Virtu Americas LLC a commission of \$0.00075 per share for executions, with exchange and venue fees and rebates passed through at cost. A.G.P. does not receive payment for order flow from Virtu.

GOLDMAN SACHS & CO. (GSCO):
A.G.P. does not receive payment for order flow from Goldman Sachs & Co. LLC.

GTS SECURITIES (GTSM):
A.G.P. does not receive payment for order flow from GTS Securities LLC.

CLEARPOOL EXECUTION SERVICES (CPEM):
A.G.P. pays Clearpool Execution Services, LLC a fee of \$0.0003 to \$0.0005 per share depending on strategy. Exchange fees and rebates are passed through to A.G.P. at cost. A.G.P. does not receive payment for order flow from Clearpool.

VIRTU AMERICAS (NITE):
A.G.P. pays Virtu Americas LLC a commission of \$0.00075 per share for executions, with exchange and venue fees and rebates passed through at cost. A.G.P. does not receive payment for order flow from Virtu.

NEW YORK STOCK EXCHANGE (NYSE):
Orders are routed directly to the New York Stock Exchange through direct market access. Exchange fees and rebates are charged or paid under the NYSE published fee schedule. A.G.P. does not receive payment for order flow from the NYSE.

July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
76.10	10.87	25.90	63.23	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred contracts)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred contracts)
DASH OPTIONS - GMNI	70.50	70.50	70.50	70.50	0.00	-1,084.50	-2,602.9934	-3,149.26	-2,602.9934	-8,227.30	-2,602.9934	0.00	-2,602.9934
DASH OPTIONS - ARCA	6.49	6.49	6.49	6.49	0.00	-121.89	-3,177.2913	-353.96	-3,177.2913	-924.70	-3,177.2913	0.00	-3,177.2913
DASH OPTIONS - ISE	5.41	5.41	5.41	5.41	0.00	-94.94	-2,970.8606	-275.70	-2,970.8606	-720.26	-2,970.8606	0.00	-2,970.8606

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred contracts)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred contracts)
DASH OPTIONS - BOX	4.23	4.23	4.23	4.23	0.00	-80.42	-3,218.3908	-233.52	-3,218.3908	-610.06	-3,218.3908	0.00	-3,218.3908
DASH OPTIONS - EDGX	3.62	3.62	3.62	3.62	0.00	-68.45	-3,198.6580	-198.78	-3,198.6580	-519.31	-3,198.6580	0.00	-3,198.6580
DASH OPTIONS - AMEX	1.72	1.72	1.72	1.72	0.00	-29.31	-2,880.6672	-85.11	-2,880.6672	-222.34	-2,880.6672	0.00	-2,880.6672
DASH OPTIONS - MEMX	1.49	1.49	1.49	1.49	0.00	-29.32	-3,325.2715	-85.13	-3,325.2715	-222.40	-3,325.2715	0.00	-3,325.2715
DASH OPTIONS - CBOE	1.47	1.47	1.47	1.47	0.00	-25.11	-2,897.0884	-72.92	-2,897.0884	-190.51	-2,897.0884	0.00	-2,897.0884
DASH OPTIONS - SPHR	1.16	1.16	1.16	1.16	0.00	-21.07	-3,065.1899	-61.20	-3,065.1899	-159.88	-3,065.1899	0.00	-3,065.1899
DASH OPTIONS - MCRY	1.06	1.06	1.06	1.06	0.00	-16.42	-2,612.8809	-47.68	-2,612.8809	-124.55	-2,612.8809	0.00	-2,612.8809
DASH OPTIONS - MIAX	0.88	0.88	0.88	0.88	0.00	-15.66	-3,008.3612	-45.47	-3,008.3612	-118.78	-3,008.3612	0.00	-3,008.3612
DASH OPTIONS - MPRL	0.47	0.47	0.47	0.47	0.00	-9.63	-3,437.8882	-27.98	-3,437.8882	-73.09	-3,437.8882	0.00	-3,437.8882
DASH OPTIONS - BATS	0.36	0.36	0.36	0.36	0.00	-7.19	-3,346.1538	-20.89	-3,346.1538	-54.57	-3,346.1538	0.00	-3,346.1538
DASH OPTIONS - NOM	0.29	0.29	0.29	0.29	0.00	-5.40	-3,198.4536	-15.68	-3,198.4536	-40.97	-3,198.4536	0.00	-3,198.4536
DASH OPTIONS - PHLX	0.27	0.27	0.27	0.27	0.00	-4.88	-3,062.8415	-14.17	-3,062.8415	-37.01	-3,062.8415	0.00	-3,062.8415
DASH OPTIONS - BX	0.23	0.23	0.23	0.23	0.00	-3.42	-2,471.6981	-9.93	-2,471.6981	-25.95	-2,471.6981	0.00	-2,471.6981
DASH OPTIONS - EMLD	0.20	0.20	0.20	0.20	0.00	-4.08	-3,500.0000	-11.85	-3,500.0000	-30.97	-3,500.0000	0.00	-3,500.0000
DASH OPTIONS - C2	0.14	0.14	0.14	0.14	0.00	-1.52	-1,785.7143	-4.42	-1,785.7143	-11.55	-1,785.7143	0.00	-1,785.7143

Material Aspects:

DASH OPTIONS - GMNI:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - ARCA:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - ISE:

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DASH OPTIONS - EMLD:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

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August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.87	9.50	0.20	90.15	0.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	94.19	55.00	32.51	98.44	97.96	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	2.70	21.17	8.96	0.74	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	2.73	23.25	26.01	0.52	0.00	-227.82	-22.9460	-24.06	-22.9460	-56.65	-22.9460	0.00	-22.9460
GTS SECURITIES (GTSM)	0.16	0.34	13.01	0.11	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CLEARPOOL EXECUTION SERVICES (CPEM)	0.11	0.07	4.88	0.10	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (VALR)	0.08	0.03	11.38	0.06	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (NITE)	0.03	0.13	3.25	0.01	2.04	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GOLDMAN SACHS & CO. (GSCO)	0.01	0.00	0.00	0.01	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
BOFA SECURITIES (MLCO)	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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GOLDMAN SACHS & CO. (GSCO):

A.G.P. does not receive payment for order flow from Goldman Sachs & Co. LLC.

BOFA SECURITIES (MLCO):

A.G.P. pays BofA Securities, Inc. a commission of \$0.05 to \$0.10 per 100 shares for equity executions. A.G.P. does not receive payment for order flow from BofA Securities for equity orders.

August 2026

Non-S&P 500 Stocks

Summary

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99.29	3.53	0.74	95.64	0.09

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	95.30	47.76	45.26	97.44	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	1.75	24.95	17.26	0.78	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	1.33	23.70	15.61	0.40	0.00	-2,883.67	-22.9460	-1,584.01	-22.9460	-30,099.95	-22.9460	0.00	-22.9460

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
GOLDMAN SACHS & CO. (GSCO)	0.85	0.00	9.34	0.82	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CLEARPOOL EXECUTION SERVICES (CPEM)	0.28	0.30	0.95	0.27	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES (GTSM)	0.27	2.77	2.25	0.16	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (VALR)	0.19	0.11	9.13	0.13	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
VIRTU AMERICAS (NITE)	0.02	0.41	0.14	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NEW YORK STOCK EXCHANGE (NYSE)	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
BOFA SECURITIES (MLCO)	0.00	0.00	0.07	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NATIONAL FINANCIAL SERVICES (NFSC)	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES (CDRG):

A.G.P. does not receive payment for order flow from Citadel Securities LLC for non-directed orders. For orders routed to Citadel algorithmic strategies, A.G.P. pays or receives per-share rates that vary by strategy, ranging from approximately \$0.0010 per share paid to A.G.P. to \$0.0012 per share charged to A.G.P.

STONEX FINANCIAL (INTL):

A.G.P. routes orders to StoneX Financial Inc. Orders may be executed by StoneX or routed by the StoneX platform to Fidessa (FDSA) for execution. A.G.P. receives per-share rebates from StoneX on certain orders executed by StoneX and pays StoneX a commission of \$0.0005 per share. A.G.P. does not receive payment for order flow on orders routed through the StoneX platform to Fidessa and has no financial arrangement with Fidessa.

CODA MARKETS (PDQM):

A.G.P./Alliance Global Partners receives per-share rebates from CODA Markets, Inc. on executed orders. Rebates vary by route: \$0.0025 per share on the VWAP route for shares priced \$1.00 and over (\$0.00 below \$1.00), approximately \$0.0015 per share on CODAAGP (\$0.0005 below \$1.00), and \$0.0030 per share for adding liquidity or \$0.0015 per share for removing liquidity on CODAAGPPOST.

GOLDMAN SACHS & CO. (GSCO):

A.G.P. does not receive payment for order flow from Goldman Sachs & Co. LLC.

CLEARPOOL EXECUTION SERVICES (CPEM):

A.G.P. pays Clearpool Execution Services, LLC a fee of \$0.0003 to \$0.0005 per share depending on strategy. Exchange fees and rebates are passed through to A.G.P. at cost. A.G.P. does not receive payment for order flow from Clearpool.

GTS SECURITIES (GTSM):

A.G.P. does not receive payment for order flow from GTS Securities LLC.

VIRTU AMERICAS (VALR):

A.G.P. pays Virtu Americas LLC a commission of \$0.00075 per share for executions, with exchange and venue fees and rebates passed through at cost. A.G.P. does not receive payment for order flow from Virtu.

VIRTU AMERICAS (NITE):

A.G.P. pays Virtu Americas LLC a commission of \$0.00075 per share for executions, with exchange and venue fees and rebates passed through at cost. A.G.P. does not receive payment for order flow from Virtu.

NEW YORK STOCK EXCHANGE (NYSE):

Orders are routed directly to the New York Stock Exchange through direct market access. Exchange fees and rebates are charged or paid under the NYSE published fee schedule. A.G.P. does not receive payment for order flow from the NYSE.

BOFA SECURITIES (MLCO):
A.G.P. pays BofA Securities, Inc. a commission of \$0.05 to \$0.10 per 100 shares for equity executions. A.G.P. does not receive payment for order flow from BofA Securities for equity orders.

NATIONAL FINANCIAL SERVICES (NFSC):
A.G.P. does not receive payment for order flow from National Financial Services LLC.

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
73.32	13.66	29.05	57.29	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred contracts)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred contracts)
DASH OPTIONS - GMNI	46.82	46.82	46.82	46.82	0.00	-413.52	-2,638.2521	-1,451.52	-2,638.2521	-2,611.80	-2,638.2521	0.00	-2,638.2521
DASH OPTIONS - ISE	10.72	10.72	10.72	10.72	0.00	-116.38	-3,244.0783	-408.53	-3,244.0783	-735.09	-3,244.0783	0.00	-3,244.0783
DASH OPTIONS - ARCA	10.41	10.41	10.41	10.41	0.00	-118.98	-3,414.1267	-417.66	-3,414.1267	-751.51	-3,414.1267	0.00	-3,414.1267
DASH OPTIONS - BOX	8.99	8.99	8.99	8.99	0.00	-103.49	-3,436.8098	-363.27	-3,436.8098	-653.64	-3,436.8098	0.00	-3,436.8098
DASH OPTIONS - EDGX	6.72	6.72	6.72	6.72	0.00	-75.03	-3,332.9914	-263.35	-3,332.9914	-473.87	-3,332.9914	0.00	-3,332.9914
DASH OPTIONS - CBOE	4.09	4.09	4.09	4.09	0.00	-37.98	-2,772.7579	-133.32	-2,772.7579	-239.90	-2,772.7579	0.00	-2,772.7579
DASH OPTIONS - MEMX	2.48	2.48	2.48	2.48	0.00	-27.21	-3,280.6236	-95.52	-3,280.6236	-171.87	-3,280.6236	0.00	-3,280.6236
DASH OPTIONS - MPRL	1.91	1.91	1.91	1.91	0.00	-22.01	-3,447.9016	-77.25	-3,447.9016	-139.00	-3,447.9016	0.00	-3,447.9016
DASH OPTIONS - SPHR	1.88	1.88	1.88	1.88	0.00	-20.90	-3,327.9412	-73.37	-3,327.9412	-132.02	-3,327.9412	0.00	-3,327.9412
DASH OPTIONS - AMEX	1.66	1.66	1.66	1.66	0.00	-18.50	-3,331.9468	-64.93	-3,331.9468	-116.83	-3,331.9468	0.00	-3,331.9468
DASH OPTIONS - MCRY	0.97	0.97	0.97	0.97	0.00	-10.53	-3,230.8782	-36.98	-3,230.8782	-66.54	-3,230.8782	0.00	-3,230.8782
DASH OPTIONS - BATS	0.71	0.71	0.71	0.71	0.00	-8.29	-3,476.7442	-29.08	-3,476.7442	-52.33	-3,476.7442	0.00	-3,476.7442
DASH OPTIONS - EMLD	0.69	0.69	0.69	0.69	0.00	-8.12	-3,516.0000	-28.50	-3,516.0000	-51.28	-3,516.0000	0.00	-3,516.0000
DASH OPTIONS - MIAX	0.60	0.60	0.60	0.60	0.00	-5.92	-2,967.5926	-20.78	-2,967.5926	-37.40	-2,967.5926	0.00	-2,967.5926

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred contracts)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred contracts)
DASH OPTIONS - BX	0.47	0.47	0.47	0.47	0.00	-4.90	-3,139.0533	-17.20	-3,139.0533	-30.95	-3,139.0533	0.00	-3,139.0533
DASH OPTIONS - PHLX	0.46	0.46	0.46	0.46	0.00	-4.80	-3,148.4848	-16.84	-3,148.4848	-30.31	-3,148.4848	0.00	-3,148.4848
DASH OPTIONS - NOM	0.33	0.33	0.33	0.33	0.00	-3.90	-3,491.7355	-13.70	-3,491.7355	-24.65	-3,491.7355	0.00	-3,491.7355
DASH OPTIONS - C2	0.10	0.10	0.10	0.10	0.00	-1.03	-2,921.0526	-3.60	-2,921.0526	-6.48	-2,921.0526	0.00	-2,921.0526

Material Aspects:

DASH OPTIONS - GMNI:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - ISE:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - ARCA:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - BOX:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - EDGX:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - CBOE:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - MEMX:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - MPRL:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - SPHR:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - AMEX:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - MCRY:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - BATS:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - EMLD:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - MIAx:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - BX:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - PHLX:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - NOM:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - C2:
A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.23	63.84	0.94	32.91	2.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	47.76	38.66	71.35	61.08	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	26.52	30.91	10.15	20.32	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	24.43	29.61	13.21	16.40	0.00	-218.59	-19.5973	-6.37	-19.5973	-50.39	-19.5973	0.00	-19.5973
CLEARPOOL EXECUTION SERVICES (CPEM)	1.14	0.70	5.29	1.97	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES (GTSM)	0.10	0.12	0.00	0.08	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GOLDMAN SACHS & CO. (GSCO)	0.02	0.00	0.00	0.08	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES (CDRG):

A.G.P. does not receive payment for order flow from Citadel Securities LLC for non-directed orders. For orders routed to Citadel algorithmic strategies, A.G.P. pays or receives per-share rates that vary by strategy, ranging from approximately \$0.0010 per share paid to A.G.P. to \$0.0012 per share charged to A.G.P.

STONEX FINANCIAL (INTL):

A.G.P. routes orders to StoneX Financial Inc. Orders may be executed by StoneX or routed by the StoneX platform to Fidessa (FDSA) for execution. A.G.P. receives per-share rebates from StoneX on certain orders executed by StoneX and pays StoneX a commission of \$0.0005 per share. A.G.P. does not receive payment for order flow on orders routed through the StoneX platform to Fidessa and has no financial arrangement with Fidessa.

CODA MARKETS (PDQM):

A.G.P./Alliance Global Partners receives per-share rebates from CODA Markets, Inc. on executed orders. Rebates vary by route: \$0.0025 per share on the VWAP route for shares priced \$1.00 and over (\$0.00 below \$1.00), approximately \$0.0015 per share on CODAAGP (\$0.0005 below \$1.00), and \$0.0030 per share for adding liquidity or \$0.0015 per share for removing liquidity on CODAAGPOST.

CLEARPOOL EXECUTION SERVICES (CPEM):

A.G.P. pays Clearpool Execution Services, LLC a fee of \$0.0003 to \$0.0005 per share depending on strategy. Exchange fees and rebates are passed through to A.G.P. at cost. A.G.P. does not receive payment for order flow from Clearpool.

GTS SECURITIES (GTSM):

A.G.P. does not receive payment for order flow from GTS Securities LLC.

GOLDMAN SACHS & CO. (GSCO):

A.G.P. does not receive payment for order flow from Goldman Sachs & Co. LLC.

September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.05	6.86	1.69	91.31	0.14

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred shares)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred shares)
CITADEL SECURITIES (CDRG)	89.41	41.31	50.69	93.73	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
STONEX FINANCIAL (INTL)	3.79	27.63	19.00	1.72	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS (PDQM)	3.43	27.89	14.45	1.39	0.00	-2,105.22	-19.5973	-849.72	-19.5973	-30,215.98	-19.5973	0.00	-19.5973
GOLDMAN SACHS & CO. (GSCO)	2.16	0.00	10.94	2.16	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CLEARPOOL EXECUTION SERVICES (CPEM)	0.75	0.63	3.04	0.72	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES (GTSM)	0.43	2.54	1.89	0.25	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES (CDRG):

A.G.P. does not receive payment for order flow from Citadel Securities LLC for non-directed orders. For orders routed to Citadel algorithmic strategies, A.G.P. pays or receives per-share rates that vary by strategy, ranging from approximately \$0.0010 per share paid to A.G.P. to \$0.0012 per share charged to A.G.P.

STONEX FINANCIAL (INTL):

A.G.P. routes orders to StoneX Financial Inc. Orders may be executed by StoneX or routed by the StoneX platform to Fidessa (FDSA) for execution. A.G.P. receives per-share rebates from StoneX on certain orders executed by StoneX and pays StoneX a commission of \$0.0005 per share. A.G.P. does not receive payment for order flow on orders routed through the StoneX platform to Fidessa and has no financial arrangement with Fidessa.

CODA MARKETS (PDQM):

A.G.P./Alliance Global Partners receives per-share rebates from CODA Markets, Inc. on executed orders. Rebates vary by route: \$0.0025 per share on the VWAP route for shares priced \$1.00 and over (\$0.00 below \$1.00), approximately \$0.0015 per share on CODAAGP (\$0.0005 below \$1.00), and \$0.0030 per share for adding liquidity or \$0.0015 per share for removing liquidity on CODAAGPOST.

GOLDMAN SACHS & CO. (GSCO):

A.G.P. does not receive payment for order flow from Goldman Sachs & Co. LLC.

CLEARPOOL EXECUTION SERVICES (CPEM):

A.G.P. pays Clearpool Execution Services, LLC a fee of \$0.0003 to \$0.0005 per share depending on strategy. Exchange fees and rebates are passed through to A.G.P. at cost. A.G.P. does not receive payment for order flow from Clearpool.

GTS SECURITIES (GTSM):

A.G.P. does not receive payment for order flow from GTS Securities LLC.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
70.61	14.94	27.70	57.23	0.13

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders (USD)	Net Payment Paid/Received for Market Orders (cents per hundred contracts)	Net Payment Paid/Received for Marketable Limit Orders (USD)	Net Payment Paid/Received for Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Non-Marketable Limit Orders (USD)	Net Payment Paid/Received for Non-Marketable Limit Orders (cents per hundred contracts)	Net Payment Paid/Received for Other Orders (USD)	Net Payment Paid/Received for Other Orders (cents per hundred contracts)
DASH OPTIONS - GMNI	54.31	54.31	54.31	54.31	54.31	-526.12	-2,543.1229	-1,411.22	-2,543.1229	-2,915.39	-2,543.1229	-0.83	-2,543.1229
DASH OPTIONS - ISE	9.44	9.44	9.44	9.44	9.44	-108.66	-3,022.1586	-291.47	-3,022.1586	-602.14	-3,022.1586	-0.17	-3,022.1586
DASH OPTIONS - EDGX	5.58	5.58	5.58	5.58	5.58	-67.89	-3,195.4082	-182.10	-3,195.4082	-376.20	-3,195.4082	-0.11	-3,195.4082
DASH OPTIONS - ARCA	5.21	5.21	5.21	5.21	5.21	-68.61	-3,456.8542	-184.04	-3,456.8542	-380.20	-3,456.8542	-0.11	-3,456.8542
DASH OPTIONS - AMEX	5.06	5.06	5.06	5.06	5.06	-58.64	-3,044.1756	-157.29	-3,044.1756	-324.93	-3,044.1756	-0.09	-3,044.1756
DASH OPTIONS - BATS	4.99	4.99	4.99	4.99	4.99	-64.34	-3,385.9099	-172.58	-3,385.9099	-356.53	-3,385.9099	-0.10	-3,385.9099
DASH OPTIONS - SPHR	2.35	2.35	2.35	2.35	2.35	-30.18	-3,370.4600	-80.95	-3,370.4600	-167.23	-3,370.4600	-0.05	-3,370.4600
DASH OPTIONS - BOX	2.33	2.33	2.33	2.33	2.33	-29.28	-3,298.5348	-78.55	-3,298.5348	-162.27	-3,298.5348	-0.05	-3,298.5348
DASH OPTIONS - CBOE	1.98	1.98	1.98	1.98	1.98	-16.12	-2,140.2878	-43.25	-2,140.2878	-89.35	-2,140.2878	-0.03	-2,140.2878
DASH OPTIONS - EMLD	1.89	1.89	1.89	1.89	1.89	-23.76	-3,295.4887	-63.72	-3,295.4887	-131.64	-3,295.4887	-0.04	-3,295.4887
DASH OPTIONS - MEMX	1.31	1.31	1.31	1.31	1.31	-16.81	-3,363.3406	-45.08	-3,363.3406	-93.13	-3,363.3406	-0.03	-3,363.3406
DASH OPTIONS - MXTO	1.21	1.21	1.21	1.21	1.21	-16.12	-3,500.0000	-43.25	-3,500.0000	-89.35	-3,500.0000	-0.03	-3,500.0000
DASH OPTIONS - MIAX	1.11	1.11	1.11	1.11	1.11	-12.45	-2,946.1538	-33.41	-2,946.1538	-69.02	-2,946.1538	-0.02	-2,946.1538
DASH OPTIONS - BX	0.93	0.93	0.93	0.93	0.93	-10.75	-3,032.1101	-28.83	-3,032.1101	-59.56	-3,032.1101	-0.02	-3,032.1101
DASH OPTIONS - PHLX	0.85	0.85	0.85	0.85	0.85	-9.70	-3,003.3557	-26.02	-3,003.3557	-53.76	-3,003.3557	-0.02	-3,003.3557
DASH OPTIONS - MPRL	0.65	0.65	0.65	0.65	0.65	-8.38	-3,390.3509	-22.48	-3,390.3509	-46.43	-3,390.3509	-0.01	-3,390.3509
DASH OPTIONS - NOM	0.46	0.46	0.46	0.46	0.46	-5.96	-3,371.1656	-15.98	-3,371.1656	-33.01	-3,371.1656	-0.01	-3,371.1656
DASH OPTIONS - MCRY	0.34	0.34	0.34	0.34	0.34	-3.50	-2,737.2881	-9.39	-2,737.2881	-19.40	-2,737.2881	-0.01	-2,737.2881
DASH OPTIONS - C2	0.00	0.00	0.00	0.00	0.00	-0.04	-3,500.0000	-0.10	-3,500.0000	-0.21	-3,500.0000	0.00	-3,500.0000

Material Aspects:

DASH OPTIONS - GMNI:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - PHLX:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

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DASH OPTIONS - NOM:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.

DASH OPTIONS - MCRY:

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DASH OPTIONS - C2:

A.G.P./Alliance Global Partners routes options orders to Dash Financial Technologies LLC, which routes them to options exchanges and other market centers. A.G.P. receives payment for order flow from Dash of \$0.25 per contract for SPY and QQQ options and \$0.35 per contract for other equity and ETF options. No payment is received on index options or professional customer orders. A.G.P. also receives exchange maker-taker rebates passed through by Dash on certain options orders.